

# Early years census 2017

Guide, version 1.2

November 2016

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### Version history

This gives details of any changes made to the content of this document from the 2015 early years census and any subsequent changes made to the first published version.

1.0	All dates updated.	Phil Dent
	1.2.1 - New data items	09/05/2016
	a) Language code - added	
	b) Pupil Country of birth - added	
	c) Pupil nationality - added	
	d) Unique property reference number - added	
	e) Staff qualifications – added / deleted	
	The staff qualifications element has deleted older codes and replaced these with new codes.	
	1.2.2 – Existing data items	
	a) Ethnicity - amended	
	1.2.3 – Deleted data items	
	a) Contact information:	
	4.1.7 – Collection contact surname – deleted	
	4.1.8 – Collection contact forename – deleted	
	4.1.9 – Collection contact middle name(s) – deleted	
	4.1.10 – EY provider email – deleted	
	4.1.11 – Contact position - deleted	
	4.2 – Staff qualifications – paragraphs 4.2.3 to 4.2.10 added	
	5.2.2 – Language code – added	
	5.2.3 – Pupil country of birth – added	
	5.2.4 – Pupil nationality – added	
	5.5.14 – Unique property reference number – added	

1.1	5.2.3 – Pupil country of birth – amended – additional clarification on why collected added	Phil Dent 12/09/2016
	5.2.4 – Pupil nationality – amended – additional clarification on why collected added	
	Annex A – Section 3 – amended – new data items added to indicative table	
1.2	8 – Codesets – Language codes – amended – the Italian sub-codes have been deleted from the codeset (CBDS RFC 918 refers).	Phil Dent 13/10/2016

### 1. Introduction

### 1.1 Purpose of this document

This document is provided for use by local authorities who fund early years provision, to enable relevant staff to:

- understand the rationale behind, and purpose of, the early years census (section 1)
- prepare for the early years census
- populate their systems with the required data for children and providers (sections <u>4</u> and <u>5</u>)
- keep their data up to date during the year; and
- complete the early years census return for 2017 (section 2)

It is envisaged that this document will be used as a handbook by local authorities for data collected and stored throughout the year, rather than just as guidance on census day.

This document is published on the department's website.

Local authorities may choose to use it directly with their providers or adapt it to suit their local needs as appropriate. Software suppliers and developers of in-house systems may also find it a useful reference document.

Information on how the data collected in the early years census is used in the calculation of the dedicated school grant is published on the department's <u>website</u>.

### **1.2 Changes from the 2016 early years census**

### 1.2.1 New data items

### a) Language code

This new data item for the EY census records the language code of the child and is collected for all children. See paragraph 5.2.2 for full details.

### b) Pupil country of birth

This new data item records the country of birth of the child, see paragraph 5.2.3 for full details and is collected for all children.

### c) Pupil nationality

This new data item records the nationality of the child, see paragraph 5.2.4 for full details, and is collected for all children.

### d) Unique property reference number

This new data item is added to the home information module and allows the unique property reference number (UPRN) to be returned, see paragraph 5.5.14 for full details.

#### e) Staff qualifications

Paragraph 4.2 – staff information - has been amended for new staff qualification data items:

- i. Data item <<u>EstabL2</u>> the number of staff in the establishment with a full and relevant level 2 qualification
- Data item <<u>EstabL2Maths</u>> the number of staff in the establishment with a full and relevant level 2 qualification and with GCSE Maths at grade C or above – or an equivalent qualification
- iii. Data item <<u>EstabL2English</u>> the number of staff in the establishment with a full and relevant level 2 qualification and with GCSE English at grade C or above – or an equivalent qualification
- iv. Data item <<u>EstabL3Maths</u>> the number of staff in the establishment with a full and relevant level 3 qualification or level 3 Early Years Educator qualification and with GCSE Maths at grade C or above or an equivalent qualification
- Data item <<u>EstabL3English</u>> the number of staff in the establishment with a full and relevant level 3 qualification or level 3 Early Educator qualification and with GCSE English at grade C or above – or an equivalent qualification
- vi. Data item <<u>Level3P</u>> the number of staff with a full and relevant level 3 qualification (gained pre September 2014) working with 2, 3 and 4 year old children
- vii. Data item <<u>Level3A</u>> the number of staff with a level 3 Early Years
  Educator qualification (gained post September 2014) working with 2, 3 and
  4 year old children

### 1.2.2 Existing data items

### (a) Ethnicity

The collection of ethnicity is now required for all children and is no longer an optional item within the EY census.

### 1.2.3 Deleted data items

### (a) Staff qualifications

The following data items have been deleted from paragraph 4.2 - staff information module:

- i. Data item <EstabTeachersinEY>
- ii. Data item <EstabQTSTeachers>
- iii. Data item <EstabEYTSTeachers>
- iv. Data item <EstabEYPSteachers>
- v. Data item <EYQTS>
- vi. Data item <EYEYTS>
- vii. Data item <EYEYPS>

#### (b) Contact information

The following paragraphs have been removed from section 4.1 – Establishment characteristics module (with subsequent paragraphs renumbered):

- 4.1.7 collection contact surname
- 4.1.8 collection contact forename
- 4.1.9 collection contact middle name(s)
- 4.1.10 EY provider email
- 4.1.11 contact position

### **1.3 Who is in the scope of the early years census?**

All English providers of funded early education in the private, voluntary and independent (PVI) sectors are within the scope of the early years census. It is mandatory to collect the data for the early years census at individual child level for children taking up a funded place. Other children fall outside the scope of the census except for a few aggregate measures at establishment level. The census must only include PVI providers that have funded children. Any PVI provider within the local authority that has *no funded* children at the time of the census must **NOT** be included.

For the purposes of this collection the following ages are defined as:

- a 2 year old has a date of birth between 1 January 2014 and 31 December 2014
- a 3 year old has a date of birth between 1 January 2013 and 31 December 2013
- a 4 year old has a date of birth between 1 January 2012 and 31 December 2012

### 1.3.1 Which census should be used?

Schools with on-site early years **funded** provision make their return via **EITHER** the school census **OR** the early years census, **BUT NOT BOTH**. This paragraph describes which census is used to return data on children receiving **funded** early education:

- a) registered pupils of the school (2, 3 and 4 year olds depending on the statutory age range of the school) are recorded via the **school census** (and **not** the early years census)
- b) children (aged 2, 3 and 4) attending a separate Ofsted registered PVI provider on a school site, are recorded via the early years census (and not the school census)
- c) children attending s27 (governor run) provision should be either:
  - i. recorded via the **school census**-where they are registered pupils of the school (can include 2 year olds if the school's statutory age range covers 2-year-olds); **or**
  - ii. recoded via the **early years census** where they are not registered pupils of the school

Children may, of course, appear on the two different censuses where their free entitlement is split between two different settings. In which case, the number of funded hours for each child across all provision is limited to 15 hours.

### **1.4 Rationale behind the early years census**

The child-level early years census ensures that individual-level data on two, three and four-year old children in early years settings is available in a similar manner to that collected on children in mainstream schools via the school census. This enables a more accurate distribution of funding to schools and local authorities, better demonstration of proper accountability for the expenditure in this area, and better formulation and evaluation of policy.

When developing data collection, the department is committed to four key principles. These are that:

- data should be collected once and used many times
- collection and sharing of data should be fully automated
- the value of any data collected should demonstrably outweigh the costs; and
- personal data on individuals should be properly protected

The data items in the early years census (listed in sections  $\frac{4}{5}$  and  $\frac{5}{5}$  of this document) are only those items that are essential and likely to be held by most providers or local authorities.

The data collected and transmitted are as defined in the common basic data set (CBDS).

### **1.6 Data protection and data sharing**

Data from which it is possible to identify children (in any medium, including within a MIS) is personal data. Such personal data must be managed in accordance with the requirements of the <u>Data Protection Act 1998</u>. All staff with access to personal data are to be aware of their responsibilities under the Act. Local authorities should advise providers about their responsibility to hold data in accordance with the Act, including the requirement to issue parents with a fair processing notice explaining how their data and data about their child is to be used.

### 1.6.1 Legal duties under the data protection act: privacy notices

The 'Data Protection Act 1998' puts in place certain safeguards regarding the use of personal data by organisations, including the department for education (DfE), local authorities and schools. The Act gives rights to those (known as data subjects) about whom data is held, such as pupils, their parents and teachers. This includes:

- the right to know the types of data being held
- why it is being held
- to whom it may be communicated

A 'privacy notice' is a good way to be able to meet data subjects rights and therefore DfE recommend they are used to explain to pupils and staff how their data is being used in the census collections including the school workforce and school census. DfE have drafted template <u>privacy notices</u> that schools and local authorities may like to use, however, they should be reviewed, amending as necessary to reflect business need and ideally include <u>this link</u> to the gov.uk webpage on how DfE collect and share data.

It is recommended that the privacy notice be included as part of an induction pack for staff and be put on the school website for parents, as well as potentially featured on the staff notice board/intranet. They do not need to be issued on an annual basis as long as new pupils and staff are made aware of the notices and they are readily available electronically or in paper format.

### **1.6.2 Legal duties under the data protection act: data security**

Schools and local authorities have a legal duty under the data protection act to ensure that any personal data they process is handled and stored securely. Further information is available from the <u>Information Commissioners Office</u>.

If personal data is not properly safeguarded it could damage your reputation and compromise the safety of individuals. Your responsibility as a data controller extends to those who have access to your data beyond your organisation if working on your behalf, eg if external IT suppliers can remotely access your information. The '<u>10 steps to cyber</u> <u>security</u>' and '<u>Responsible for information</u>' pages provide further guidance and advice.

It is vital that all staff with access to personal data understand the importance of protecting it; that they are familiar with your security policy; and that they put security procedures into practice. So you should provide appropriate initial and refresher training. Further information on handling data securely can also be found in the <u>DfE guidance on data protection for schools consider cloud software services</u>.

### **1.7 Structure of the early years census**

The 2017 early years census is in two parts – establishment level and child level - with each level containing groupings of individual data items. The data items contained within each level are listed at paragraphs  $\frac{4}{5}$ .

### 1.8 Key concepts

### 1.8.1 Data quality

In order to properly account for children taking up funded early education places and to allocate funding fairly, it is important that the data collected by providers and local authorities - for onward transmission to the department - is both accurate and complete. In sections <u>4</u> and <u>5</u> there is a complete list of data items required by the census, together with an explanation of each item. For instance, it is important that a child's correct and full name is recorded and not just a shortened version. Where providers of data adhere to this requirement, it will help ensure that duplicate records are quickly identified and aid the speed of the process by which funding is allocated to providers.

### 1.8.2 Duplicate records

A child may legitimately attend more than one early years (EY) setting. It is only a problem if the total number of funded hours for a child exceeds the funded entitlement, which is 15 hours a week for 38 weeks a year. It is likely that the only time that this will be identified is when duplicate names are submitted to the local authority by two different providers or when the department finds duplicates for a child accessing a funded place in a neighbouring local authority.

Providers and local authorities are requested to make every effort to avoid duplicate records being submitted. A report is available on the COLLECT system which identifies duplicate records. Where duplicate records are discovered during or after the process to allocate funding, discussions will need to take place between the providers concerned and the local authorities involved in order to clarify where the funding for the particular child is to be allocated.

### 1.8.3 Unique identification

Whilst much consideration has been given to how a child can be uniquely identified, the unique pupil number (UPN), which is used in schools, is not available for children in PVI

provision. As such, child record matching is based on name, date of birth and postcode of each child and it is very important that the data provided for these items is accurate.

### 2. Completing the early years census

This section provides information on how to complete the census. It covers when the census is prepared, when data is generated and what steps are to be taken on or around census day.

### **1.5 Statutory basis of the census**

The individual level data collection from PVI settings is a statutory requirement on providers and local authorities through regulations under <u>Section 99 of the Childcare Act</u> 2006 and <u>The Education (Provision of Information About Young Children) (England)</u> Regulations 2009.

This means that:

- the word "providers" is applied to both individual childminders and childminder agencies
- providers do not need to obtain consent for the provision of information from parents of individual children. They must, however, meet their obligations to data subjects under the data protection act – see paragraph 1.6 below
- providers and local authorities are protected from any legal challenge that they are breaching a duty of confidence; and
- providers are required to complete a return

### 2.1 Census dates

Every local authority is required to provide an individual child level early years census return in 2017 to the department.

Every funded PVIEY setting in England is required to provide the necessary information to their local authority to enable them to complete this return.

The key dates are as follows:

- Thursday 19 January 2017 is census day. The census will collect data on all children accessing funded provision during census week
- Friday 10 March 2017 the deadline for the 2017 submission of data to the department
- local authorities may want to notify their providers of their own local deadline for the submission of data and it is vital that they comply with these

### 2.2 Census generation process

- Data from PVI providers is passed to the local authority early years contacts and may be in paper or electronic format. A data collection template is available at <u>annex A</u> with a guide to completion at <u>annex B</u>, which local authorities can choose to use with their providers. The data provided must be signed off as accurate and complete by the head of the establishment.
- Local authority either inputs or imports data into its own MIS. Data will preferably be validated on entry.
- Any queries are resolved between the local authority and the provider.
- Local authority produces the census return according to the business and technical specification published on the department's <u>website</u>.
- Local authority uploads the return to the department via the COLLECT system.
- Further validation takes place in COLLECT. Any errors are resolved in partnership with the provider so that consistency of records is maintained.
- Local authority return is finally submitted to the department via COLLECT no later than Friday 10 March 2017.

## 2.3 Provision of data by early years settings to the local authority

Providers must ensure that their data is produced to reflect the children receiving funded early education in their setting on census day and that all data items described in sections  $\underline{4}$  and  $\underline{5}$  are completed. As soon as possible after that, this information is to be submitted to their local authority contact and this may be in either paper or electronic format. Providers and local authorities must agree a process for this to take place. Local authorities may choose to use the template at <u>annex A</u>.

Validation of data supplied by local authorities is undertaken within the COLLECT system. Any validation that takes place prior to loading is very helpful. This will reduce the number of validation errors when the census return is generated, and substantially reduce the number of errors in your return and the work needed to subsequently resolve these.

We recognise that providers will vary in their means of producing a paper or electronic return and, therefore, do not prescribe how the data is transmitted to the local authority. It is the responsibility of the local authority to provide a means of transferring data between the provider and the local authority.

### 2.4 Data checking and validation

Census data is used by the department's policy divisions, other government departments, local authorities, schools, external agencies and educational researchers. The data is also used for funding purposes and accuracy of data is therefore paramount.

The software may contain a series of 'data checks' which help to identify and correct errors and inconsistencies in the data prior to generating the census return. Please go through this process carefully as it will substantially reduce the number of validation errors in the return and the work needed subsequently to resolve these.

Local authorities must ensure that every return from providers or from Childminder Agencies (CMAs) has been authorised by the head of the establishment.

### **2.5 Resolving conflicts**

Errors and inconsistencies in the data are resolved between the local authority and the provider before the full return for the local authority is run. Local authorities must advise their providers of the means by which this process will be undertaken.

### 2.6 Generation of the early years census return by the local authority

This guide is to be read in conjunction with the early years census business and technical specification which is published on the department's <u>website</u>.

For the individual level data collection you will need to export your data from the spreadsheet or database you are using and load it into the department's COLLECT system.

The business and technical specification outlines the requirements for a single data file from each EY setting within an authority. If there are, for example, 120 EY settings within your authority then the department will expect 120 files with each one containing a return from a setting. Each file must contain data as outlined in the specification in respect of content, structure, and format.

### 2.6.1 CSV to XML converter spreadsheet

The department can only accept data that conforms to the xml structure as per the specification. If the data is organised in any other way then it will not load and will not be validated. In these circumstances, the data will need to be reformatted in such a way as to bring it in line with the specification.

A single file per setting is required that complies with the structure and format as set out in the specification and this should, ideally, be an xml file. However, if the local authority has generated a csv file (which is compliant with the structure in the specification) then this may be rendered loadable if it is passed through the csv to xml converter tool that the department makes available. This tool outputs a correctly formatted xml file that can then be uploaded into COLLECT. Please contact the data collection helpdesk to request this converter by completing a service request <u>form</u>.

### 2.6.2 Data entry spreadsheet

The department provides a data entry spreadsheet which enables the input of file header and individual pupil record information. On completion of entry of the data the file can then be converted to xml format, via the spreadsheet, to allow for uploading to COLLECT. The availability of the data entry spreadsheet will be announced in the early years census 'early warning' and 'final readiness' news bulletins and published on the department's <u>website</u>.

### 2.7 Sending the early years census return to the department

Local authorities will submit the required data to the department via COLLECT using the following steps:

- log onto the department's secure website.
- to upload a file either:
  - upload a single establishment file access COLLECT as many times as you wish and upload single files (drip feeding)
  - or
- upload a ZIP file containing the files from many individual establishments -COLLECT will "unpack" the ZIP file and load and validate your data return

Successfully loaded data is subjected to validation. If you have loaded a ZIP file containing data from many providers then the validation process may take some time.

After validation is complete you are able to view your data return and observe the validation outcomes and decide if any further action is required in response to them. For example, you may need to query something with a setting and return to COLLECT to make a change to the data. You may wish to run a report from COLLECT or export the validated data set so that you can use it in another system. Ultimately you must 'approve' the data so that the department can consider it to be finalised.

The above is a brief outline of the process. Prior to the data collection you will be able to access detailed COLLECT guidance documentation. Availability of this guidance will be announced in the early years census 'early warning' and 'final readiness' news bulletins and published on the department's <u>website</u>.

### 3. Preparation – data items required

### **3.1 Introduction**

Most of the data items collected in the early years census are those which a provider is expected to use to secure funding and for its own purposes. The majority of items will be kept up to date as part of normal business processes.

Sections  $\underline{4}$  and  $\underline{5}$  give detailed information of all the data items that are required for the census

### 3.2 Data to be collected in the early years census

The early years census collects two sets of data:

Data level	Description
Establishment level	Data relating to the PVI early education provider.
Pupil / child level	Data relating to the individual child taking up funded early education

The data items are fully defined in the <u>business and technical specification</u> and the <u>CBDS</u>. Additionally, codesets for individual data items are given at section  $\underline{8}$ .

### 4. Establishment level

This section lists in detail the data items required for the establishment level of the early years census

### 4.1 Establishment characteristics module

### 4.1.1 Local authority (LA) Number

The local authority three digit code identifies a particular local authority. The local authority number represents the one that is responsible for funding children within the setting. It relates to the geographical area within which the provider is situated.

**Please note:** This is also important for agency-registered childminders (CMs) as a childminder agency (CMA) may operate across a number of local authorities with CMs based in different local authorities. The census return is to be sent to the local authority which funds the CM (which will be the local authority in which the CM operates). It is vital that this is correct as errors may affect the calculation of the local authority dedicated school grant (DSG) and the recording of take-up figures at local authority level.

### 4.1.2 Establishment unique reference number

The local authority issued unique reference number (URN) for the EY provider must be used by all PVI providers and independent CMs submitting a census return direct to the local authority. As CMAs are not required to give their registered CMs a reference number, local authorities must allocate a URN for each return submitted by a CMA on behalf of their CMs before submitting data to the department.

### 4.1.3 Ofsted EY URN

The Ofsted unique reference number for the EY provider. A 6 digit number prefixed by 'EY'. Ofsted will also provide the reference number for CMAs. CMs registered with agencies will not have an Ofsted EY URN.

### 4.1.4 Establishment name

EY provider establishment name in full.

### 4.1.5 Telephone number

Main EY provider telephone number which is used for official purposes.

### 4.1.6 Postcode

The code allocated by the post office to for the establishment address.

### 4.1.7 Category of EY provider

Shows the category of provision – eg private or voluntary (see codeset at section 8).

### 4.1.8 EY Provider category other

Shows the category of provision where the category is not one of the named values. Accompanying textbox only applicable where 'category of EY provider = OTHR' - see codeset at section  $\underline{8}$ .

### 4.1.9 Type of EY setting

Shows the type of provider eg day nursery - only applicable where 'category of EY provider' is 'PRIV' or 'VOLY' (other providers do not need to complete this and should leave it blank) - see codeset at section  $\underline{8}$ .

### 4.1.10 EY setting type other

Shows the type of setting where the EY setting is not one of the named values in the codeset. Accompanying textbox only applicable where 'type of EY setting = OTH' - see codeset at section  $\underline{8}$ .

### 4.1.11 EY day care

Whether full day or sessional care is provided - only applicable where 'category of EY provider' is 'PRIV' or 'VOLY' - see codeset at section  $\underline{8}$ . Other providers do not need to complete this and should leave it blank.

### 4.1.12 EY day care other

Shows the type of day care where the care provided is not one of the named values. Accompanying textbox only applicable where 'type of day care = 'O''. Other providers do not need to complete this and should leave it blank.

### 4.1.13 Continuous opening

Indicates whether or not the provider is open continuously throughout the day.

### 4.1.14 Maintained school relationship

Indicates whether or not an EY provider operates on the premises of a maintained school, or has a contract or partnership agreement with a maintained school.

### 4.1.15 Other establishment partnership

Indicates whether or not an EY provider works in partnership with another establishment to provide funded early education.

### 4.1.16 EY hours open per week

Number of hours each week that the EY provider is open for (to the nearest half hour, and where the half hour is represented by 0.5).

### 4.1.17 EY weeks open per year

Number of weeks per year that the EY provider is open for (to the nearest half week, and where the half week is represented by 0.5).

### 4.1.18 EY funding weeks

For how many weeks in the current calendar year is the provider open and funded by the local authority (to the nearest half week, and where the half week is represented by 0.5). This item is only mandatory for providers open and funded for more than 38 weeks.

### 4.2 Staff information module

### 4.2.1 Types of staff qualifications

Staff qualifications are collected for all staff within the establishment and those directly working with 2, 3 and 4 year old children. The collection requirements are given below.

### 4.2.2 Total teaching staff at establishment

The total number of teaching staff, both paid and unpaid, at an establishment from which EY data is collected. Includes EY and other staff.

### 4.2.3 Total staff at establishment with a full and relevant level 2 qualification

Those of the total teaching staff with a full and relevant level 2 qualification.

# 4.2.4 Total staff at establishment with a full and relevant level 2 qualification and with GCSE Maths at grade C or above – or an equivalent qualification

Those of the total teaching staff with a full and relevant level 2 qualification and with GCSE Maths at grade C or above – or an equivalent qualification.

# 4.2.5 Total staff at establishment with a full and relevant level 2 qualification and with GCSE English at grade C or above – or an equivalent qualification

Those of the total teaching staff with a full and relevant level 2 qualification and with GCSE English at grade C or above – or an equivalent qualification.

# 4.2.6 Total staff at establishment with a full and relevant level 3 qualification or level 3 Early Years Educator qualification and with GCSE Maths at grade C or above – or an equivalent qualification

Those of the total teaching staff a full and relevant level 3 qualification or level 3 Early Years Educator qualification and with GCSE Maths at grade C or above – or an equivalent qualification.

# 4.2.7 Total staff at establishment with a full and relevant level 3 qualification or level 3 Early Educator qualification and with GCSE English at grade C or above – or an equivalent qualification

Those of the total teaching staff with a full and relevant level 3 qualification or level 3 Early Educator qualification and with GCSE English at grade C or above – or an equivalent qualification.

### 4.2.8 EY staff with a full and relevant level 3 qualification (gained pre September 2014) working with 2, 3 and 4 year old children

Those of the teaching staff with a full and relevant level 3 qualification (gained pre September 2014) who are working directly with 2, 3 and 4 year old children.

### 4.2.9 EY staff with a full and relevant level 3 (pre-September 2014) qualification

Those of the teaching staff with a full and relevant level 3 (pre-September 2014) qualification who are working directly with 2, 3 and 4 year old children.

### 4.2.10 EY staff with a level 3 Early Years Educator qualification (gained post September 2014) working with 2, 3 and 4 year old children

Those of the teaching staff with a level 3 Early Years Educator qualification (gained post September 2014) working directly with 2, 3 and 4 year old children.

### 4.3 Pupil/child statistics module

### 4.3.1 Number of 2 year olds

Total number of 2 year olds accommodated (funded and non-funded pupils). Zero means none at this age.

### 4.3.2 Number of 3 year olds

Total number of 3 year olds accommodated (funded and non-funded pupils). Zero means none at this age.

### 4.3.3 Number of 4 year olds

Total number of 4 year olds accommodated (funded and non-funded pupils). Zero means none at this age.

### 5. Pupil / child level

This section lists in detail the data items required for the pupil/child level of the early years census.

### 5.1 Pupil / child identifiers module

### 5.1.1 Pupil / child surname

Full legal surname, as the provider / local authority believes it to be (providers / local authorities are not necessarily expected to have verified this from a birth certificate or other legal document).

### 5.1.2 Pupil / child forename

In full, not shortened or familiar versions.

### 5.1.3 Pupil / child middle name

In full, not shortened or familiar versions. If child has no middle name(s) then this field must be left blank.

### 5.1.4 Pupil / child preferred surname

Surname (as written) most commonly used in the provider.

### 5.1.5 Date of birth

The child's date of birth.

### 5.1.6 Gender

Gender of child - see codeset at section  $\underline{8}$ .

### 5.2 Pupil / child characteristics module

### 5.2.1 Child ethnicity

There is a list of recognised and acceptable ethnic descriptions and codes at section  $\underline{8}$ . Providers are asked to record these according to how the parent(s) describe the child's ethnic origin and not as they perceive the child. Information on the ethnicity of recipients of funded EY places will be used to monitor inclusion strategies and other government and local initiatives.

### 5.2.2 Language code

The language code is collected for all children on roll. This information enables EY settings (and authorities) to collect and transmit information on the specific languages of those children whose first language is other than English. In these instances an extended language code set is available as an alternative to recording a pupil's language as OTH or OTB. The census includes the value held within the EY settings MIS, whether the EY setting has opted to use extended codes or to use OTH / OTB. EY settings' MIS systems should also hold more than one specific language where this is required locally. In this case, the MIS will include the language marked as "First" by the CBDS item language type.

### 5.2.3 Pupil country of birth

The country of birth is collected for all children on roll and records the country in which the child was born, as stated by the parent / guardian and / or child (in the case of a child without a parent / guardian). The country of birth would be expected to appear on – or be derived from – the child's birth certificate. This may also appear on, or be derived from, the passport. However, there is no requirement for the school to request, or see, a copy of the birth certificate or passport.

There is currently a dearth of information held to understand how effective the education sector is for foreign nationals and to effectively measure the impact of foreign nationals on the education sector. This new information, along with the data collected on nationality and proficiency in English, will help bridge that data gap and provide the Department with valuable statistical information on the characteristics of these groups of children and along with their attainment and destinations, will allow the Department to measure whether the individual pupils, or the schools they attend, face additional educational challenges.

The collection of this data is consistent with that which was collected as part of the last UK national census. However although this data source covers the whole population it is only updated every 10 years and, as such, does not provide timely enough data for future policy making hence the requirement to collect this data via the school census.

Where a child is born in unusual circumstances - ie outside of national boundaries (eg in international water) - the child may be classified as "stateless".

EY settings may also use the following codes:

- 'refused' where the parent or guardian has refused to provide the information requested
- 'not yet obtained' where the data has not been obtained by census day
- 'not known' the 'not known' code is only to be used where a guardian or adoptive parent has taken care of a child and this information is not able to be accessed.

### 5.2.4 Pupil nationality

Pupil nationality is collected for all children on roll and is the nationality of the child as stated by the parent / guardian and / or child (in the case of a child without a parent / guardian). The child's nationality would be expected to appear on – or be derived from – the passport or european economic area (EEA) identity card. However, there is no requirement for the school to request, or see, a copy of the passport or identity card.

For children with 'Multiple Nationality' (also known as 'Dual Nationality') more than one nationality may be recorded.

There is currently a dearth of information held to understand how effective the education sector is for foreign nationals and to effectively measure the impact of foreign nationals on the education sector. This new information, along with the data collected on country of birth and proficiency in English, will help bridge that data gap and provide the Department with valuable statistical information on the characteristics of these groups of children and along with their attainment and destinations, will allow the Department to measure whether the individual pupils, or the schools they attend, face additional educational challenges.

The collection of this data is consistent with that which was collected as part of the last UK national census. However although this data source covers the whole population it is only updated every 10 years and, as such, does not provide timely enough data for future policy making hence the requirement to collect this data via the school census.

Where the child was born in unusual circumstances ie outside of national boundaries (eg in international water), the child may be classified under the nationality of the parent or as "stateless".

EY settings may also use the following codes:

- 'refused' where the parent or guardian has refused to provide the information requested
- 'not yet obtained' where the data has not been obtained by census day
- 'not known' the 'not known' code is only to be used where a guardian or adoptive parent has taken care of a child and this information is not able to be accessed.

### 5.2.5 Funded hours

The number of hours funded per week by the local authority for the child at the provider (to the nearest 0.5 hour).

### 5.2.6 Hours at setting

The total number of local authority funded and unfunded hours that the child spends at the provider per week (to the nearest 0.5 hour).

### 5.2.7 Total funded spring hours

Where the provider is funded for more than 38 weeks of the year, the number of hours for which the local authority is paying for the child between 1 January and 31 March (required to the nearest 0.5 hour).

### 5.2.8 Basis for funding

The basis on which a 2 year old has been funded for an early education place. This information will be provided for the early years census by the local authority providing the funding for the 2 year old child rather than the early years setting that provides the education for that child. This data item is **NOT** required for 3 and 4 year olds.

Children may meet more than one criterion given in the codeset below and each that applies should be returned in the census.

Code	Description
ECO	Economic criteria
HSD	High-level SEN or disability
LAA	Looked after or adopted from care

Since this data item is to be populated by the local authority, it is not included in the data collection template in <u>annex A</u>.

### 5.2.9 Early years pupil premium [used for funding]

All three and four year olds are entitled to up to 15 hours per week of government funded early education for 38 weeks of the year. Children are eligible for EYPP if they are receiving any hours of early education and:

- meet the benefits related criteria for Free School Meals (please note: meals delivered as part of the universal entitlement are **not** FSM)
- are in the care of the local authority (in England and Wales))
- have left care (in England or Wales) through:
  - $\circ$  adoption
  - special guardianship
  - o a child arrangement order (formally known as a residence order)

This field also records the basis of eligibility:

d) [EE] – eligible through economic reasons: where they are eligible via the benefits related criteria for FSM

- e) [EO] eligible through other reasons: where they are eligible due to being in care or due to leaving care through adoption, a special guardianship order or a child arrangement order
- f) [EB] eligible through both reasons: where they are eligible through both economic and other reasons
- g) [EU] eligible through unknown basis: where the establishment knows the child is eligible for EYPP (due to receiving funding from the local authority) but does not necessarily know the reason why they are eligible

### 5.3 Special educational needs module

### 5.3.1 SEN provision

The special educational needs and disability provision types are consistent with the 2015 <u>SEND Code of Practice</u> see codeset at section  $\underline{8}$ .

With respect to code 'S' – statement of SEN, where a child had a statement prior to 1 September 2014, this may be retained (until 2018). There should be no new statements within this census, with children being provided with an education, health and care (EHC) plan.

### 5.4 Individual level data on funded 2 year olds

Individual child level data is required in the 2016 early years census for all funded 2, 3 and 4 year olds. Individual child level data is not required for non-funded 2, 3 and 4 year olds.

### **5.5 Home information module**

Address information should be provided in whichever format the data is currently held within a management information system – in either BS7666 format or Address Line format. Postcode **must** be provided with either format. The unique property reference number (UPRN) is introduced on a voluntary basis from 2017 and, like the postcode, may be provided with either format.

A valid BS7666 address will contain a minimum of SAON (the dwelling), the street and at least one of locality, town, administrative area or post town. For a line address, the minimum of address line 1 plus one other address line must be provided. However, up to a maximum of five lines are available for the address, if required.

### 5.5.1 Secondary addressable object name (SAON)

The secondary addressable object name (SAON) is the flat, apartment name or number or other sub-division of a dwelling (sub-dwelling) eg 'Flat 2b'.

### 5.5.2 Primary addressable object name (PAON)

The primary addressable object name (PAON) is the dwelling name and / or number eg '27'.

### 5.5.3 Street

Street name or street description that has been allocated to a street by the street naming authority eg 'Lane Street'.

### 5.5.4 Locality

The locality name refers to a neighbourhood, suburb, district, village, estate, settlement, or parish that may form part of a town, or stand in its own right within the context of an administrative area. Where an industrial estate contains streets it is defined as a locality in its own right eg 'Local Area'.

### 5.5.5 Town

The town name refers to a city or town that is not an administrative area, a suburb of an administrative area that does not form part of another town or a London district eg 'Townbury'.

### 5.5.6 Administrative area

The administrative area is a geographic area that may be the highest level local administrative area, which may be a county or a unitary authority, an island or island group, or London eg 'Countyshire'.

### 5.5.7 Posttown

The post office usually assigns these based on sorting office eg 'Postaltown'.

OR

### 5.5.8 Address line 1

First Line of Address eg 'Flat 2b'.

### 5.5.9 Address line 2

Second Line of Address eg '27, Lane Street'.

### 5.5.10 Address line 3

Third Line of Address eg 'Local Area'.

### 5.5.11 Address line 4

Fourth Line of Address eg 'Townbury'.

### 5.5.12 Address line 5

Fifth Line of Address eg 'Countyshire'.

AND

### 5.5.13 Postcode

The code allocated by the post office to identify a group of postal delivery points eg 'XX99 9XX'.

### 5.5.14 UPRN

The unique property reference number (UPRN) - introduced on a voluntary basis from 2017 (defined by Ordnance Survey as: 'The unique identifier for every spatial address in Great Britain. It provides a comprehensive, complete, consistent identifier throughout a property's life cycle – from planning permission through to demolition) – is, like the postcode, provided with either format.

### 6. Childminders

### 6.1 Childminder agencies

Childminder agencies (CMAs) register with and are inspected by Ofsted. Agencies are not childcare providers as they will not directly provide childcare, but, once registered with Ofsted, agencies are able to register individual childminders (and childcare providers on domestic premises) who will provide this service. This means that individual childminders will have a choice: either register directly with Ofsted; or, register with a CMA.

The introduction of CMAs alters the method used by local authorities to collect data and means that the authority will have to collect data from both CMAs (on behalf of all childminders registered with the agency) as well as from independent childminders.

### 6.1.1 Independent childminders

Independent childminders who are registered with Ofsted will be required to submit a childminder return to their local authority.

Local authorities with childminder networks (who previously submitted aggregated returns for the network) are now required to submit an individual return for each independent childminder.

### 6.1.2 Childminder agencies

CMAs will be required to submit an individual level return for each childminder registered with them to the childminder's home local authority (ie the local authority within which geographical area the childminder is located).

In both instances (6.1.1 and 6.12) the childminder and any colleagues working with them, are recorded as the number of staff. For example, a childminder working together with another childminder and an assistant would be recorded as three staff.

**Please note:** The <u>Childcare Act 2006</u> indicates that if four or more adults work together to provide childcare on domestic premises then they are either classified as an early or later years childcare provider or both (depending on which Ofsted register they are registered on). Therefore, an individual childminder working with three or more adults will need to be recorded as an early years provider (private, voluntary or other) in the census.

### 6.1.3 Childminder provider category

The childminder provider category is completed by providers with a category of 'CHMD' (childminder).

Code	Description
AGY	Part of a child-minding agency
IND	Individual child minder

### 7. Further information

For further advice on the completion of any part of the census return, providers should contact their local authority in the first instance.

If there are any questions which the local authority cannot resolve, then these should be directed to the department's Service Desk by completing a <u>service request form.</u>

This document is posted on the department's website.

### 8. Codesets

### Gender

Code	Description
0	Not known (gender has not been recorded). Also covers gender of unborn child.
1	Male
2	Female
9	Not specified (indeterminate; unable to be classified as either male or female)

### **SEN** provision

Code	Description
N	No special educational need
S	Statement
E	Education, health and care plan
K	SEN support

Please note: Statements were phased out from September 2014 and no new statements may be issued. Statements will cease from 2018.

### Category of EY provider

Code	Description
PRIV	Private
VOLY	Voluntary
INDS	Registered independent school
LADN	LA day nursery
OTHR	Other
CHMD	Childminder

### EY setting type

Code	Description
DNS	Day nursery
PPS	Playgroup or pre-school
NUR	Nursery school
FCI	Family/combined/integrated centre
SSM	Sure start children's centre - main centre site
SSL	Sure start children's centre - linked provider
OTH	Other

### EY day care

Code	Description
F	Full day
S	Sessional day
0	Other day care

### Ethnicity

DfE extended codes	Approved extended categories	DfE main code	Sub- category	Main category	Comments
WBRI	White - British	WBRI	White - British	White	WBRI may not be used if any of the extended categories below (WCOR-WWEL) are used
WCOR	White - Cornish	WBRI	White - British	White	
WENG	White - English	WBRI	White - British	White	

DfE extended codes	Approved extended categories	DfE main code	Sub- category	Main category	Comments
WSCO	White - Scottish	WBRI	White - British	White	
WWEL	White - Welsh	WBRI	White - British	White	
WOWB	Other White British	WBRI	White - British	White	If LAs collect information for "White - British" pupils using any of the <b>extended</b> categories above (WCOR-WWEL), this category must be used as a catch all for all other White pupils within the main "White - British" category. If used, cannot have category "White - British" (WBRI).
WIRI	White - Irish	WIRI	White - Irish	White	
WIRT	Traveller of Irish heritage	WIRT	Traveller of Irish heritage	White	
WOTH	Any other white background	WOTH	Any other white background	White	WOTH may not be used if any of the extended categories below (WALB-WWEU) are used.
WALB	Albanian	WOTH	Any other white background	White	Excluding Kosovan.
WBOS	Bosnian- Herzegovinian	WOTH	Any other white background	White	
WCRO	Croatian	WOTH	Any other white background	White	
WGRE	Greek/ Greek Cypriot	WOTH	Any other white background	White	If LAs do not wish to distinguish between pupils of Greek and Greek Cypriot heritage they may place all Greek/ Greek Cypriot in this category. If used, cannot have categories "Greek" (WGRK) or "Greek Cypriot" (WGRC).
WGRK	Greek	WOTH	Any other white background	White	If used, cannot have category "Greek/ Greek Cypriot" (WGRE). If used, must also have category "Greek Cypriot" (WGRC).
WGRC	Greek Cypriot	WOTH	Any other white background	White	If used, cannot have category "Greek/ Greek Cypriot" (WGRE). If used, must also have category "Greek" (WGRK).
WITA	Italian	WOTH	Any other white background	White	
WKOS	Kosovan	WOTH	Any other white background	White	
WPOR	Portuguese	WOTH	Any other white background	White	
WSER	Serbian	WOTH	Any other white background	White	
WTUR	Turkish/Turkish Cypriot	WOTH	Any other white background	White	If LAs do not wish to distinguish between pupils of Turkish and Turkish Cypriot heritage they may place all Turkish/ Turkish Cypriot in this category. If used, cannot have categories "Turkish" (WTUK) or "Turkish Cypriot" (WTUC).
WTUK	Turkish	WOTH	Any other white background	White	If used, cannot have category "Turkish/ Turkish Cypriot" (WTUR). If used, must also have category "Turkish Cypriot" (WTUC).
WTUC	Turkish Cypriot	WOTH	Any other white background	White	If used, cannot have category "Turkish/ Turkish Cypriot" (WTUR). If used, must also have category "Turkish" (WTUK).

DfE extended codes	Approved extended categories	DfE main code	Sub- category	Main category	Comments
WEUR	White European	WOTH	Any other white background	White	If LAs do not collect information on White European pupils on the basis of country of origin or East/West European, they may place all White European pupils here.
WEEU	White Eastern European	WOTH	Any other white background	White	Including Russian, Latvian, Ukrainian, Polish, Bulgarian, Czech, Slovak, Lithuanian, Montenegran and Romanian.
WWEU	White Western European	WOTH	Any other white background	White	Including Italian, French, German, Spanish, Portuguese and Scandinavian.
WOTW	White other	WOTH	Any other white background	White	If LAs collect information for "Any Other White Background" pupils using anyof the <b>extended</b> categories above (WALB- WWEU), this category must be used as a catch all for all other White pupils within the main "Any Other White Background" category. If used, cannot have category "Any Other White Background" (WOTH).
WROM	Gypsy / Roma	WROM	Gypsy / Roma	White	This category includes pupils who identify themselves as Gypsies and or Romanies, and or Travellers, and or Traditional Travellers, and or Romanichals, and or Romanichal Gypsies and or Welsh Gypsies / Kaale, and or Scottish Travellers / Gypsies, and or Roma. It includes all children of a Gypsy ethnic background or Roma ethnic background, irrespective of whether they are nomadic, semi nomadic or living in static accommodation. It should not include Fairground (Showman's) children; the children travelling with circuses; or the children of New Travellers or Bargees unless, of course, their ethnic status is that which is mentioned above. Schools would use this where they do not wish to identify Gypsy and Roma pupils separately
WROG	Gypsy	WROM	Gypsy/Roma	White	This category enables the separate identification of Gypsy pupils. Gypsy refers to: all pupils who identify themselves as Gypsies. This includes all children of a Gypsy ethnic background, irrespective of whether they are nomadic, semi-nomadic or living in static accommodation
WROR	Roma	WROM	Gypsy/Roma	White	This category identifies the separate identification of Roma pupils. Roma refers to: all pupils who identify themselves as Roma or Romany, part of a diverse community of related groups whose ancestors are believed to originate from the Indian sub-continent but who have more recently migrated from Central and Eastern Europe. Many Roma speak a form of dialect of the Romani language as their first language and for many this is in addition to their national language (eg Czech or Romanian).
WROO	Other Gypsy/Roma	WROM	Gypsy/Roma	White	This category is for Gypsy/Roma who do not identify with one or the other of the above groups - eg pupils with mixed Gypsy/Roma heritage

DfE	Approved	DfE	Sub- category	Main	Comments
extended codes	extended categories	main code		category	
MWBC	White and	MWBC	White and	Mixed/Dual	
	Black Caribbean		Black Caribbean	background	
MWBA	White and Black African	MWBA	White and Black African	Mixed/Dual background	
MWAS	White and Asian	MWAS	White and Asian	Mixed/Dual background	MWAS may not be used if any of the extended categories below (MWAP- MWAI) are used.
MWAP	White and Pakistani	MWAS	White and Asian	Mixed/Dual background	
MWAI	White and Indian	MWAS	White and Asian	Mixed/Dual background	
MWAO	White and any other Asian background	MWAS	White and Asian	Mixed/Dual background	If LAs collect information for "White and Asian" pupils using anyof the <b>extended</b> categories above (MWAP-MWAI), this category must be used as a catch all for all other Mixed/Dual background pupils within the main "White and Asian" category. If used, cannot have category "White and Asian" (MWAS).
МОТН	Any other mixed background	MOTH	Any other mixed background	Mixed/Dual background	MOTH may not be used if any of the extended categories below (MAOE- MWCH) are used.
MAOE	Asian and any	мотн	Any other mixed	Mixed/Dual	
	other ethnic group		background	background	
MABL	Asian and Black	MOTH	Any other mixed background	Mixed/Dual background	
MACH	Asian and Chinese	MOTH	Any other mixed background	Mixed/Dual background	
MBOE	Black and any other ethnic group	MOTH	Any other mixed background	Mixed/Dual background	
MBCH	Black and Chinese	MOTH	Any other mixed background	Mixed/Dual background	
MCOE	Chinese and any other ethnic group	MOTH	Any other mixed background	Mixed/Dual background	
MWOE	White and any other ethnic group	MOTH	Any other mixed background	Mixed/Dual background	
MWCH	White and Chinese	MOTH	Any other mixed background	Mixed/Dual background	
MOTM	Other mixed background	MOTH	Any other mixed background	Mixed/Dual background	If LAs collect information for "any other mixed background" pupils using anyof the <b>extended</b> categories above (MAOE- MWCH), this category must be used as a catch all for all other Mixed/Dual background pupils within the main "any other mixed background" category. If used, cannot have category "any other mixed background" (MOTH).
AIND	Indian	AIND	Indian	Asian or Asian British	
APKN	Pakistani	APKN	Pakistani	Asian or Asian British	APKN may not be used if any of the extended categories below (AMPK- AKPA) are used.
AMPK	Mirpuri Pakistani	APKN	Pakistani	Asian or Asian British	
AKPA	Kashmiri	APKN	Pakistani	Asian or	

DfE extended codes	Approved extended categories	DfE main code	Sub- category	Main category	Comments
	Pakistani			Asian British	
AOPK	Other Pakistani	APKN	Pakistani	Asian or Asian British	If LAs collect information for "Pakistani" pupils using anyof the <b>extended</b> categories above (AMPK-AKPA), this category must be used as a catch all for all other Pakistani pupils within the main "Pakistani" category. If used, cannot have category "Pakistani" (APKN).
ABAN	Bangladeshi	ABAN	Bangladeshi	Asian or Asian British	
AOTH	Any other Asian background	AOTH	Any other Asian background	Asian or Asian British	AOTH may not be used if any of the extended categories below (AAFR- ASRO) are used.
AAFR	African Asian	AOTH	Any other Asian background	Asian or Asian British	Including East and South African Asians.
AKAO	Kashmiri other	AOTH	Any other Asian background	Asian or Asian British	Kashmiri respondents not wishing to be classified under Asian Pakistani should use this category.
ANEP	Nepali	AOTH	Any other Asian background	Asian or Asian British	
ASNL	Sri Lankan Sinhalese	AOTH	Any other Asian background	Asian or Asian British	All other Sinhalese pupils should be placed wherever appropriate in the categories above. If used MUST also have categories 'Sri Lankan Tamil' (ASLT) and 'Sri Lankan Other' (ASRO).
ASLT	Sri Lankan Tamil	AOTH	Any other Asian background	Asian or Asian British	All other Tamil pupils should be placed wherever appropriate in the categories above. If used MUST also have categories 'Sri Lankan Sinhalese' (ASNL) and 'Sri Lankan other' (ASRO).
ASRO	Sri Lankan other	AOTH	Any other Asian background	Asian or Asian British	If used MUST also have categories 'Sri Lankan Sinhalese' (ASNL) and 'Sri Lankan Tamil' (ASLT).
AOTA	Other Asian	AOTH	Any other Asian background	Asian or Asian British	If LAs collect information for "any other Asian background" pupils using anyof the <b>extended</b> categories above (AAFR- ASRO), this category must be used as a catch all for all other Asian pupils within the main "any other Asian background" category. If used, cannot have category "any other Asian background" (AOTH).
BCRB	Black Caribbean	BCRB	Black Caribbean	Black or Black British	Including Antigua and Barbuda, Bahamas, Barbados, Dominica, Grenada, Guyana, Jamaica, St Kitts and Nevis, St Lucia, St Vincent & Grenadines, Trinidad and Tobago.
BAFR	Black - African	BAFR	Black - African	Black or Black British	BAFR may not be used if any of the extended categories below (BANN-BSUD) are used.
BANN	Black - Angolan	BAFR	Black - African	Black or Black British	
BCON	Black - Congolese	BAFR	Black - African	Black or Black British	
BGHA	Black - Ghanaian	BAFR	Black - African	Black or Black British	
BNGN	Black - Nigerian	BAFR	Black - African	Black or Black British	
BSLN	Black - Sierra Leonean	BAFR	Black - African	Black or Black British	

DfE	Approved	DfE	Sub- category	Main	Comments
extended codes	extended categories	main code		category	
BSOM	Black - Somali	BAFR	Black - African	Black or Black British	
BSUD	Black - Sudanese	BAFR	Black - African	Black or Black British	Including Sudanese of Egyptian origin.
BAOF	Other Black African	BAFR	Black - African	Black or Black British	Including Black South African, Zimbabwean, Ethiopian, Rwandan and Ugandan. If LAs collect information for "Black - African" pupils using anyof the <b>extended</b> categories above (BANN-BSUD), this category must be used as a catch all for all other Black African pupils within the main "Black - African" category. If used, cannot have category "Black - African" (BAFR).
BOTH	Any other Black background	BOTH	Any other Black background	Black or Black British	BOTH may not be used if any of the extended categories below (BEUR- BNAM) are used.
BEUR	Black European	BOTH	Any other Black background	Black or Black British	
BNAM	Black North American	BOTH	Any other Black background	Black or Black British	Include Black North American and Canadian.
BOTB	Other Black	BOTH	Any other Black background	Black or Black British	If LAs collect information for "any other Black background" pupils using anyof the <b>extended</b> categories above (BEUR- BNAM), this category must be used as a catch all for all other Black pupils within the main "any other Black background" category. If used, cannot have category "any other Black background" (BOTH).
CHNE	Chinese	CHNE	Chinese	Chinese	CHNE may not be used if any of the extended categories below (CHKC- CTWN) are used.
СНКС	Hong Kong Chinese	CHNE	Chinese	Chinese	
CMAL	Malaysian Chinese	CHNE	Chinese	Chinese	
CSNG	Singaporean Chinese	CHNE	Chinese	Chinese	
CTWN	Taiwanese	CHNE	Chinese	Chinese	
COCH	Other Chinese	CHNE	Chinese	Chinese	If LAs collect information for "Chinese" pupils using anyof the <b>extended</b> categories above (CHKC-CTWN), this category must be used as a catch all for all other Chinese pupils within the main "Chinese" category. If used, cannot have category "Chinese" (CHNE).
ООТН	Any other ethnic group	OOTH	Any other ethnic group	Any other ethnic group	OOTH may not be used if any of the extended categories below (OAFG- OYEM) are used.
OAFG	Afghan	OOTH	Any other ethnic group	Any other ethnic group	
OARA	Arab other	OOTH	Any other ethnic group	Any other ethnic group	Include Palestinian, Kuwaiti, Jordanian and Saudi Arabian.
OEGY	Egyptian	OOTH	Any other ethnic group	Any other ethnic group	
OFIL	Filipino	OOTH	Any other ethnic group	Any other ethnic group	
OIRN	Iranian	OOTH	Any other ethnic	Any other	

DfE extended codes	Approved extended categories	DfE main code	Sub- category	Main category	Comments
OIRQ	Iraqi	OOTH	Any other ethnic group	Any other ethnic group	
OJPN	Japanese	ООТН	Any other ethnic group	Any other ethnic group	
OKOR	Korean	OOTH	Any other ethnic group	Any other ethnic group	
OKRD	Kurdish	OOTH	Any other ethnic group	Any other ethnic group	Include Kurdish pupils from Iraq, Iran and Turkey.
OLAM	Latin/South/ Central American	OOTH	Any other ethnic group	Any other ethnic group	Include all pupils from Central/South America, Cuba and Belize.
OLEB	Lebanese	OOTH	Any other ethnic group	Any other ethnic group	
OLIB	Libyan	OOTH	Any other ethnic group	Any other ethnic group	
OMAL	Malay	OOTH	Any other ethnic group	Any Other Ethnic Group	Including Malaysian other than Malaysian Chinese.
OMRC	Moroccan	OOTH	Any Other Ethnic Group	Any other ethnic group	
OPOL	Polynesian	OOTH	Any other ethnic group	Any other ethnic group	Including Fijian, Tongan, Samoan and Tahitian.
OTHA	Thai	OOTH	Any other ethnic group	Any other ethnic group	
OVIE	Vietnamese	OOTH	Any other ethnic group	Any other ethnic group	
OYEM	Yemeni	OOTH	Any other ethnic group	Any other ethnic group	
OOEG	Other ethnic group	ООТН	Any other ethnic group	Any other ethnic group	If LAs collect information for "any other ethnic group" pupils using anyof the <b>extended</b> categories above (OAFG- OYEM), this category must be used as a catch all for all other pupils within the main "any other ethnic group" category. If used, cannot have category "any other ethnic group" (OOTH).
REFU	Refused	REFU	Refused	Refused	
NOBT	Information not yet obtained	NOBT	Information not yet obtained	Information not yet obtained	

#### Language code

Code	Description
ACL	Acholi
ADA	Adangme
AFA	Afar-Saho
AFK	Afrikaans
AKA	Akan/Twi-Fante
AKAF	Akan (Fante)
AKAT	Akan (Twi/Asante)
ALB	Albanian/Shqip
ALU	Alur
AMR	Amharic
ARA	Arabic
ARAA	Arabic (any other)
ARAG	Arabic (Algeria)

ARAI	Arabic (Iraq)
ARAM	Arabic (Morocco)
ARAS	Arabic (Sudan)
ARAY	Arabic (Yemen)
ARM	Armenian
ASM	Assamese
ASR	Assyrian/Aramaic
AYB	Anyi-Baule
AYM	Aymara
AZE	Azeri
BAI	Bamileke (any)
BAL	Balochi
BEJ	Beja/Bedawi
BEL	Belarusian

BEM	Bemba
BHO	Bhojpuri
BIK	Bikol
BLT	Balti Tibetan
BMA	Burmese/Myanma
BNG	Bengali
BNGA	Bengali (any other)
BNGC	Bengali (Chittagong/Noakhali)
BNGS	Bengali (Sylheti)
BSL	British Sign Language
BSQ	Basque/Euskara
BUL	Bulgarian
CAM	Cambodian/Khmer
CAT	Catalan
CCE	Caribbean Creole English
CCF	Caribbean Creole French
CGA	Chaga
CGR	Chattisgarhi/Khatahi
CHE	Chechen
CHI	Chinese
CHIA	Chinese (any other)
CHIC	Chinese (Cantonese)
CHIH	Chinese (Hokkien/Fujianese)
CHIK	Chinese (Hakka)
CHIM	Chinese (Mandarin/Putonghua)
CKW	Chokwe
CRN	Cornish
CTR	Chitrali/Khowar
CWA	Chichewa/Nyanja
CYM	Welsh/Cymraeg
CZE	Czech
DAN	Danish
DGA	Dagaare
DGA	Dagbane
DOD	Dinka/Jieng
DUT	Dutch/Flemish
DZO	Dzongkha/Bhutanese
EBI	Ebira
EDO	Edo/Bini
EFI	Edo/Bini Efik-Ibibio
ENB	Believed to be English*
ENG	English*
ESA	English Esan/Ishan
ESA	Estonian
EWE	Estonian
EWO	Ewondo
FAN	Fang
FAN	Fijian
ΓIJ	

FIN	Finnish
FON	Fon
FRN	French
FUL	Fula/Fulfulde-Pulaar
GAA	Ga
GAE	Gaelic/Irish
GAL	Gaelic (Scotland)
GEO	Georgian
GER	German
GGO	Gogo/Chigogo
GKY	Kikuyu/Gikuyu
GLG	Galician/Galego
GRE	Greek
GREA	Greek (any other)
GREC	Greek (Cyprus)
GRN	Guarani
GUJ	Gujarati
GUN	Gurenne/Frafra
GUR	Gurma
HAU	Hausa
HDK	Hindko
HEB	Hebrew
HER	Herero
HGR	Hungarian
HIN	Hindi
IBA	Iban
IDM	Idoma
IGA	Igala
IGA	-
IJO	lgbo
ILO	ljo (any) Ilokano
ISK	ltsekiri
ISL	
ITA	Italian
JAV	
JIN	Javanese
JPN	Jinghpaw/Kachin
KAM	Japanese Kikamba
KAN	Kannada
KAR KAS	Karen (any) Kashmiri
KAU	Kanuri
KAZ	Kazakh
KCH	Katchi
KGZ	Kirghiz/Kyrgyz
KHA	Khasi Kibova (Luzika
KHY	Kihaya/Luziba
KIN	Kinyarwanda

KIR	Kirundi
KIS	Kisi (West Africa)
KLN	Kalenjin
KMB	Kimbundu
KME	Kimeru
KNK	Konkani
KNY	Kinyakyusa-Ngonde
KON	Kikongo
KOR	Korean
KPE	Kpelle
KRI	Krio
KRU	Kru (any)
KSI	Kisii/Ekegusii (Kenya)
KSU	Kisukuma
KUR	Kurdish
KURA	Kurdish (any other)
KURM	Kurdish (Kurmanji)
KURS	Kurdish (Sorani)
LAO	Lao
LBA	Luba
LBAC	Luba (Chiluba/Tshiluba)
LBAC	Luba (Kiluba)
LGA	Luganda
LGA	Lugbara
LGS	Lugisu/Lumasaba
LIN	Lingala
	Lingala
	Lango (Uganda)
LNG	Lozi/Silozi
LSO	
LUU	Lusoga Latvian
LTZ	Luxemburgish
LUE	Luvale/Luena
LUN	Lunda
LUO	Luo (Kenya/Tanzania)
LUC	Lubya (any)
MAG	Magahi
MAI	Maithili
MAK	Makua
MAN	Manding/Malinke
MANA	Manding/Malinke (any other)
MANA	Bambara
MANJ	Dyula/Jula
MANJ	Maori
MAC	Marathi
MAR	Maasai
MDV	Maldivian/Dhivehi
MEN	Mende

MLGMalagasyMLMMalayalamMLTMalayalamMLTMalay/IndonesianMLYMalay/IndonesianMLYAMalay (any other)MLY1Indonesian/Bahasa IndonesiaMNAMagindanao-MaranaoMNGMongolian (Khalkha)MNXManx GaelicMORMoore/MossiMSCMauritian/Seychelles CreoleMUNMunda (any)	
MLMMalayalamMLTMaleseMLYMalay/IndonesianMLYAMalay (any other)MLYIIndonesian/Bahasa IndonesiaMNAMagindanao-MaranaoMNGMongolian (Khalkha)MNXManx GaelicMORMoore/MossiMSCMauritian/Seychelles CreoleMUNMunda (any)	
MLTMalteseMLYMalay/IndonesianMLYAMalay (any other)MLY1Indonesian/Bahasa IndonesiaMNAMagindanao-MaranaoMNGMongolian (Khalkha)MNXManx GaelicMORMoore/MossiMSCMauritian/Seychelles CreoleMUNMunda (any)	
MLYAMalay (any other)MLYIIndonesian/Bahasa IndonesiaMNAMagindanao-MaranaoMNGMongolian (Khalkha)MNXManx GaelicMORMoore/MossiMSCMauritian/Seychelles CreoleMUNMunda (any)	
MLYAMalay (any other)MLY1Indonesian/Bahasa IndonesiaMNAMagindanao-MaranaoMNGMongolian (Khalkha)MNXManx GaelicMORMoore/MossiMSCMauritian/Seychelles CreoleMUNMunda (any)	
MLYIIndonesian/Bahasa IndonesiaMNAMagindanao-MaranaoMNGMongolian (Khalkha)MNXManx GaelicMORMoore/MossiMSCMauritian/Seychelles CreoleMUNMunda (any)	
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MNXManx GaelicMORMoore/MossiMSCMauritian/Seychelles CreoleMUNMunda (any)	
MSC Mauritian/Seychelles Creole MUN Munda (any)	
MUN Munda (any)	
MUN Munda (any)	
MYA Maya (any)	
NAH Nahuatl/Mexicano	
NAM Nama/Damara	
NBN Nubian (any)	
NDB Ndebele	
NDBS Ndebele (South Africa)	
NDBZ Ndebele (Zimbabwe)	
NEP Nepali	
NOR Norwegian	
NOT Information not obtained*	
NUE Nuer/Naadh	
NUP Nupe	
NWA Newari	
NZM Nzema	
OAM Ambo/Oshiwambo	
OAMK Ambo (Kwanyama)	
OAMN Ambo (Ndonga)	
OGN Ogoni (any)	
ORI Oriya	
ORM Oromo	
OTB Believed to be other than	
English*	
OTH Other than English*	
OTL Other language	
PAG Pangasinan	
PAM Pampangan	
PAT Pashto/Pakhto	
PHA Pahari/Himachali (India)	
PHR Pahari (Pakistan)	
PNJ Panjabi	
PNJA Panjabi (any other)	
PNJG Panjabi (Gurmukhi)	
PNJM Panjabi (Mirpuri)	
PNJP Panjabi (Pothwari)	
POL Polish	
POR Portuguese	

PORA	Portuguese (any other)
PORB	Portuguese (Brazil)
PRS	Persian/Farsi
PRSA	Farsi/Persian (any other)
PRSD	Dari Persian
PRST	Tajiki Persian
QUE	Quechua
RAJ	Rajasthani/Marwari
REF	Refused*
RME	Romany/English Romanes
RMI	Romani (International)
RMN	Romanian
RMNM	Romanian (Moldova)
RMNR	Romanian (Romania)
RMS	Romansch
RNY	Runyakitara
RNYN	Runyankore-Ruchiga
RNYO	Runyoro-Rutooro
RUS	Russian
SAM	Samoan
SCB	Serbian/Croatian/Bosnian
SCBB	Bosnian
SCBC	Croatian
SCBS	Serbian
SCO	Scots
SHL	Shilluk/Cholo
SHO	Shona
SID	Sidamo
SIO	Sign language (other)
SLO	Slovak
SLV	Slovenian
SND	Sindhi
SNG	Sango
SNH	Sinhala
SOM	Somali
SPA	Spanish
SRD	Sardinian
SRK	Siraiki
SSO	Sotho/Sesotho
SSOO	Sotho/Sesotho (Southern)
SSOT	Sotho/Sesotho (Northern)
SSW	Swazi/Siswati
STS	Tswana/Setswana
SUN	Sundanese
SWA	Swahili/Kiswahili
SWAA	Swahili (any other)
SWAC	Comorian Swahili
SWAK	Swahili (Kingwana)
0.07.01	

SWAM	Swahili (Brava/Mwiini)
SWAT	Swahili (Bajuni/Tikuu)
SWE	Swedish
TAM	Tamil
TEL	Telugu
TEM	Temne
TES	Teso/Ateso
TGE	Tigre
TGL	Tagalog/Filipino
TGLF	Filipino
TGLG	Tagalog
TGR	Tigrinya
THA	Thai
TIB	Tibetan
TIV	Tiv
TMZ	Berber/Tamazight
TMZA	Berber/Tamazight (any other)
TMZK	Berber/Tamazight (Kabyle)
TMZT	Berber (Tamashek)
TNG	Tonga/Chitonga (Zambia)
TON	Tongan (Oceania)
TPI	Tok Pisin
TRI	Traveller Irish/Shelta
TSO	Tsonga
TUK	Turkmen
TUL	Tulu
TUM	Tumbuka
TUR	Turkish
UKR	Ukrainian
UMB	Umbundu
URD	Urdu
URH	Urhobo-Isoko
UYG	Uyghur
UZB	Uzbek
VEN	Venda
VEN	Vietnamese
VSY	Visayan/Bisaya
VSYA	Visayan/Bisaya (any other)
VSYH	Hiligaynon
VSYS	Cebuano/Sugbuanon
VSYW	Waray/Binisaya
WAP	Wa-Paraok (South-East Asia)
WCP	West-African Creole
	Portuguese
WOL	Wolof
WPE	West-African Pidgin English
XHO	Xhosa
YAO	Yao/Chiyao (East Africa)
YDI	Yiddish
<u>.</u>	<u> </u>

YOR	Yoruba
ZND	Zande
ZUL	Zulu
ZZZ	Classification pending

\*Categories marked with an asterisk - Believed to be English, English, Information not obtained, Believed to be other than English, Other than English and Refused - may be used by schools that have no need to use individual language codes.

# Nation and states codeset used for nationality and country of birth

ISO 3166-1 Alpha-3	Nation Short Name	Nation Long Name	Current?	Notes
AFG	Afghanistan	The Transitional Islamic State of Afghanistan	Yes	
ALA	Aland Islands	Aland Islands	Yes	From 2004. Formerlypart of (old) Finland.
ALB	Albania	Republic of Albania	Yes	
DZA	Algeria	Democratic and Popular Republic of Algeria	Yes	
ASM	American Samoa	American Samoa	Yes	
AND	Andorra	Principality of Andorra	Yes	
AGO	Angola	Republic of Angola	Yes	
AIA	Anguilla	Anguilla	Yes	From 1985. Formerlypart of Saint Kitts-Nevis- Anguilla.
ΑΤΑ	Antarctica	Antarctica	Yes	
ATG	Antigua and Barbuda	Antigua and Barbuda	Yes	
ARG	Argentina	Argentine Republic	Yes	
ARM	Armenia	Republic of Armenia	Yes	From 1992. Formerlypart of Union of Soviet Socialis Republics (USSR).
ABW	Aruba	Aruba	Yes	From 1986. Formerlypart of (old) Netherlands Antiles.
AUS	Australia	Commonwealth of Australia	Yes	
AUT	Austria	Republic of Austria	Yes	
AZE	Azerbaijan	Azerbaijani Republic	Yes	From 1992. Formerlypart of Union of Soviet Socialis Republics (USSR).
BHS	Bahamas	Commonwealth of The Bahamas	Yes	

BHR	Bahrain	State of Bahrain	Yes	
BGD	Bangladesh	People's Republic of Bangladesh	Yes	
BRB	Barbados	Barbados	Yes	
BLR	Belarus	Republic of Belarus	Yes	From 1992. Formerlypart of Union of Soviet Socialist Republics (USSR). Formerly Byelorussian SSR. New name from 1992.
BEL	Belgium	Kingdom of Belgium	Yes	
BLZ	Belize	Belize	Yes	
BEN	Benin	Republic of Benin	Yes	Formerly Dahomey. New name from 1977.
BMU	Bermuda	Bermuda	Yes	
BTN	Bhutan	Kingdom of Bhutan	Yes	
BOL	Bolivia	Republic of Bolivia	Yes	
BES	Bonaire, Sint Eustatius and Saba	Bonaire, Sint Eustatius and Saba	Yes	The code BQ was formerly used for British Antarctic Territory (BQ, ATB,). See also code element BQAQ. BQ has been reused and reassigned to Bonaire, Sint Eustatius and Saba. The Netherlands Antilles (AN, ANT, 530) was divided into (BQ, BES, 535), (CW, CUW, 531) and (SX, SXM, 534). See also code element ANHH
BIH	Bosnia and Herzegovina	Bosnia and Herzegovina	Yes	From 1993. Formerlypart of Yugoslavia.
BWA	Botswana	Republic of Botswana	Yes	
BVT	Bouvet Island	Bouvet Island	Yes	
BRA	Brazil	Federative Republic of Brazil	Yes	
ATB	British Antarctic Territory	British Antarctic Territory	No	From 1979 part of Antarctica.
IOT	British Indian Ocean Territory	British Indian Ocean Territory	Yes	
BRN	Brunei	Negara Brunei Darussalam	Yes	
BGR	Bulgaria	Republic of Bulgaria	Yes	

BFA	Burkina Faso	Burkina Faso	Yes	Formerly Upper Volta. New name from 1984.
BUR	Burma	Burma	No	From 1989 named Mynmar.
BDI	Burundi	Republic of Burundi	Yes	
BYS	Byelorussian SSR	Byelorussian Soviet Socialist Republic	No	From 1992 named Belarus.
KHM	Cambodia	Kingdom of Cambodia	Yes	Formerly Kampuchea. New name from 1990.
CMR	Cameroon	Republic of Cameroon	Yes	
CAN	Canada	Canada	Yes	
CTE	Canton and Enderbury Islands	Canton and Enderbury Islands	No	From 1984 merged with Kiribati.
CPV	Cape Verde	Republic of Cape Verde	Yes	
СҮМ	Cayman Islands	Cayman Islands	Yes	
CAF	Central African Republic	Central African Republic	Yes	
TCD	Chad	Republic of Chad	Yes	
CHL	Chile	Republic of Chile	Yes	
CHN	China	People's Republic of China	Yes	
CXR	ChristmasIsland	Christmas Island	Yes	
ССК	Cocos (Keeling) Islands	Cocos (Keeling) Islands	Yes	
COL	Colombia	Republic of Colombia	Yes	
СОМ	Comoros	Union of the Comoros	Yes	Formerly named Federal Islamic Republic of the Comoros. New name from 2003.
COG	Congo	Republic of the Congo	Yes	
COD	Congo, Democratic Republic	Democratic Republic of the Congo	Yes	Formerly Zaire. New name from 1997.
СОК	Cook Islands	Cook Islands	Yes	
CRI	Costa Rica	Republic of Costa Rica	Yes	
HRV	Croatia	Republic of Croatia	Yes	From 1992. Formerlypart of Yugoslavia.
CUB	Cuba	Republic of Cuba	Yes	

CUW			Yes	From 2010. Formerlypart of (new) Netherlands Antilles.
	Curaçao	Curaçao		
СҮР	Cyprus	Republic of Cyprus	Yes	
CZE	Czech Republic	Czech Republic	Yes	From 1993. Formerlypart of Czechoslovakia.
CSK	Czechoslovakia	Czechoslovak Socialist Republic	No	1993: Became Czech Republic and Slovakia.
DHY	Dahomey	Dahomey	No	From 1977 named Benin.
YMD	Democratic Yemen	People's Democratic Republic of Yemen	No	From 1990 merged with Yemen Arab Republic to form Republic of Yemen.
DNK	Denmark	Kingdom of Denmark	Yes	
DJI	Djibouti	Republic of Djibouti	Yes	Formerly French Territory of Afars and Issas. New name from 1977.
DMA	Dominica	Commonwealth of Dominica	Yes	
DOM	Dominican Republic	Dominican Republic	Yes	
ATN	Dronning Maud Land	Dronning Maud Land	No	From 1983 merged with Antarctica.
TMP	EastTimor	EastTimor	No	From 2002 named Timor- Leste.
ECU	Ecuador	Republic of Ecuador	Yes	
EGY	Egypt	Arab Republic of Egypt	Yes	
SLV	El Salvador	Republic of El Salvador	Yes	
GNQ	Equatorial Guinea	Republic of Equatorial Guinea	Yes	
ERI	Eritrea	State of Eritrea	Yes	From 1993. Formerlypart of (old) Ethiopia.
EST	Estonia	Republic of Estonia	Yes	From 1992. Formerlypart of Union of Soviet Socialist Republics (USSR).
ETH	Ethiopia	Federal Democratic Republic of Ethiopia	Yes	From 1993. Formerlypart of (old) Ethiopia.
ETH	Ethiopia	Federal Democratic Republic of Ethiopia	No	1993: Became Eritrea and (new) Ethiopia.
FLK	Falkland Islands	Falkland Islands	Yes	
FRO	Faroe Islands	Faroe Islands	Yes	

DEU	Federal Republic of Germany	Federal Republic of Germany	No	From 1990 merged with German Democratic Republic to form (new) Federal Republic of Germany.
FJI	Fiji	Republic of the Fiji Islands	Yes	
FIN	Finland	Republic of Finland	Yes	From 2004. Formerlypart of (old) Finland.
FIN	Finland	Republic of Finland	No	2004: Became Aland Islands and (new) Finland.
FRA	France	French Republic	Yes	
GUF	French Guiana	French Guiana	Yes	
PYF	French Polynesia	French Polynesia	Yes	
ATF	French Southern Territories	French Southern Territories	Yes	1979: Changed name from French Southern and Antarctic Territories.
AFI	French Territory of Afars and Issas	French Territory of Afars and Issas	No	From 1977 named Djibouti.
GAB	Gabon	Gabonese Republic	Yes	
GMB	Gambia, The	Republic of The Gambia	Yes	
GEO	Georgia	Georgia	Yes	From 1992. Formerlypart of Union of Soviet Socialist Republics (USSR).
DDR	German Democratic Republic	German Democratic Republic	No	From 1990 merged with Federal Republic of Germany to form (new) Federal Republic of Germany.
DEU	Germany	Federal Republic of Germany	Yes	1990: Merger of German Democratic Republic and (old) Federal Republic of Germany.
GHA	Ghana	Republic of Ghana	Yes	
GIB	Gibraltar	Gibraltar	Yes	
GEL	Gilbert and Ellice Islands	Gilbert and Ellice Islands	No	1977: Became Gilbert Islands and Tuvalu.
GEL	Gilbert Islands	Gilbert Islands	No	From 1979 named Kiribati. Formerly part of Gilbert and Ellice Islands (changed 1977).
GRC	Greece	Hellenic Republic	Yes	
GRL	Greenland	Greenland	Yes	
GRD	Grenada	Grenada	Yes	

GLP	Guadeloupe	Guadeloupe	Yes	
GUM	Guam	Guam	Yes	
GTM	Guatemala	Republic of Guatemala	Yes	
GGY	Guernsey	Guernsey	Yes	From 2006. Previously included in United Kingdom.
GIN	Guinea	Republic of Guinea	Yes	
GNB	Guinea-Bissau	Republic of Guinea-Bissau	Yes	
GUY	Guyana	Co-operative Republic of Guyana	Yes	
HTI	Haiti	Republic of Haiti	Yes	
HMD	Heard Island and McDonald Islands	Heard Island and McDonald Islands	Yes	
VAT	Holy See	Vatican City State	Yes	Formerly named Vatican City State. New name from 1996.
HND	Honduras	Republic of Honduras	Yes	
HKG	Hong Kong	Hong Kong	Yes	
HUN	Hungary	Republic of Hungary	Yes	
ISL	Iceland	Republic of Iceland	Yes	
IND	India	Republic of India	Yes	
IDN	Indonesia	Republic of Indonesia	Yes	
IRN	Iran	Islamic Republic of Iran	Yes	
IRQ	Iraq	Republic of Iraq	Yes	
IRL	Ireland	Ireland	Yes	
IMN	Isle of Man	Isle of Man	Yes	From 2006. Previously included in United Kingdom.
ISR	Israel	State of Israel	Yes	
ITA	Italy	Italian Republic	Yes	
CIV	Ivory Coast	Republic of Côte d'Ivoire	Yes	
JAM	Jamaica	Jamaica	Yes	
JPN	Japan	Japan	Yes	
JEY	Jersey	Jersey	Yes	From 2006. Previously included in United Kingdom.

JTN	Johnston Atoll		No	From 1986 merged with Midway Islands, United States Miscellaneous Pacific Islands and Wake Island to form United States Minor Outlying Islands.
JOR	Jordan	Hashemite Kingdom of Jordan	Yes	
КНМ	Kampuchea	Kampuchea	No	From 1990 named Cambodia.
KAZ	Kazakhstan	Republic of Kazakhstan	Yes	From 1992. Formerlypart of Union of Soviet Socialist Republics (USSR).
KEN	Kenya	Republic of Kenya	Yes	
KIR	Kiribati	Republic of Kiribati	Yes	Formerly Gilbert Islands. New name from 1979.
PRK	Korea, North	Democratic People's Republic of Korea	Yes	
KOR	Korea, South	Republic of Korea	Yes	
QOK	Kosovo	Kosovo	Yes	2008: A new category for Kosovo has been added to the classification in acknowledgement of the UK's recognition of Kosovo as an independent nation.
кмт	Kuwait	State of Kuwait	Yes	
KGZ	Kyrgyzstan	Kyrgyz Republic	Yes	From 1992. Formerlypart of Union of Soviet Socialist Republics (USSR).
LAO	Laos	Lao People's Democratic Republic	Yes	
LVA	Latvia	Republic of Latvia	Yes	From 1992. Formerlypart of Union of Soviet Socialist Republics (USSR).
LBN	Lebanon	Lebanese Republic	Yes	
LSO	Lesotho	Kingdom of Lesotho	Yes	
LBR	Liberia	Republic of Liberia	Yes	
LBY	Libya	Socialist People's Libyan Arab Jamahiriya	Yes	
LIE	Liechtenstein	Principality of Liechtenstein	Yes	
LTU	Lithuania	Republic of Lithuania	Yes	From 1992. Formerlypart of Union of Soviet Socialist Republics (USSR).
LUX	Luxembourg	Grand Duchy of Luxembourg	Yes	

MAC	Масао	Масао	Yes	
MKD	Macedonia	The Former Yugoslav Republic of Macedonia	Yes	From 1993. Formerlypart of Yugoslavia.
MDG	Madagascar	Republic of Madagas car	Yes	
MWI	Malawi	Republic of Malawi	Yes	
MYS	Malaysia	Malaysia	Yes	
MDV	Maldives	Republic of Maldives	Yes	
MLI	Mali	Republic of Mali	Yes	
MLT	Malta	Malta	Yes	
MHL	Marshall Islands	Republic of the Marshall Islands	Yes	From 1986. Formerlypart of Trust Territory of the Pacific Islands.
MTQ	Martinique	Martinique	Yes	
MRT	Mauritania	Islamic Republic of Mauritania	Yes	
MUS	Mauritius	Republic of Mauritius	Yes	
MYT	Mayotte	Mayotte	Yes	
MEX	Mexico	United Mexican States	Yes	
FSM	Micronesia	Federated States of Micronesia	Yes	From 1986. Formerlypart of Trust Territory of the Pacific Islands.
MID	Midway Islands		No	From 1986 merged with Johnston Atoll, United States Miscellaneous Pacific Islands and Wake Island to form United States Minor Outlying Islands.
MDA	Moldova	Republic of Moldova	Yes	From 1992. Formerlypart of Union of Soviet Socialist Republics (USSR).
МСО	Monaco	Principality of Monaco	Yes	
MNG	Mongolia	Mongolia	Yes	
MNE	Montenegro	Republic of Montenegro	Yes	From 2006. Formerlypart of Serbia and Montenegro.
MSR	Montserrat	Montserrat	Yes	
MAR	Morocco	Kingdom of Morocco	Yes	
MOZ	Mozambique	Republic of Mozambique	Yes	
MMR	Myanmar	Union of Myanmar	Yes	Formerly Burma. New name from 1989.
NAM	Namibia	Republic of Namibia	Yes	
NRU	Nauru	Republic of Nauru	Yes	

NPL	Nepal	Kingdom of Nepal	Yes	
NLD	Netherlands	Kingdom of the Netherlands	Yes	
ANT	Netherlands Antilles	Netherlands Antilles	No	2010: Following referendums held on the islands, a change in constitutional status In October 2010 dissolved the Netherlands Antilles. It was divided into: Bonaire, Saint Eustatius and Saba, Curaçao and Sint Maarten (Dutch part).
NCL	New Caledonia	New Caledonia	Yes	
NHB	New Hebrides	New Hebrides	No	From 1980 named Vanuatu.
NZL	New Zealand	New Zealand	Yes	
NIC	Nicaragua	Republic of Nicaragua	Yes	
NER	Niger	Republic of Niger	Yes	
NGA	Nigeria	Federal Republic of Nigeria	Yes	
NIU	Niue	Niue	Yes	
NFK	Norfolk Island	Norfolk Island	Yes	
MNP	Northern Mariana Islands	Northern Mariana Islands	Yes	From 1986. Formerlypart of Trust Territory of the Pacific Islands.
NOR	Norway	Kingdom of Norway	Yes	
OMN	Oman	Sultanate of Oman	Yes	
PAK	Pakistan	Islamic Republic of Pakistan	Yes	
PLW	Palau	Republic of Palau	Yes	From 1986. Formerlypart of Trust Territory of the Pacific Islands.
PSE	Palestine	Palestinian Territory, Occupied	Yes	
PAN	Panama	Republic of Panama	Yes	
PCZ	Panama Canal Zone	Panama Canal Zone	No	From 1980 merged with Panama.
PNG	Papua New Guinea	Independent State of Papua New Guinea	Yes	
PRY	Paraguay	Republic of Paraguay	Yes	
PER	Peru	Republic of Peru	Yes	
PHL	Philippines	Republic of the Philippines	Yes	

PCN	Pitcairn	Pitcaim	Yes	
POL	Poland	Republic of Poland	Yes	
PRT	Portugal	Portuguese Republic	Yes	
PRI	Puerto Rico	Puerto Rico	Yes	
QAT	Qatar	State of Qatar	Yes	
REU	Reunion	Reunion	Yes	
ROU	Romania	Romania	Yes	
RUS	Russia	Russian Federation	Yes	From 1992. Formerlypart of Union of Soviet Socialist Republics (USSR).
RWA	Rwanda	Rwandese Republic	Yes	
BLM	Saint Barthélemy	Saint Barthélemy		As signment of code elements for Saint Barthélemy and Saint Martin and update of France and other French Territories; following detachment from Guadeloupe on 22/02/2007.
SHN	St Helena, Ascension and Tristan da Cunha	Saint Helena	Yes	
KNA	Saint Kitts and Nevis	Federation of Saint Kitts and Nevis	Yes	From 1985. Formerlypart of Saint Kitts-Nevis- Anguilla.
KNA	Saint Kitts-Nevis-Anguilla	Saint Kitts-Nevis-Anguilla	No	1985: Became Saint Kitts and Nevis <i>and</i> Anguilla.
LCA	Saint Lucia	Saint Lucia	Yes	
MAF	Saint Martin (French Part)	Saint Martin (French Part)	Yes	Assignment of code elements for Saint Barthélemy and Saint Martin and update of France and other French Territories; following detachment from Guadeloupe on 22/02/2007.
SPM	Saint Pierre and Miquelon	Saint Pierre and Miquelon	Yes	
VCT	Saint Vincent and the Grenadines	Saint Vincent and the Grenadines	Yes	
WSM	Samoa	Independent State of Samoa	Yes	
SMR	San Marino	Republic of San Marino	Yes	
STP	Sao Tome and Principe	Democratic Republic of Sao Tome and Principe	Yes	
SAU	Saudi Arabia	Kingdom of Saudi Arabia	Yes	
SEN	Senegal	Republic of Senegal	Yes	

SRB	Serbia	Republic of Serbia	Yes	From 2006. Formerlypart of Serbia and Montenegro.
SCG	Serbia and Montenegro	Serbia and Montenegro	No	2006: Became Serbia and Montenegro
SYC	Seychelles	Republic of Seychelles	Yes	
SLE	Sierra Leone	Republic of Sierra Leone	Yes	
SKM	Sikkim	Sikkim	No	From 1975 merged with India.
SGP	Singapore	Republic of Singapore	Yes	
SXM	Sint Maarten (Dutch Part)	Sint Maarten (Dutch Part)	Yes	From 2010. Formerlypart of (new) Netherlands Antilles.
SVN	Slovenia	Republic of Slovenia	Yes	From 1993. Formerlypart of Yugoslavia.
SVK	Slovakia	Slovak Republic	Yes	From 1993. Formerlypart of Czechoslovakia.
SLB	Solomon Islands	Solomon Islands	Yes	
SOM	Somalia	Somalia	Yes	
ZAF	South Africa	Republic of South Africa	Yes	
SGS	South Georgia	South Georgia and the South Sandwich Islands	Yes	From 1993. Formerlypart of the Falkland Islands.
SSD	South Sudan	South Sudan	Yes	Split of Sudan into Sudan (north part) and South Sudan (south part) following Southern Sudan's creation as an independent state in July 2011
VDR	South Vietnam	Democratic Republic of Vietnam	No	From 1977 merged with North Vietnam to form Vietnam.
RHO	Southern Rhodesia	Southern Rhodesia	No	From 1980 named Zimbabwe.
ESP	Spain	Kingdom of Spain	Yes	
ESH	Spanish Sahara	Spanish Sahara	No	From 1985 named Westem Sahara.
LKA	Sri Lanka	Democratic Socialist Republic of Sri Lanka	Yes	
SDN	Sudan	Republic of the Sudan	Yes	
SUR	Suriname	Republic of Suriname	Yes	
SJM	Svalbard and Jan Mayen	Svalbard and Jan Mayen	Yes	
SWZ	Swaziland	Kingdom of Swaziland	Yes	
SWE	Sweden	Kingdom of Sweden	Yes	
CHE	Switzerland	Swiss Confederation	Yes	
SYR	Syria	Syrian Arab Republic	Yes	

TWN	Taiwan	Taiwan	Yes	
тјк	Tajikistan	Republic of Tajikistan	Yes	From 1992. Formerlypart of Union of Soviet Socialist Republics (USSR).
TZA	Tanzania	United Republic of Tanzania	Yes	
THA	Thailand	Kingdom of Thailand	Yes	
TLS	Timor-Leste	Timor-Leste	Yes	Formerly East Timor. New name from 2002.
TGO	Тодо	Togolese Republic	Yes	
TKL	Tokelau	Tokelau	Yes	
TON	Tonga	Kingdom of Tonga	Yes	
тто	Trinidad and Tobago	Republic of Trinidad and Tobago	Yes	
PCI	Trust Territory of the Pacific Islands	Trust Territory of the Pacific Islands	No	1986: Became Marshall Islands, Micronesia, Northern Mariana Islands and Palau.
TUN	Tunisia	Republic of Tunisia	Yes	
TUR	Turkey	Republic of Turkey	Yes	
ТКМ	Turkmenistan	Turkmenistan	Yes	From 1992. Formerlypart of Union of Soviet Socialist Republics (USSR).
ТСА	Turks and Caicos Islands	Turks and Caicos Islands	Yes	
TUV	Tuvalu	Tuvalu	Yes	Formerly Ellice Islands, part of Gilbert and Ellice Islands. New name from 1977.
UGA	Uganda	Republic of Uganda	Yes	
UKR	Ukraine	Ukraine	Yes	From 1992. Formerlypart of Union of Soviet Socialist Republics (USSR). Formerly Ukrainian SSR. New name from 1992.
UKR	Ukrainian SSR	Ukrainian Soviet Socialist Republic	No	From 1992 named Ukraine.
SUN	Union of Soviet Socialist Republics	Union of Soviet Socialist Republics	No	1992: Became Armenia, Azerbaijan, Belarus*, Estonia, Georgia, Kazakstan, Kyrgyzstan, Latvia, Lithuania, Moldova, Russian Federation, Tajikistan, Turkmenistan, Ukraine* and Uzbekistan. * Belarus and Ukraine had separate ISO codes before the USSR break-up.

ARE	United Arab Emirates	United Arab Emirates	Yes	
GBR	United Kingdom	United Kingdom of Great Britain and Northern Ireland	Yes	From 2006 separate entries for Guernsey, Isle of Man and Jersey
USA	United States	United States of America	Yes	
UMI	United States Minor Outlying Islands	United States Minor Outlying Islands	Yes	1986: Merger of Johnston Atoll, Midway Islands, United States Miscellaneous Pacific Islands and Wake Island.
PUS	United States Miscellaneous Pacific Islands		No	From 1986 merged with Johnston Atoll, Midway Islands and Wake Island to form United States Minor Outlying Islands.
HVO	Upper Volta	Upper Volta	No	From 1984 named Burkina Faso.
URY	Uruguay	Oriental Republic of Uruguay	Yes	
UZB	Uzbekistan	Republic of Uzbekistan	Yes	From 1992. Formerlypart of Union of Soviet Socialist Republics (USSR).
VUT	Vanuatu	Republic of Vanuatu	Yes	Formerly New Hebrides. New name from 1980.
VAT	Vatican City State	Holy See	No	From 1996 named Holy See.
VEN	Venezuela	Bolivarian Republic of Venezuela	Yes	
VNM	Vietnam	Socialist Republic of Vietnam	Yes	1977: Merger of South Vietnam (separate coding) and North Vietnam (same coding).
VGB	Virgin Islands, British	Virgin Islands, British	Yes	
VIR	Virgin Islands, U.S.	Virgin Islands, U.S.	Yes	
WAK	Wake Island		No	From 1986 merged with Johnston Atoll, Midway Islands and United States Miscellaneous Pacific Islands to form United States Minor Outlying Islands.
WLF	Wallis and Futuna	Wallis and Futuna	Yes	
ESH	Western Sahara	Western Sahara	Yes	Formerly Spanish Sahara. New name from 1985.
YEM	Yemen	Republic of Yemen	Yes	1990: Merger of Democratic Yemen and Yemen Arab Republic.
YEM	Yemen	Yemen Arab Republic	No	From 1990 merged with Democratic Yemen to form Republic of Yemen.

YUG	Yugoslavia	Socialist Federal Republic of Yugoslavia	No	Became Bosnia and Herzegovina (1993), Croatia (1992), Macedonia (1993), Serbia and Montenegro (2003) <i>and</i> Slovenia (1993). Numeric code 890 prior to 1993.
ZAR	Zaire	Republic of Zaire	No	From 1997 named Democratic Republic of the Congo.
ZMB	Zambia	Republic of Zambia	Yes	
ZWE	Zimbabwe	Republic of Zimbabwe	Yes	Formerly Southern Rhodesia. New name from 1980.
REF	Refused			chools to record answers other
NYO	Not Yet Obtained	than a	country cod	e/name.
STA	Stateless			
NKO	Not Known			

## Annex A – Data collection template

Data collection template for private, volu of early education for children aged two						
December 2016)						
January 2017						
Action by: xx/xx/2017						
Date of issue: xx/xx/2017						
Section 1A - Provider details [for ALL returns]						
Local authority name						
Local authority number						
LA Establishment URN (issued to provider)						
OFSTED URN (if applicable)						
Provider name	1a					
Postcode	2a					
Telephone	3a					
Section 1B – Childminder agency details [where providers are registered with an agency] Local authority name	)					
Local authority number						
OFSTED URN						
Agency Name	1b					
Postcode	2b					
Telephone	3b					
Section 2 - General details of provision (ie box	es 8 to	53) Note: Thi	s rela	ates to ALL child	ren i	n vour care
Section 2A - Category of provider						n your ouro
i. Private	4		Go	to boxes 11-33		
ii. Voluntary	5			to boxes 11-33		
iii. Registered independent school	6			to box 33		
iv. Local authority day nursery	7			to box 33		
v. Childminder type	8			to box 33		
vi. Other	9			to box 10		
If you have ticked 'other' (box 9) please specify:	10				Go	to box 33
Section 2B - to be completed by private or volum		oviders only				
Type of care (as specified in your OFSTED registrat	•••	-				
		Full		Sessional		Other
		day care		day care		day care
i. Day nursery	11		18		25	
ii. Playgroup or pre-School	12		19		26	
iii. Nursery school	13		20		27	
iv. Family/combined/integrated centre	14		21		28	

v. Sure start children's centre - main centre site	15	22		29
vi. Sure start children's centre - linked provider	16	23		30
vii.Other	17	24		31
If you ticked 'other' type (boxes 17,24 and 31) please	e specify:	32		
If you ticked 'other' care (boxes 25-28) please specif	y:	33		
Section 2C				
If you are not open on the census date please record	l your hours	s during a norr	nal week.	
		Yes	No	
Are you continuously open during the day?	34	35		
Do you operate on the premises of a maintained sch maintained school?	ool or have	a contract or	partnership agree	ement with a
Do you work in partnership with another establishme	nt to provid	e funded early	/ education?	
	38	39		
How many hours are you open each week?	40			
How many weeks are you open each year?	41			
How many weeks in the current calendar year is the	provider op	pen and funded	by the local aut	hority?
	42			
Staff				
Total number of teaching staff, both paid and unpaid Total number of teaching staff that have a full and re qualifications? Total number of teaching staff that have a full and re qualification and with GCSE Maths at grade C or ab equivalent)? Total number of teaching staff that have a full and re qualification and with GCSE English at grade C or al equivalent)? Total number of teaching staff that have a full and re qualification or level 3 Early Years Educator qualification Maths at grade C or above – or an equivalent qualific Total number of teaching staff that have a full and re qualification or level 3 Early Years Educator qualification Total number of teaching staff that have a full and re qualification or level 3 Early Years Educator qualification Total number of teaching staff that have a full and re qualification or level 3 Early Years Educator qualification total number of teaching staff, both paid and unpaid, do y with a full and relevant level 3 qualification (gained p 2014) working with 2, 3 and 4 year old children? How many teaching staff, both paid and unpaid, do y Early Years Educator qualification (gained post Sept with 2, 3 and 4 year old children?	levant level levant level ove (or levant level bove (or levant level tion and wi cation? levant level tion and wi fication? you have ore Septem	2 45 2 46 3 th GCSE 47 3 th GCSE 48 ber 49 th a level 3		
Number of children by age				
Number of 2 year olds?		51		
Number of 3 year olds?		52		
Number of 4 year olds?		53		

#### Section 3: Child level data items

Child level data is required from any PVI setting where one or more of their children (aged 2, 3 and 4 years as at 31 December 2016) are receiving early education funded by the department.

Sumame	Forename	Middle names	Date of birth	Gender	Child preferred sumame	Child ethnic code	Child language code	Child nationality	Child country of birth	Number of funded hours at provider	Total number of hours at provider	Total funded spring hours	SEN provision	Address 1	Address 2	Address 3	Address 4	Address 5	Postcode	UPRN (where provided by MIS)

### Annex B – Guide for data collection template

#### Information about children

Please record the normal situation during the week beginning 16 January 2017. If children are temporarily absent, for example, sick or on holiday, please include them. If the provider was temporarily closed for any reason, record the situation which would have applied during the week.

#### Section 2: General details of provision (ie boxes 4-50)

Note: This relates to ALL children in your care.

#### Section 2A - All types of provider must complete this section

Please tick the appropriate box to indicate the type of facility that you provide having read the guidance below.

If you are a private or voluntary day nursery, pre-school / playgroup or nursery school on the premises of or as part of a family / combined / integrated centre, please enter a tick in either section 2Ai or 2Aii and then complete section 2B.

If you are a private or voluntary provider delivering early years provision as a sure start children's centre main centre or as a linked provider, please enter a tick in section 2Ai or ii.

A childminder may be either independently registered with Ofsted or registered with a childminder agency which is registered with Ofsted.

#### Section 2B: Private or voluntary providers only

You must complete this section if you have ticked either of boxes 2Ai or ii (boxes 4 or 5)

Provision for funded early education is categorised / classified as full day care and sessional day care. These are defined as :

Full day care: Facilities that provide day care for children under 8 for a continuous period of 4 hours or more in any day in premises which are not domestic premises. Boxes 11-17.

Sessional day care: Facilities where children under eight attend day care for no more than five sessions a week, each session being less than a continuous period of four hours in any day. Where two sessions are offered in any one day, there is a break between sessions with no children in the care of the provider. Boxes 18-24.

If you are a private or voluntary day nursery, pre-school / playgroup or nursery school on the premises of or as part of a family / combined / integrated centre, you should have already ticked either section 2Ai or ii. Now tick section 2Bi, ii, iii, iv, v or vi. Tick 2Bvii only where section 2Bi, ii, iii, iv, v or vi do not apply.

Other type: this category should only be used when a provider definitely does not fit into either of

the categories in section 2Bi, ii, iii, iv, v or vi (boxes 17, 24 and 31).

Other care: this category should only be used when a provider definitely does not fit into full day care and sessional day care (boxes 25-31).

**Section 2C** - Information about staff in this survey should relate to those who have been present in the week beginning 16 January 2017. If unusual circumstances occur that week (ie if premises were unavailable), please record usual staffing levels.

Information is collected on staff qualifications:

- A full and relevant level 2 qualifications
- A full and relevant level 2 qualification with GCSE Maths at grade C or above (0r equivalent)
- A full and relevant level 2 qualification with GCSE English at grade C or above (or equivalent)
- A full and relevant level 3 qualification (pre-September 2014) or level 3 Early Years Educator qualification (post-September 2014) and with GCSE Mathematics grade C or above (or equivalent)
- A full and relevant level 3 qualification (pre-September 2014) or level 3 Early Years Educator qualification (post-September 2014) and with GCSE English grade C or above (or equivalent).
- a full and relevant level 3 qualification (gained pre September 2014) working with 2, 3 and 4 year old children
- a level 3 Early Years Educator qualification (gained post September 2014) working with 2, 3 and 4 year old children

#### Section 3: Child level data items

Child level data is required from any PVI setting where one or more of their children (aged 2, 3 and 4 years as at 31 December 2016) are receiving early years education that is funded by the department via the local authority.

Child data is required for all children aged 2, 3 and 4 years as at 31 December 2016 that are receiving early education funded by the department via the local authority.

#### Child identifiers

Surname Forename Date of birth - The child's date of birth. Gender - Gender of child. Child preferred surname - The surname most commonly used in the provider.

#### Child characteristics

Child ethnic code - The codes collected will be those specified for use by the local authority which can be found in <u>CBDS</u>

Child language code - The codes collected will be those specified for use by the local authority which can be found in <u>CBDS</u>

Child nationality - The codes collected will be those specified for use by the local authority which can be found in <u>CBDS</u>

Child country of birth - The codes collected will be those specified for use by the local authority which can be found in  $\underline{CBDS}$ 

Funded hours - The number of hours funded by a local authority for the child at the provider (to the nearest 0.5 hour)

Hours at provider - The total number of local authority funded hours and unfunded hours that the child spends at the provider (to the nearest 0.5 hours).

Total funded spring hours - Where the provider is funded for more than 38 weeks of the year, the number of hours for which the local authority is paying for the child between 1 January and 31 March (to the nearest 0.5 hours)

#### Child SEN

SEN provision - Provision types under the SEND code of practice.

#### Home information

Postcode - The code allocated by the post office to identify a group of delivery points.

Address line 1 - First line of address.

Address line 2 - Second line of address.

Address line 3 - Third line of address.

Address line 4 - Fourth line of address.

Address line 5 - Fifth line of address.

Unique property reference number – The code allocated by the Ordnance Survey unique to each property. This item is voluntary and only submitted should the data be available within the settings' MI system.



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