

Higher Education in Further Education: Students Survey 2014-15 (HEFCE 2014/24)

Appendix 4

Guidance on the 2013-14, 2014-15 and 2015-16 indicative funding worksheets

1. The annexes of the HEIFES14 publication (HEFCE 2014/24) describe the six tables in the HEIFES14 workbook into which data can be entered. This document describes three additional worksheets in the workbook relating to the calculation of indicative funding for 2013-14, 2014-15 and 2015-16.

Name of worksheet	Content
Indicative 2013-14 funding	Calculation of indicative 2013-14 final funding for mainstream, co-funded and high-cost FTEs
Indicative 2014-15 funding	Calculation of indicative 2014-15 adjusted funding for mainstream, co-funded and high-cost FTEs
Indicative 2015-16 funding	Calculation of indicative 2015-16 funding

2. Please note that these tables may be used for modelling purposes to give indicative funding for 2013-14, 2014-15 and 2015-16. However, while every care has been taken to make the calculations as accurate as possible they are not intended to present our definitive statement on 2013-14, 2014-15 or 2015-16 funding. The figures that are bold and blue within the tables need to be completed by colleges but will be replaced with 2013-14 ILR web-facility data in the results package, where these are available. The figures in black are formulae: please do not attempt to change these figures.

3. Any reference to the final 2013-14 grant adjustments workbook relate to the last issue of the grant adjustments workbook issued in February 2014. Any references below to Table B of the 2013-14 initial grant tables relate to the last issue of the grant tables issued in October 2013. Any references to Tables E, F, G or I of the 2013-14 adjusted grant tables or Tables B, E, F, G or I of the 2014-15 initial grant tables relate to the last issue of the grant tables issued in October 2014 which form part of the funding agreement.

- a. In the 2013-14 initial grant tables Table B contains 2013-14 funding agreement requirements.
- b. In the 2013-14 adjusted grant tables:
 - i. Table E contains 2013-14 adjusted funding for old-regime students - mainstream HEFCE-fundable FTEs;

- ii. Table F contains 2013-14 adjusted funding for old-regime students - employer co-funded FTEs;
 - iii. Table G contains 2013-14 adjusted high-cost funding for new-regime students; and
 - iv. Table I shows the parameters used in the teaching funding models.
- c. In the 2014-15 initial grant tables:
- i. Table B contains 2014-15 funding agreement requirements;
 - ii. Table E contains 2014-15 initial funding for old-regime students - mainstream HEFCE-fundable FTEs;
 - iii. Table F contains 2014-15 initial funding for old-regime students - employer co-funded FTEs;
 - iv. Table G contains 2014-15 initial high-cost funding for new-regime FTEs; and
 - v. Table I shows the parameters used in the teaching funding models.

'Indicative 2013-14 funding' worksheet

4. Please be aware that these are provided for planning purposes only and will not be the actual funding figures.

'Table 1: Indicative 2013-14 final funding for old-regime, mainstream students'

5. 'Adjusted FTEs from HEIFES13' is taken from column E of Table E of the 2013-14 adjusted grant tables.
6. 'Adjusted funding' is taken from the final column of Table E of the 2013-14 adjusted grant tables.
7. In general, 'Rate of funding' is taken from column D of Table E of the 2013-14 adjusted grant tables. However, for some colleges the rates will not match those in the October grant tables because, as stated in the March grant letter, we have not implemented changes in October to teaching grant allocations that are subject to the three-stage recalculation process if in total they amount to less than £20,000 or 20 per cent of the college's total teaching grant, whichever is the higher. This may arise where:
- your college has gone through the assumed rates exercise and/or
 - a change has been made to your college's ILR data.

If as a result of the assumed rates exercise your college successfully appealed and received a non-zero rate, then this will be reflected in this column. If a change has been made to your college's ILR data the '2011-12 Rate of funding' is taken from column K of the 'MST' worksheet in the latest workbook which can be found in the 'ILR FAMD 2011-12 (incl. funding summaries and data reconciliation outputs)' download only file group on the HEFCE extranet.

8. '2013-14 FTEs (old-regime, mainstream) from ILR data' should be completed by colleges initially. This column should be taken from the 'ILR funding and monitoring data 2013-14: web facility' workbook which can be found on the HEFCE extranet. The required data can be found in Column E of Table 1 of the '2013-14 funding' worksheet. Copy this column into the blue cells in the worksheet. These figures will be replaced in the results package with the data from the web facility.

9. 'Indicative final funding (before scaling)' is the 'Rate of funding' multiplied by the '2013-14 FTEs (old-regime, mainstream) from ILR data'.

10. 'Indicative final funding (after scaling)' is the 'Indicative final funding (before scaling)' multiplied by the 'March 2015 scaling factor for 2013-14 funding (subject to confirmation)'. Please note that this scaling factor is highly provisional. We will confirm the scaling factor to be used for 2013-14 final funding early in 2015.

11. 'Indicative difference in FTEs' is '2013-14 FTEs (old-regime, mainstream) from ILR data' minus 'Adjusted FTEs from HEIFES13'.

12. 'Indicative difference in funding' is 'Indicative final funding (after scaling)' minus 'Adjusted funding'.

Table 2: Indicative 2013-14 final funding for old-regime, co-funded students'

13. 'Adjusted FTEs from HEIFES13' is taken from column E of Table F of the 2013-14 adjusted grant tables.

14. 'Adjusted funding' is taken from the final column of Table F of the 2013-14 adjusted grant tables.

15. 'Rate of funding' is taken from column D of Table F of the 2013-14 adjusted grant tables. However, for some colleges the rates will not match those in the October grant tables because, as stated in the March grant letter, we have not implemented changes in October to teaching grant allocations that are subject to the three-stage recalculation process if in total they amount to less than £20,000 or 20 per cent of the college's total teaching grant, whichever is the higher. This may arise where a change has been made to your college's 2011-12 ILR data.

If as a result of the assumed rates exercise your college successfully appealed and has received a non-zero rate, then this will be reflected in this column. If a change has been made to your college's 2011-12 ILR data the '2011-12 Rate of funding' is taken from column K of the 'COF' worksheet in the latest workbook which can be found in the 'ILR FAMD 2011-12 (incl. funding summaries and data reconciliation outputs)' download only file group on the HEFCE extranet.

16. '2013-14 FTEs (old-regime, co-funded) from ILR data' should be completed by colleges initially. This column should be taken from the 'ILR funding and monitoring data

2013-14: web facility' workbook which can be found on the HEFCE extranet. The required data can be found in column E of Table 2 of the '2013-14 funding' worksheet. Copy this column into the blue cells in the worksheet. These figures will be replaced in the results package with the data from the web facility.

17. 'Indicative final funding (before scaling)' is the 'Rate of funding' multiplied by the '2013-14 FTEs (old-regime, co-funded) from ILR data'.

18. 'Indicative final funding (after scaling)' is the 'Indicative final funding (before scaling)' multiplied by the 'March 2015 scaling factor for 2013-14 funding (subject to confirmation)'. Please note that this scaling factor is highly provisional. We will confirm the scaling factor to be used for 2013-14 final funding early in 2015.

19. 'Indicative difference in FTEs' is '2013-14 FTEs (old-regime, co-funded) from ILR data' minus 'Adjusted FTEs from HEIFES13'.

20. 'Indicative difference in funding' is 'Indicative final funding (after scaling)' minus 'Adjusted funding'.

'Table 3: Indicative 2013-14 final funding for new-regime, high-cost students'

21. 'Adjusted FTEs from HEIFES13' is taken from column D of Table G of the 2013-14 adjusted grant tables.

22. 'Adjusted funding' is taken from the final column of Table G of the 2013-14 adjusted grant tables.

23. 'Adjusted new-regime students attending courses in London' is taken from Table C of the 2013-14 adjusted grant tables, shown by price group.

24. '2013-14 New-regime FTEs from ILR data' should be completed by colleges initially. This column should be taken from the 'ILR funding and monitoring data 2013-14: web facility' workbook which can be found on the HEFCE extranet. The required data can be found in column D of Table 3 of the '2013-14 funding' worksheet. Copy this column into the blue cells in the worksheet. These figures will be replaced in the results package with the results of the web facility.

25. 'SNC adjustments related to over-recruitment in 2012-13' only apply if colleges over-recruited against their 2012-13 student number control and did not offset it sufficiently in 2013-14. The difference between the required offset and the actual offset against the 2013-14 student number control allocation to avoid further reduction for 2012-13 over-recruitment, from the 'Student number control grant adjustments for 2013-14' worksheet in the final 2013-14 grant adjustments workbook has been removed in proportion to 2013-14 full-time undergraduate new-regime students in price groups B, C1, C2 and D. Only the figures in price groups B and C1 will affect the recalculation of high-cost funding.

26. 'SNC adjustments related to over-recruitment in 2013-14' only apply if colleges over-recruited against the 2013-14 student number control (i.e. above the top of the flexibility range). The excess numbers ('Students in excess of 2013-14 student number control'), from the "Student number control grant adjustments for 2013-14" worksheet in the final 2013-14 grant adjustments workbook have been removed in proportion to 2013-14 full-time undergraduate new-regime students in price groups B, C1, C2 and D. Only the figures in price group B and C1 will affect the recalculation of high-cost funding.
27. 'Total FTEs' is the sum of the '2013-14 New-regime FTEs from ILR data', 'SNC adjustments related to over-recruitment in 2012-13' and 'SNC adjustments related to over-recruitment in 2013-14'.
28. 'Indicative final high-cost funding for new-regime students (before scaling)' is the 'Total FTEs' multiplied by the high-cost funding parameters shown on grant Table I of the 2013-14 adjusted grant tables.
29. 'Indicative final high-cost funding for new-regime students (after scaling)' is the 'Indicative final high-cost funding for new-regime students (before scaling)' multiplied by the 'March 2015 scaling factor for 2013-14 funding (subject to confirmation)'. Please note that this scaling factor is highly provisional. We will confirm the scaling factor to be used for 2013-14 final funding early in 2015.
30. 'Indicative new-regime students attending courses in London' is '2013-14 New-regime FTEs from ILR data' multiplied by the price group rates on grant Table I of the 2013-14 adjusted grant tables.
31. 'Indicative difference in FTEs' is '2013-14 New-regime FTEs from ILR data' minus 'Adjusted FTEs from HEIFES13'.
32. 'Indicative difference in high-cost funding for new-regime students' is 'Indicative final high-cost funding for new-regime students (after scaling)' minus 'Adjusted funding'.
33. 'Indicative difference in new-regime students attending courses in London' is 'Indicative new-regime students attending courses in London' minus 'Adjusted new-regime students attending courses in London'.

'Indicative 2014-15 funding' worksheet

34. Please be aware that these are provided for planning purposes only and will not be the actual funding figures.

'Table 1: Indicative 2014-15 adjusted funding for old-regime, mainstream students'

35. 'Initial FTEs from HEIFES13 Table 7' is taken from column E of Table E of the 2014-15 initial grant tables.

36. 'Initial funding' is taken from the final column of Table E of the 2014-15 initial grant tables.

37. In general, 'Rate of funding' is taken from column D of Table E of the 2014-15 initial grant tables. However, for some colleges the rates will not match those in the October grant tables because, as stated in the March grant letter, we have not implemented changes in October to teaching grant allocations that are subject to the three-stage recalculation process if in total they amount to less than £20,000 or 20 per cent of the college's total teaching grant, whichever is the higher. This may arise where:

- your college has gone through the assumed rates exercise and/or
- a change has been made to your college's ILR data.

If as a result of the assumed rates exercise your college successfully appealed and received a non-zero rate, then this will be reflected in this column. If a change has been made to your college's ILR data the '2011-12 Rate of funding' is taken from column K of the 'MST' worksheet in the latest workbook which can be found in the 'ILR FAMD 2011-12 (incl. funding summaries and data reconciliation outputs)' download only file group on the HEFCE extranet.

38. '2014-15 FTEs (old-regime, mainstream)' are taken from Tables 1 to 3 of HEIFES14. Sandwich year-out FTEs are the sandwich year-out countable years divided by two.

39. 'Indicative adjusted funding (before scaling)' is the 'Rate of funding' multiplied by the '2014-15 FTEs (old-regime, mainstream)'.

40. 'Indicative adjusted funding (after scaling)' is the 'Indicative adjusted funding (before scaling)' multiplied by the 'March 2015 scaling factor for 2014-15 funding (subject to confirmation)'. We will confirm the scaling factor to be used for 2014-15 adjusted funding early in 2015.

41. 'Indicative difference in FTEs' is '2014-15 FTEs (old-regime, mainstream)' minus 'Initial FTEs from HESES13 Table 7'.

42. 'Indicative difference in funding' is 'Indicative adjusted funding (after scaling)' minus 'Initial funding'.

'Table 2: Indicative 2014-15 adjusted funding for old-regime, co-funded students'

43. 'Initial FTEs from HEIFES13 Table 7' is taken from column E of Table F of the 2014-15 initial grant tables.

44. 'Initial funding' is taken from the final column of Table F of the 2014-15 initial grant tables.

45. 'Rate of funding' is equal to the '2011-12 Rate of funding' from Table 2 of the 'Indicative 2013-14 funding' worksheet.

46. '2014-15 FTEs (old-regime, co-funded)' are taken from Tables 1 to 3 of HEIFES14. Sandwich year-out FTEs are the sandwich year-out countable years divided by two.

47. 'Indicative adjusted funding (before scaling)' is the 'Rate of funding' multiplied by the '2014-15 FTEs (old-regime, co-funded)'.

48. 'Indicative adjusted funding (after scaling)' is the 'Indicative adjusted funding (before scaling)' multiplied by the 'March 2015 scaling factor for 2014-15 funding (subject to confirmation)'. We will confirm the scaling factor to be used for 2014-15 adjusted funding early in 2015.

49. 'Indicative difference in FTEs' is '2014-15 FTEs (old-regime, mainstream)' minus 'Initial FTEs from HESES13 Table 7'.

50. 'Indicative difference in funding' is 'Indicative adjusted funding (after scaling)' minus 'Initial funding'.

Table 3: Indicative 2014-15 adjusted funding for new-regime, high-cost students'

51. 'Initial FTEs from HEIFES13 Table 7' is taken from column D of Table G of the 2014-15 initial grant tables.

52. 'Initial funding' is taken from the final column of Table G of the 2014-15 initial grant tables.

53. 'Initial new-regime students attending courses in London' is taken from Table C of the 2014-15 initial grant tables, shown by price group.

54. '2014-15 New-regime FTEs' are taken from Tables 1 to 3 of HEIFES14. Sandwich year-out FTEs are the sandwich year-out countable years divided by two.

55. 'SNC adjustments related to over-recruitment in 2012-13' only apply if colleges over-recruited against their 2012-13 student number control and did not offset it sufficiently in 2013-14 or 2014-15. The difference between the required offset and the actual offset against the 2014-15 student number control allocation to avoid further reduction for 2012-13 over-recruitment, from the 'HBK' worksheet has been removed in proportion to 2014-15 full-time undergraduate new-regime students in price groups B, C1, C2 and D. Only the figures in price groups B and C1 will affect the recalculation of high-cost funding.

56. 'SNC adjustments related to over-recruitment in 2013-14' only apply if colleges over-recruited against their 2013-14 student number control (i.e. above the top of the flexibility range) and did not offset it sufficiently in 2014-15. The difference between the required offset and the actual offset against the 2014-15 student number control allocation to avoid further reduction for 2013-14 over-recruitment, from the 'HBK' worksheet has been removed in proportion to 2014-15 full-time undergraduate new-regime students in price groups B, C1, C2 and D. Only the figures in price groups B and C1 will affect the recalculation of high-cost funding.
57. 'SNC adjustments related to over-recruitment in 2014-15' only apply if colleges have over-recruited against the 2014-15 student number control (i.e. above the top of the flexibility range). The excess numbers, from the 'HBK' worksheet ('Students in excess of the top of the flexibility range') have been removed in proportion to 2014-15 full-time undergraduate new-regime students in price groups B, C1, C2 and D. Only the figures in price group B and C1 will affect the recalculation of high-cost funding.
58. 'Total FTEs' is the sum of the '2014-15 New-regime FTEs', 'SNC adjustments related to over-recruitment in 2012-13', 'SNC adjustments related to over-recruitment in 2013-14' and 'SNC adjustments related to over-recruitment in 2014-15'.
59. 'Indicative adjusted high-cost funding for new-regime students (before scaling)' is the 'Total FTEs' multiplied by the high-cost funding parameters shown on grant Table I of the 2014-15 initial grant tables.
60. 'Indicative adjusted high-cost funding for new-regime students (after scaling)' is the 'Indicative adjusted high-cost funding for new-regime students (before scaling)' multiplied by the 'March 2015 scaling factor for 2014-15 funding (subject to confirmation)'. Please note that this scaling factor is highly provisional. We will confirm the scaling factor to be used for 2014-15 adjusted funding early in 2015.
61. 'Indicative new-regime students attending courses in London' is '2014-15 New-regime FTEs' multiplied by the price group rates on grant Table I of the 2014-15 initial grant tables.
62. 'Indicative difference in FTEs' is '2014-15 New-regime FTEs' minus 'Initial FTEs from HEIFES13 Table 7'.
63. 'Indicative difference in high-cost funding for new-regime students' is 'Indicative adjusted high-cost funding for new-regime students (after scaling)' minus 'Initial funding'.
64. 'Indicative difference in new-regime students attending courses in London' is 'Indicative new-regime students attending courses in London' minus 'Initial new-regime students attending courses in London'.

'Indicative 2015-16 funding' worksheet

65. Please be aware that these are provided for planning purposes only and will not be the actual funding figures.

'Table 1: Indicative 2015-16 funding'

66. '2014-15 FTEs (old-regime, mainstream)' are taken from Tables 1 to 3 of HEIFES14. Sandwich year-out FTEs are the sandwich year-out countable years divided by two.

67. '2014-15 FTEs (old-regime, co-funded)' are taken from Tables 1 to 3 of HEIFES14. Sandwich year-out FTEs are the sandwich year-out countable years divided by two.

68. '2014-15 FTEs (new-regime)' are taken from Tables 1 to 3 of HEIFES14. Sandwich year-out FTEs are the sandwich year-out years of instance divided by two.

69. 'Base FTEs' is the sum of '2014-15 FTEs (old-regime, mainstream)', '2014-15 FTEs (old-regime, co-funded)' and '2014-15 FTEs (new-regime)'.

70. 'SNC adjustments related to over-recruitment in 2013-14' is difference between the required offset and the actual offset against the 2014-15 student number control allocation to avoid further reduction for 2013-14 over-recruitment, from the 'HBK' worksheet multiplied by the continuation rate from year 2 to 3 from grant Table B of the 2014-15 initial grant tables, removed in proportion to full-time undergraduate base FTEs in price groups B, C1, C2 and D. Only the figures in price groups B and C1 will affect the recalculation of high-cost funding.

71. 'SNC adjustments related to over-recruitment in 2014-15' is the excess numbers, from the 'HBK' worksheet ('Students in excess of 2014-15 student number control') multiplied by the continuation rate from year 1 to 2 from grant Table B of the 2014-15 initial grant tables, removed in proportion to full-time undergraduate base FTEs in price groups B, C1, C2 and D. Only the figures in price group B and C1 will affect the recalculation of high-cost funding.

72. 'Total FTEs for 2015-16 funding' is the sum of the 'Base FTEs', 'SNC adjustments related to over-recruitment in 2013-14' and 'SNC adjustments related to over-recruitment in 2014-15'.

73. 'Indicative high-cost funding (before scaling)' is the 'Total FTEs for 2015-16 funding' multiplied by the high-cost funding parameters shown on grant Table I of the 2014-15 initial grant tables: these rates are being used until the 2015-16 rates are confirmed early in 2015.

74. 'Indicative high-cost funding (after scaling)' is the 'Indicative high-cost funding (before scaling)' multiplied by the 'March 2015 scaling factor for 2015-16 funding (subject to confirmation)'. Please note that this scaling factor is highly provisional. We will confirm the scaling factor to be used for 2015-16 initial funding early in 2015.

75. 'Indicative part-time undergraduates' is the 'Total FTEs for 2015-16 funding' multiplied by the part-time undergraduate parameter shown on grant Table I of the 2014-15 initial grant tables: this rate is being used until the 2015-16 rate is confirmed early in 2015.

76. 'Indicative accelerated full-time undergraduate provision' is the 'Total FTEs for 2015-16 funding' multiplied by the accelerated full-time undergraduate provision parameters shown on grant Table I of the 2014-15 initial grant tables: these rates are being used until the 2015-16 rates are confirmed early in 2015.

77. 'Indicative intensive postgraduate provision' is the 'Total FTEs for 2015-16 funding' multiplied by the intensive postgraduate provision parameters shown on grant Table I of the 2014-15 initial grant tables: these rates are being used until the 2015-16 rates are confirmed early in 2015.

78. 'Indicative students attending courses in London' is the 'Total FTEs for 2015-16 funding' multiplied by the new-regime students attending courses in London parameters shown on grant Table I of the 2014-15 initial grant tables: these rates are being used until the 2015-16 rates are confirmed early in 2015.

'Table 2: Indicative 2015-16 Erasmus+ and overseas study programmes targeted allocation'

79. 'FTEs' are taken from Table 4 of HEIFES14. 'Outgoing Erasmus+ year abroad' is the sum of full-time and sandwich year-out students recorded as being on an outgoing Erasmus+ year abroad. 'Outgoing students taking a year abroad outside the Erasmus+ programme' is the sum of full-time students recorded as outgoing students taking a year abroad outside the Erasmus+ programme.

80. 'Funding' is the 'FTEs' multiplied by a rate of £2,334, as shown on grant Table I of the 2014-15 initial grant tables: this rate is being used until the 2015-16 rate is confirmed early in 2015.