

Guide to the Data Submission Process

For T9 Appeals



March 2016

Ofqual/16/5900

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1 Appeals

Introduction

In order to fulfil our regulatory and accreditation functions as set out in the Apprenticeships, Skills, Children and Learning Act 2009¹, we require awarding organisations to provide exams delivery data for regulated qualifications.

When will data be collected?

Data will be collected according to the reporting schedule which is agreed and maintained by Ofqual's Data Services team.

What data will be collected?

Appeals data will be collected for GCE and GCSE. The figures should include applied subjects and short courses.

How will the data be collected?

Awarding Organisations will need to login to the secure FTP site and upload a CSV file to the relevant folder, e.g. T9_Appeals.

In each folder, there will also be two sub folders called **Valid** and **Invalid**. Every time a file is uploaded, the validation process will generate a report, either confirming the file is valid or invalid.

This report will then be placed in the **Valid** or **Invalid** folder for reference. When an invalid report has been generated, a notification e-mail will be sent to AOs and the invalid CSV file will be removed from the folder it was placed in so that a replacement file can be uploaded.

General completion guidelines

- If submitting country specific data, awarding organisations should enter the relevant country. Alternatively, if submitting total figures for all countries combined, the word '**All**' should be entered in the country field.

¹ <http://www.legislation.gov.uk/ukpga/2009/22/contents>

2 File formats

Standard formats

The first row of the file must be headers as displayed in the 'Name' column of the table below. The subsequent rows must have the values of the data to be reported which must match the format and validation rules below.

Appeals

Name	Description	Position	Validation Regular Expression	Description of Regular Expression
Exam Series	Exam series data relates to e.g. June 2016	1	^(January March June November)([][0-9][0-9][0-9][0-9])\$	Full month name of exam series and year.
Awarding Organisation	Name of the awarding organisation	2	^.{1,100}\$	One, to one hundred characters accepted
Reporting date	Date the file was due to be submitted regardless of when it was actually uploaded. Format accepted either 103 British/French dd/mm/yyyy , or 120 ODBC canonical yyyy-mm-dd .	3	^(20)\d\d[-](0[1-9] 1[012])[-](0[1-9] 12)[0-9] 3[01])\$	The date in the following format: day (two digits), forward slash, month (two digits), forward slash, year (four digits), or: year (four digits), a dash, month (two digits), a dash, day (two digits).
Qualification	Type of qualification e.g. GCSE & GCE	4	^(GCSE GCE)\$	One of the following list: GCSE, GCE

Name	Description	Position	Validation Regular Expression	Description of Regular Expression
Country	For AO's to enter the specific country the data relates to e.g. England, Wales, Northern Ireland. If data is an accumulative figure for all countries AO's should enter All	5	^(England Wales Northern Ireland All Non UK Other UK Regions)\$	England, Wales, Northern Ireland, Non UK, Other UK Regions and/or All will be accepted
Stage 1 Appeals received	The number of appeals received for Stage 1	6	^d{1,4}\$	A numeric value between 0 and 9,999 accepted
Stage 1 Appeals completed	The number of Stage 1 Appeals completed	7	^d{1,4}\$	A numeric value between 0 and 9,999 accepted
Stage 2 Appeals still in progress	The number of Stage 2 Appeals still in progress	8	^d{1,4}\$	A numeric value between 0 and 9,999 accepted
Stage 2 Appeals completed and decision letter sent	The number of Stage 2 Appeals completed and decision letter sent	9	^d{1,4}\$	A numeric value between 0 and 9,999 accepted

3 Contacts

For all queries relating to the data collection process, amendments to data previously provided, or for general guidance, please contact:

Awarding Body Performance
 Office of Qualifications and Examinations Regulation
 Spring Place
 Coventry Business Park
 Herald Avenue
 Coventry CV5 6UB

Email

Data.Services@ofqual.gov.uk

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