

January 2005/05

Special initiative

Allocation of funds

This report is for information

This document lists the funding allocated from the Knowledge Transfer Capability Fund, for the two years 2004-05 to 2005-06. It also provides details of the funding criteria and process.

Knowledge Transfer Capability Fund

Funding allocations



Office of Science and Technology

Knowledge Transfer Capability Fund

Funding allocations

To	Heads of HEFCE-funded higher education institutions
Of interest to those responsible for	Relations between HE and business and the wider community, Contract and collaborative research, Continuing vocational education, Strategic planning
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Executive summary

Purpose

1. This document lists the funding allocated from the Knowledge Transfer Capability Fund for 2004-05 and 2005-06, and provides details of the funding criteria and process.

Key points

2. A fund of up to £12 million has been established over the two years 2004-05 and 2005-06. It has been allocated to 40 higher education institutions (HEIs), to underpin their knowledge transfer activity until 2006-7, when the third round of the Higher Education Innovation Fund (HEIF 3) is introduced. The Office of Science and Technology (OST) and HEFCE will contribute up to £9 million, and other organisations and/or HEIs themselves will contribute around £3 million. HEFCE will administer the OST/HEFCE element of this funding.

3. Funding has been allocated by formula, using institutional core allocations from the first round of HEIF as a starting point. Individual HEIs' allocations were subject to a minimum of £200,000 and maximum of £900,000. We have also included some HEIs that did not receive HEIF1 support, giving some of them resources for the first time to develop stronger knowledge transfer capability.

4. Offers of funding to individual institutions were made in July 2004 in a joint letter from Sir Keith O'Nions, Director General Research Councils, OST, and Sir Howard Newby, Chief Executive, HEFCE.

Action required

5. No action is required.

Background

6. Third stream funding is available for higher education institutions (HEIs) in England to support activities which will increase their capability to respond to the needs of business (including companies of all sizes and sectors and a range of bodies within the wider community), where this will lead to identifiable economic benefits.

7. The Government's 10-year investment framework for science and innovation makes clear its intention that academics and knowledge transfer professionals should be able to build long-term career paths which are focused on interaction with business and external partners. To that end, the Government will move towards predictable funding allocations for third stream activities. This new allocations process will be introduced for a substantial part of round 3 of the Higher Education Innovation Fund (HEIF), from 2006-07.

8. In recognition of the importance we place on third stream funding, the OST and HEFCE wish to make an early start on this process.

Funding

9. A fund of up to £12 million over the two years 2004-05 and 2005-06 has therefore been established by OST and HEFCE: the Knowledge Transfer Capability Fund (KTCF). This has been allocated to HEIs to underpin a core of knowledge transfer activity in the period until the new allocations process is introduced through HEIF round 3.

10. The OST and HEFCE will contribute up to £9 million, in the expectation that other organisations and/or HEIs themselves will contribute a cumulative figure of about £3 million. Regional Development Agencies (RDAs) have broadly supported this initiative.

11. Forty HEIs, which bid for and did not receive core institutional funding under HEIF 2, were eligible under KTCF. They benefit from the offer of funding to provide continuing support for core knowledge transfer activity.

Allocations

12. We have used institutional allocations from HEIF 1 as the basis for the formula for distributing KTCF funding. Eligible HEIs will receive 90 per cent of their core HEIF 1 award, with a minimum of £200,000 and a maximum of £900,000. Allocations are listed in Table 1.

13. We have also included some HEIs that did not receive HEIF 1 support, giving some of them resources for the first time to develop stronger knowledge transfer capability.

14. Eligible institutions were offered funding from the OST/HEFCE portion of the KTCF (amounting to 75 per cent of the total institutional allocation) over the two years 2004-05 and 2005-06, subject to us receiving:

- a. A statement setting out how the institution would use this third stream funding, against which HEFCE could subsequently monitor delivery (maximum two A4 sides).
- b. Written confirmation that at least 25 per cent of the total institutional KTCF allocation would be provided from other external sources or from the institution's own funds.

15. The plans and confirmation of additional funding were scrutinised and accepted by HEFCE, who will administer the funds on behalf of OST and HEFCE.

16. KTCF funding generally started in August 2004 and, in all cases, it is intended to end in July 2006.

Table 1 Allocations under the Knowledge Transfer Capability Fund

Figures may not sum due to rounding

Higher education institution	KTCF award (£000s)	75% HEFCE/ OST (£000s)	25% other (£000s)
Anglia Polytechnic University	£200	£150	£50
Arts Institute at Bournemouth	£200	£150	£50
Birmingham College of Food, Tourism and Creative Studies	£200	£150	£50
Bolton Institute of Higher Education	£450	£338	£113
Bournemouth University	£453	£340	£113
Buckinghamshire Chilterns University College	£200	£150	£50
University of Central Lancashire	£200	£150	£50
Central School of Speech and Drama	£200	£150	£50
Chester College of Higher Education	£225	£169	£56
University College Chichester	£200	£150	£50
Courtauld Institute of Art	£200	£150	£50
Edge Hill College of Higher Education	£200	£150	£50
University of Gloucestershire	£439	£330	£110
Goldsmiths College	£200	£150	£50
University of Leicester	£200	£150	£50
Liverpool Hope University College	£200	£150	£50
University College London	£720	£540	£180
London Business School	£900	£675	£225
London School of Hygiene & Tropical Medicine	£450	£338	£113
Manchester Metropolitan University	£360	£270	£90
University College Northampton	£200	£150	£50
University of Northumbria at Newcastle	£200	£150	£50
University of Nottingham	£900	£675	£225
Open University	£200	£150	£50
Roehampton University	£200	£150	£50
Rose Bruford College	£200	£150	£50
Royal Academy of Music	£373	£279	£93
Royal Northern College of Music	£200	£150	£50
College of St Mark & St John	£200	£150	£50
St Mary's College	£225	£169	£56
University of Salford	£450	£338	£113
Southampton Institute	£200	£150	£50
Staffordshire University	£200	£150	£50
Thames Valley University	£200	£150	£50
Trinity & All Saints College	£200	£150	£50
Wimbledon School of Art	£200	£150	£50

Higher education institution	KTCF award (£000s)	75% HEFCE/ OST (£000s)	25% other (£000s)
University College Winchester	£361	£270	£90
University College Worcester	£200	£150	£50
Writtle College	£200	£150	£50
York St John College	£200	£150	£50
TOTALS	£11,706	£8,779	£2,926

List of abbreviations

HE	Higher education
HEFCE	Higher Education Funding Council for England
HEI	Higher education institution
HEIF	Higher Education Innovation Fund
KTCF	Knowledge Transfer Capability Fund
OST	Office of Science and Technology