

February 2003/05

Special initiative

Allocation of funds

Plans for the use of funds to be submitted by Friday 11 April 2003

This document gives allocations of additional transitional funding for 2003-04, to maintain the continuity of resources and activity designed to improve the links between English higher education institutions and business and the wider community. It also asks institutions to submit plans showing how they will use the funds to support and sustain existing activities.

Higher Education Reach-out to Business and the Community Fund

Transitional funding 2003-04

Higher Education Reach-out to Business and the Community Fund: transitional funding 2003-04

To	Heads of HEFCE-funded higher education institutions Heads of universities in Northern Ireland
Of interest to those responsible for	Links with business and the community, Research, Continuing vocational education, Funding, Planning
Reference	2003/05
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Executive summary

Purpose

1. This document gives allocations of additional transitional funding for 2003-04, to maintain the continuity of resources and activity designed to improve the links between English higher education institutions (HEIs) and business and the wider community. This reinforces the Government's commitment to a permanent third stream of funding, alongside those for teaching and research. The document also asks HEIs to submit plans showing how they propose to use the additional funds.

Key points

2. We have made up to £10 million available to continue to support activity developed with funding from the first round of the Higher Education Reach-out to Business and the Community (HEROBC) Fund. This additional funding has been allocated to HEIs that received single institution or collaborative awards in the first round. It is intended to mitigate the drop in third stream resourcing between the end of their HEROBC funding and the potential availability of further grants from the Higher Education Innovation Fund.

3. HEIs are required to submit plans to show how they will apply the transitional funding to support and sustain activity developed under the first round of HEROBC. We have allocated funding proportionately to each HEI's first round HEROBC award or awards (see Annex A).

Action required

4. Plans should be sent by e-mail to Adrian Day (a.day@hefce.ac.uk) **by Friday 11 April 2003**.

Background

5. The Higher Education Reach-out to Business and the Community (HEROBC) Fund was established in 1999-2000 in partnership with the Department of Trade and Industry, the Department for Education and Learning (Northern Ireland), and the Department for Education and Skills (DfES). Over £82 million was allocated in two rounds by 137 awards to higher education institutions (HEIs), of which 15 were for collaborative projects. These awards are listed in HEFCE 00/05 (first round) and HEFCE 00/52 (second round).

6. In 2001 HEROBC was incorporated into the Higher Education Innovation Fund (HEIF), for which a further £80 million was made available from the Office of Science and Technology (OST) through HEFCE. We allocated over £77 million in a single round of 89 awards, of which 16 were for collaborative projects, as listed in HEFCE 02/04.

7. HEIF will be extended, incorporating scope for further funding of previous University Challenge and Science Enterprise Challenge projects, with £171 million in total over the period 2004-05 to 2005-06. We will announce separately the timing for this extension and the criteria which will be used to determine funding for individual HEIs.

Funding

8. We have allocated up to £10 million of transitional funding from our 2003-04 baseline funds. English HEIs qualify for allocations from this transitional funding if they received either or both of the following:

- HEROBC first round single institution awards (and did not receive HEROBC second round single institution awards)
- HEROBC first round collaborative awards as lead institutions (and did not receive HEROBC second round collaborative awards as lead institutions).

9. We require HEIs to submit plans to us showing how they will apply the transitional funding to support and sustain the activity developed under the first round of HEROBC. Funding will be released to institutions, generally from August 2003, subject to the acceptance of these plans.

10. Transitional funding should be used to continue to develop activity initiated under the first round of HEROBC. Institutions' plans should demonstrate this and include explanatory financial data.

11. Allocations are listed in Annex A. They have been calculated in proportion to each HEI's first round HEROBC award or awards. In a small number of cases, where HEIs have already been granted extensions to their first round HEROBC funding profiles beyond July 2003, we have scaled down the transitional funding allocation accordingly, to be paid over the remaining period to July 2004.

12. Transitional funding allocated to a lead institution of a collaborative project should be shared with its existing first round HEROBC partners in the same proportions as before. Non-lead partners will not receive an allocation directly from HEFCE.

Monitoring and evaluation

13. We will monitor progress in the effective application of the transitional funding through each HEI's annual operating statement, submitted to us in July, as for existing HEROBC and HEIF awards. We will monitor collaborative projects separately with help from the Regional Advisory Groups, as for 2002.

14. HEFCE 00/05 gives guidance on reporting requirements. Particular attention should be given to consolidating activities carried out using the transitional funds with activities supported through HEROBC and HEIF. Our request for annual operating statements will give guidance on timing and other aspects of reporting.

Information required

15. Plans should reach us **by Friday 11 April**. The document should be in Microsoft Word format, and be a maximum of four sides of A4. Shorter plans may be acceptable, particularly where this reflects smaller allocations. Please e-mail them to Adrian Day at the HEFCE, a.day@hefce.ac.uk.

16. Plans should:

- include a brief statement of how the transitional funding will continue to develop activity initiated under the first round of HEROBC
- be for continuation of collaborative projects involving the original partners if the qualifying HEROBC first round award was for a consortium
- explain how this money will be used to sustain the employment of key third stream personnel or other critical resource
- include numerical targets for outputs to be delivered with the transitional funding in 2003-04, and relate these as appropriate to existing HEROBC targets for 2002-03
- include full contact details for the individual directly responsible for implementing the plan.

17. Non-lead partners in consortia should not submit plans to us.

Annex A

Allocations of transitional HEROBC funding

A total of 82 allocations have been made to 78 HEIs, including five collaborative projects. All these projects were funded under the first round of HEROBC. Subject to paragraph 11 above, the available £10 million has been divided between institutions in direct proportion to their first round award. Funding will flow from August 2003 to July 2004.

Institution	Allocation
Anglia Polytechnic University	£92,937
Aston University	£185,874
University of Bath	£185,874
Bath Spa University College	£46,469
Birkbeck College	£78,743
University of Birmingham	£185,874
Bolton Institute of Higher Education	£92,937
Bournemouth University	£92,937
University of Bradford	£92,937
University of Brighton	£92,937
University of Bristol	£185,874
Brunel University	£185,874
University of Cambridge	£185,874
Canterbury Christ Church University College	£46,469
University of Central England	£92,937
Central School of Speech and Drama	£46,469
City University	£92,937
City University (collaborative)	£92,937
De Montfort University	£185,874
University of Derby	£185,874
University of Durham	£139,900
University of East Anglia	£92,937
University of East London	£92,937
Edge Hill College of Higher Education	£46,469
University of Essex	£90,572
University of Exeter	£184,523
Harper Adams University College	£92,937
University of Hertfordshire	£185,874
Imperial College	£152,668
Keele University	£92,937
King's College London	£185,874
Kingston University	£92,937
University of Leeds	£185,537

Institution	Allocation
University of Leeds (collaborative)	£16,560
Leeds Metropolitan University	£92,937
University of Leicester	£185,874
University of Lincoln	£92,937
London School of Economics & Political Science	£16,898
London Metropolitan University	£92,937
London Metropolitan University (collaborative)	£71,815
Loughborough University	£185,874
University of Manchester	£185,874
UMIST	£185,874
Manchester Metropolitan University	£185,874
Manchester Metropolitan University (collaborative)	£92,937
Middlesex University	£92,937
University of Newcastle	£185,874
Newman College (collaborative)	£31,261
University of Nottingham	£185,874
Nottingham Trent University	£185,874
Open University	£185,874
University of Oxford	£111,143
Oxford Brookes University	£68,701
University of Plymouth	£185,874
University of Portsmouth	£92,937
University of Reading	£185,874
Royal College of Art	£46,469
Royal Holloway, University of London	£81,109
Royal Veterinary College	£92,937
College of St Mark and St John	£46,469
St Martin's College	£46,469
St Mary's College	£24,840
University of Salford	£185,874
University of Sheffield	£185,874
Sheffield Hallam University	£184,692
University of Southampton	£185,874
Southampton Institute	£92,937
South Bank University	£163,232
Staffordshire University	£185,874
University of Sunderland	£92,937
Surrey Institute of Art and Design	£24,840
University of Surrey	£185,706
University of Sussex	£185,874
University of Teesside	£92,937
Thames Valley University	£92,937

Institution	Allocation
Trinity and All Saints	£24,840
University College London	£185,874
University of Warwick	£169,822
University of West of England	£185,874
University of Westminster	£92,937
University of Wolverhampton	£92,937
University College Worcester	£46,469
Total	£10,000,000