



Education  
Funding  
Agency

# **Funding allocation pack: 2014 to 2015 academic year**

**A guide for special and alternative  
provision academies opening between  
1 September 2014 and 31 March 2015**

**June 2014**

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## Introduction to the funding allocation pack 2014 to 2015

This operational guide is to help you understand how your academic year (AY) 2014 to 2015 funding has been calculated by the Education Funding Agency (EFA).

The guide supports your AY 2014 to 2015 general annual grant (GAG) statement. Your GAG statement sets out the funding you will receive, how it has been calculated and where the data is from. It covers the period from when you opened/converted to academy status up to the end of the academic year, which is 31 August 2015. The number of days you are funded for can be found at the top of each page of your funding allocation pack.

The guide has been produced in a format which enables you to easily access the specific parts that interest you, or areas where you require more detailed information.

A new risk protection arrangement for academies is being introduced from September 2014. More information can be found [here](#).

You may also be interested in the [High Needs Funding](#) web page on GOV.UK.

Your pupil premium allocation is paid outside of the GAG and is therefore not included in your AY 2014 to 2015 funding allocation.

This guide is intended for special and alternative provision (AP) academies which open during the period 1 September 2014 to 31 March 2015.

## Summary statement

The first page of your funding allocation pack is a summary statement of the tables that make up your GAG. The information used to populate the summary table comes from each of the tables in your pack.

### Sample AY 2014/15 General Annual Grant Statement - Special and Alternative Provision

|  |                    |                                       |
|--|--------------------|---------------------------------------|
| <b>1. Breakdown of AY 14/15 School Block Allocation</b>                  |                    |                                       |
| <a href="#">Education Services Grant</a>                                 | £55,930.00         | See Table B                           |
| <a href="#">Start Up Grant / Post Opening Grant</a>                      | £0.00              | See Table C                           |
| <b>Total School Block</b>  | <b>£55,930.00</b>  |                                       |
| <b>2. Breakdown of AY 14/15 High Needs Block Allocation</b>              |                    |                                       |
| <a href="#">Pre 16 High Needs Block</a>                                  | £760,00.00         | See Table A                           |
| <a href="#">Post 16 High Needs Block</a>                                 | £180,00.00         | See Table A                           |
| <a href="#">Hospital Provision Funding</a>                               | £0.00              | See Table A                           |
| <b>Total High Needs Block</b>  | <b>£940,000.00</b> | (Excludes any top-up funding from LA) |
| <b>3. Breakdown of 16-19 Allocation (see 16-19 Allocation Statement)</b> |                    |                                       |
| Total Programme Funding  | £0.00              | Pro rata –<br>months                  |
| Formula Protection Funding   | £0.00              |                                       |
| Transitional Protection  | £0.00              |                                       |
| 16-19 Allocation   | £0.00              |                                       |
| Student Support Services   | £631.00            | April 2015 payment                    |

|  |                    |  |
|--|--------------------|--|
| <b>Total 16-19 Allocation including Student Support Services</b>   | <b>£631.00</b>     |  |
| <b>TOTAL ALLOCATION (1+2+3)</b>  | <b>£996,560.72</b> |  |
| <p>Information on funding lines that are in addition to GAG will be provided separately (Pupil Premium, for example).</p> <p>Student Support Services include Bursary/Free School Meals/Residential Bursary funding, where applicable.</p> |                    |  |

## Post-16 allocations

Section 3 of the summary table refers to the post-16 statement sent to alternative provision academies. Special academies with post-16 provision are funded for pre and post-16 provision on the same place funded basis and do not receive a separate statement. Funding for student support services for special academies and alternative provision will be included in section 3. Information on [post-16 high needs funding](#) can be found on our website.

## Table A – High Needs Block

This section includes:

- An overview of pre and post-16 high needs funding
- [Sample Table A](#)
- [Explanation of funding lines](#)

Clicking on the bullet points above will take you directly to the relevant section.

### Introduction to High Needs Block

From 2013 local authorities have had an enhanced role in funding high needs pupils in academies, as the commissioner of education provision for these pupils. This means that academies now receive funding from both the EFA (place funding) and local authorities (top-up funding) for pupils with high needs. Sometimes the top-up funding comes directly from schools, where they commission alternative provision (AP) places directly.

Special academies and academies with special units or resourced provision receive £10,000 per place for each SEN place; academies making AP receive £8,000 for each AP place.

Top-up funding above these levels, based on the assessed needs of the pupil and the cost of meeting their needs in the setting, should be agreed between the commissioning local authority and the academy. Top-up funding is paid on a per-pupil basis, for the period that the pupil is actually occupying the place in the academy. It is paid directly to the academy on a monthly basis unless a different payment frequency is agreed with the local authority. Top-up funding for pupils in AP can be paid directly by other academies and schools if they commission the places for those pupils. The top-up element is not included in this allocation.

If you have hospital provision funding, local authorities will calculate the spend on each hospital setting for a given number of places. Table A highlights the funding to be paid. As part of the process, the numbers of places were confirmed to us by the local authority. For your hospital education settings you will have worked with your maintaining local authority to agree the appropriate number of places to be funded in the financial year (FY) 2013 to 2014, drawing on evidence of past pupil trends and projections of future demand as appropriate. Pupils occupying these places do not attract top-up funding.

Pre and post-16 place numbers are based on the AY 2014 to 2015 year places that have been submitted to us by the local authority.

## Sample Table A

### Pre-16 Breakdown

| <a href="#">Place numbers</a>  | <a href="#">Annual per place unit value</a> | <a href="#">Total pre-16 allocation</a> | <a href="#">Allocation to August 2015</a> |
|--|---|---|---|
| 76   | £10,000.00                                  | £760,000.00                             | £760,000.00                               |
| 0  | £8,000.00                                   | £0.00                                   | £0.00                                     |
| <b><a href="#">Pre-16 High Needs Block adjusted for 365 days, 1 Sep 2014 - 31 Aug 2015</a></b> |   |   | <b>£760,000.00</b>                        |

### Post-16 Breakdown

| <a href="#">Place numbers</a>   | <a href="#">Annual per place unit value</a> | <a href="#">Total post-16 allocation</a> | <a href="#">Allocation to August 2015</a> |
|---|---|--|---|
| 18  | £10,000.00                                  | £180,000.00                              | £180,000.00                               |
| <b><a href="#">Post-16 High Needs Block adjusted for 365 days, 1 Sep 2014 - 31 Aug 2015</a></b> |   |  | <b>£180,000.00</b>                        |

### Hospital Provision Breakdown

| <a href="#">Place numbers</a>   | <a href="#">Full year Total Funding</a> | <a href="#">Allocation to August 2015</a> |
|---|---|---|
| 0   | £0.00                                   | £0.00                                     |
| <b><a href="#">Hospital Provision adjusted for 365 days, 1 Sep 2014 - 31 Aug 2015</a></b> |   | <b>£0.00</b>                              |

## Table A – Explanation of funding lines

### Pre-16 breakdown

| Funding line                | Description  |
|-----------------------------|--|
| Place numbers               | These are as agreed with your commissioning local authorities (and schools) for special and alternative provision.       |
| Annual per place unit value | For AY 2014/15 this is set at £10,000 per place for SEN provision, and £8,000 for places in alternative provision.       |
| Total pre-16 allocation     | The product of special places x annual per place unit value and, or the product of alternative provision places x annual |

| Funding line                                | Description  |
|---|--|
|   | per place unit value.  |
| Allocation to August 2015                   | We calculate the proportion of funding due to you for the academic year by dividing the pre-16 total allocation by 365 days; this figure is then multiplied by the total number of days from conversion/opening as an academy to 31 August 2015. For a September opener, this value will be the same as the full year pre-16 funding amount. |
| Pre-16 high needs block adjusted for # days | The outcome of the above calculation is shown in this box.   |

## Post-16 breakdown

| Funding line                                 | Description  |
|--|--|
| Place numbers                                | These are as agreed with your commissioning local authority for special provision.   |
| Annual per place unit value                  | For AY 2014/15 this is set at £10,000 per place.   |
| Total post-16 allocation                     | The product of local authority agreed places x annual per place unit value.  |
| Allocation to August 2015                    | We calculate the proportion of funding due to you for the academic year by dividing the pre -6 total allocation by 365 days, this figure is then multiplied by the total number of days from conversion/opening as an academy to 31 August 2015. For a September opener, this value will be the same as the full year pre-16 funding amount. |
| Post-16 high needs block adjusted for # days | The product of the above calculation is shown in this box.   |

## Hospital Provision breakdown

| Funding line  | Description  |
|---|--|
| Place numbers   | These are agreed by the EFA with the local authority that previously maintained the provision.   |
| Full year total funding   | This is calculated by the local authority that previously maintained the provision, based on the agreed number of places you will provide. The local authority informs the EFA of the relevant amount.   |
| Allocation to August 2014   | We calculate the proportion of funding due to you for the academic year by dividing the pre-16 total allocation by 365 days; this figure is then multiplied by the total number of days from conversion/opening as an academy to 31 August 2015. For a September opener, this value will be the same as the full year pre-16 funding amount. |
| Hospital provision high needs block adjusted for # days, 1 Sep 2014 - 31 Aug 2015 | The outcome of the above calculation is shown in this box.   |



## Table B – AY 2014 to 2015 Education Services Grant

This table includes:

- An Overview of Table B
- [Sample Table B](#)
- [Table B explanation of funding lines](#)

### Introduction to Education Services Grant

Local Authority Central Spend Equivalent Grant (LACSEG) ended as part of the AY 2014/15 funding reforms and was replaced by the Education Services Grant (ESG). This grant is payable to academies as they are responsible for a range of education services – such as school improvement, audit and asset management – that local authorities perform on behalf of maintained schools. The ESG is allocated on a simple per place basis to local authorities and academies according to the number of pupils or places for which they are responsible.

For AY 2014 to 2015, each academy will receive:

- £140 per pupil (mainstream provision)
- £525 per Alternative Provision place
- £595 per SEN place and Hospital Place

For alternative provision and special academies the allocation will be based on planned places data.

## Sample Table B – AY 2014/15 Education Services Grant

|    |  |                   |                                  |
|----|--|-------------------|----------------------------------|
| 1. | <a href="#">Number of special or hospital places</a>             | 94                |                                  |
| 2. | <a href="#">ESG basic rate per special/ hospital place</a>       | £595.00           |                                  |
| 3. | <a href="#">Number of alternative provision places</a>           | 0                 |                                  |
| 4. | <a href="#">ESG basic rate per alternative provision place</a>   | £525.00           |                                  |
| 5. | <a href="#">AY 14/15 Education Services Grant Allocation</a>     | <b>£55,930.00</b> | $=(1 * 2) + (3 * 4)$             |
| 6. | <a href="#">ESG Allocation 365 days 1 Sep 2014 - 31 Aug 2015</a> | <b>£55,930.00</b> | $[5] * (365 \text{ days}) / 365$ |

## Table B Explanation of funding lines

| Funding Line                                   | Description  |
|--|--|
| Number of special or hospital places           | These are as agreed with your commissioning local authorities and/or the authority that previously maintained the provision.   |
| ESG basic rate per special/hospital place      | For 2014/15 this is set at £595.00 for places in special academies and hospital provision.   |
| Number of alternative provision places         | These are as agreed with your commissioning local authorities and/or schools.  |
| ESG basic rate per alternative provision place | For 2014/15 this is set at £525.00 for alternative provision.  |
| AY 2014/15 Education Services Grant Allocation | This is $(1*2) + (3*4)$ .  |
| ESG Allocation # days 1 Sep 2014 - 31 Aug 2015 | We calculate the proportion of funding due to you for the academic year by dividing the pre-16 total allocation by 365 days; this figure is then multiplied by the total number of days from conversion/opening as an academy to 31 August 2015. For a September opener, this value will be the same as the full year pre-16 funding amount. |

## Table C – Start-Up Grant or Sponsored Academies

This section includes;

- An explanation of Start-Up Grant
- [An Example of Table C Start-Up Grant](#)
- [Explanation of Table C Start-Up Grant](#)

### Start-Up Grant (SUG)

Start-Up Grant (SUG) is only paid to full sponsored academies (with a predecessor school) to assist with raising standards and transform educational attainment. It provides additional funding to reflect the nature of those schools, and challenges of raising standards.

The way in which Start-Up Grant is calculated is dependent on whether an academy is a primary, secondary, special academy or an alternative provision academy and the amount will have been clearly set out in your funding letter, should it be applicable.

Table C sets out the SUG payment for AY 2014 to 2015 if applicable.

Start-up grants for special academies and alternative provision is paid at a flat rate of £25,000 for 100 places, with any additional places funded at a rate of £250 per place.

This level of funding will be reviewed annually to ensure that the level of grant is appropriate. Special academies, alternative provision and PRUs do not receive a formulaic allocation for leadership capacity as their GAG is allocated on a per-place rather than per-pupil basis. This already takes account of staff diseconomies of scale, recognising that pupil numbers in such provision can fluctuate widely throughout the year.

The flat-rate grants will be paid in the first academic year of opening only, 50% in month one, 25% in month two and 25% in month three.

### Sample Table C – Start-Up Grant/Post-Opening Grant

|    |  |              |                    |
|----|--|--------------|--------------------|
| 1. | <a href="#">Start-Up Grant Part A</a>                        | £0.00        |                    |
| 2. | <a href="#">Start-Up Grant Part B - Assessment</a>           | £0.00        |                    |
| 3. | <a href="#">Start-Up Grant Part B – Formulaic Allocation</a> | £0.00        |                    |
| 4. | <b>Total Start-Up Grant Allocation</b>                       | <b>£0.00</b> | <b>= 1 + 2 + 3</b> |

## Table C – Explanation of funding lines

| Funding line  | Description   |
|---|---|
| <b>Start-Up Grant - Part A</b>                            | This is a one-off payment. This will be set out in each individual academy's funding letter.  |
| <b>Start-Up Grant – Part B (Formulaic and Assessment)</b> | The total SUG B value will be paid over the subsequent 3 years post opening. This will be split down between formulaic and assessment and reflected as such in the table. |
| <b>Total Start-Up Grant Allocation</b>                    | This is the total of SUG A and SUG B values.  |



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