

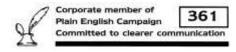
# Social care online applications: information for applicants

This is additional guidance for applicants applying online to register a social care establishment or agency.

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# **Contents**

Introduction	3
Children's homes	3
Independent fostering agencies	4
Residential family centres	6
Voluntary adoption agencies	7
Adoption support agencies	8
Applications from local authorities, NHS trusts or other types of trust	9
Applications from partnerships	9
Information about directors	10



## **Introduction**

- 1. If you are applying to register online as a new establishment or agency, please read this information before you apply.
- 2. We are currently working to update our online system. While we do this, there are some workarounds you need to follow to help you to successfully submit your application. The workarounds and where you need to follow these steps are explained below.
- 3. For information about the application process and explanations of the terms used in this guidance, please refer to our 'Guide to registration for children's social care services'.<sup>1</sup>

#### Children's homes

#### SC1 form

#### **Applicant details section**

- 4. Question B39 in the online form asks for a list of all the persons who are part of the registered provider, including any directors. Only include those directors who are involved in the day-to-day running of the children's home in B39.
- 5. A day-to-day role can include being involved in finance, human resources or in some other capacity. If an organisation's sole purpose to carry on children's homes then we expect all directors to be involved in the day-to-day running of the home. All directors who are involved in the day-to-day running of a children's home are required to submit an SC2 form (if they have not previously submitted one).
- 6. For all other directors you must upload a Word document that lists their details. There is a template at the end of this guidance which you can use to provide us with the required information. You must upload the information about directors, in the 'Supporting documentation' section with your Statement of purpose (they will need to be uploaded as a single document).

#### **Supporting documentation section**

7. Your application must contain all of the documents in our 'Checklist for children's social care applications'.<sup>2</sup> Your application will not be accepted as complete and we will not start to process it until we have received all of the required documents.

www.gov.uk/government/publications/become-a-childrens-social-care-provider-checklist.

<sup>&</sup>lt;sup>1</sup> Guide to registration for children's social care services (090020), Ofsted, 2017; www.gov.uk/government/publications/guide-to-registration-for-childrens-social-care-services. <sup>2</sup> 'Checklist for children's social care applications' (170007), Ofsted, 2017;



- 8. The online system does not prompt you to submit all of the required documents. Therefore please use the section 'Types of communication' to upload your:
  - Safeguarding policy
  - Prevention of bullying policy
  - Complaints procedure
  - Location assessment.
- 9. They will need to be uploaded as a single document. Please include headings to identify each policy within the single document.
- 10. If you have told us at question C13 that planning permission is in progress, you must provide us with an explanation why planning permission was not obtained prior to your application being submitted.

#### SC2 form

#### Fit person section

11. There are fit person questionnaires that are available on our website.<sup>3</sup> Please complete these questionnaires and **not** the 'fit person' questions in the SC2 form. Please insert 'N/A' into the text boxes for each of these online questions. The fit person questionnaires will be requested by your inspector when they contact you to confirm that your application is being processed. Your inspector will explain how to return the questionnaires.

# **Independent fostering agencies**

#### SC1 form

#### **Applicant details section**

12. Question B39 on the online form asks for a list of all the persons who are part of the registered provider, including any directors. **Do not** include directors in B39. Instead, you must upload a Word document that lists the details of each director. There is a template at the end of this guidance which you can use to provide us with the required information. You must upload the information about all directors, in the 'Supporting documentation' section with your Statement of purpose (they will need to be uploaded as a single document).

³ www.gov.uk/government/publications/childrens-social-care-fit-person-assessment-questionnaires



#### **Supporting documentation section**

- 13. Your application must contain all of the documents on our 'Checklist for children's social care applications'. Your application will not be accepted as complete and we will not start to process it until we have received all of the required documents.
- 14. The online system does not prompt you to submit all of the required documents. Therefore please use the section 'Types of communication' to upload your:
  - Safeguarding policy
  - Behaviour management policy
  - Prevention of bullying policy
  - Complaints procedure
  - Children's quide
  - Missing child policy.
- 15. They will need to be uploaded as a single document. Please include headings to identify each policy within the single document.
- 16. If you have told us at question C13 that planning permission is in progress, you must provide us with an explanation why planning permission was not obtained prior to your application being submitted.

#### SC2 form

#### Fit person section

17. There are fit person questionnaires that are available on our website.<sup>5</sup> Please complete these questionnaires and **not** the 'fit person' questions in the SC2 form. Please insert 'N/A' into the text boxes for each of these online questions. The fit person questionnaires will be requested by your inspector when they contact you to confirm that your application is being processed. Your inspector will explain how to return the questionnaires.

<sup>&</sup>lt;sup>4</sup> 'Checklist for children's social care applications' (170007), Ofsted, 2017; www.gov.uk/government/publications/become-a-childrens-social-care-provider-checklist.

<sup>5</sup> www.gov.uk/government/publications/childrens-social-care-fit-person-assessment-questionnaires



## **Residential family centres**

#### SC1 form

#### **Applicant details section**

18. Question B39 on the online form asks for a list of all the persons who are part of the registered provider, including any directors. **Do not** include directors in B39. Instead, you must upload a Word document that lists the details of each director. There is a template at the end of this guidance which you can use to provide us with the required information. You must upload the information about all directors, in the 'Supporting documentation' section with your Statement of purpose (they will need to be uploaded as a single document).

#### **Supporting documentation section**

- 19. Your application must contain all of the documents on our 'Checklist for children's social care applications'. Your application will not be accepted as complete and we will not start to process it until we have received all of the required documents.
- 20. The online system does not prompt you to submit all of the required documents. Therefore please use the section 'Types of communication' to upload your:
  - Accident and missing resident procedure
  - Safeguarding policy
  - Prevention of bullying policy
  - Complaints procedure.
- 21. They will need to be uploaded as a single document. Please include headings to identify each policy within the single document.
- 22. If you have told us at question C13 that planning permission is in progress, you must provide us with an explanation why planning permission was not obtained prior to your application being submitted.

#### SC2 form

#### Fit person section

23. There are fit person questionnaires that are available on our website.<sup>7</sup> Please complete these questionnaires and **not** the 'fit person' questions in the SC2

 <sup>&</sup>lt;sup>6</sup> 'Checklist for children's social care applications' (170007), Ofsted, 2017;
 www.gov.uk/government/publications/become-a-childrens-social-care-provider-checklist.
 <sup>7</sup> www.gov.uk/government/publications/childrens-social-care-fit-person-assessment-questionnaires



form. Please insert 'N/A' into the text boxes for each of these online questions. The fit person questionnaires will be requested by your inspector when they contact you to confirm that your application is being processed. Your inspector will explain how to return the questionnaires.

# **Voluntary adoption agencies**

#### SC1 form

#### **Applicant details section**

24. Question B39 on the online form asks for a list of all the persons who are part of the registered provider, including any directors. **Do not** include directors in B39. Instead, you must upload a Word document that lists the details of each director. There is a template at the end of this guidance which you can use to provide us with the required information. You must upload the information about all directors, in the 'Supporting documentation' section with your Statement of purpose (they will need to be uploaded as a single document).

#### **Supporting documentation section**

- 25. Your application must contain all of the documents on our 'Checklist for children's social care applications'. Your application will not be accepted as complete and we will not start to process it until we have received all of the required documents.
- 26. The online system does not prompt you to submit all of the required documents. Therefore please use the section 'Types of communication' to upload your:
  - Safeguarding policy
  - Children's guide
  - Complaints procedure.
- 27. If you have told us at question C13 that planning permission is in progress, you must provide us with an explanation why planning permission was not obtained prior to your application being submitted.

<sup>&</sup>lt;sup>8</sup> 'Checklist for children's social care applications' (170007), Ofsted, 2017; www.gov.uk/government/publications/become-a-childrens-social-care-provider-checklist.



#### SC2 form

#### Fit person section

28. There are fit person questionnaires that are available on our website. You must complete these questionnaires and **not** the 'fit person' questions in the SC2 form. Please insert 'N/A' into the text boxes for each of these online questions. The fit person questionnaires will be requested by your inspector when they contact you to confirm that your application is being processed. Your inspector will explain how to return the questionnaires.

# **Adoption support agencies**

#### SC1 form

#### **Applicant details section**

29. Question B39 in the online form asks for a list of all the persons who are part of the registered provider, including any directors. **Do not** include directors in B39. Instead, you must upload a Word document that lists the details of each director. There is a template at the end of this guidance which you can use to provide us with the required information. You must upload the information about all directors, in the 'Supporting documentation' section with your Statement of purpose (they will need to be uploaded as a single document).

#### **Supporting documentation section**

- 30. Your application must contain all of the documents in our 'Checklist for children's social care applications'. Your application will not be accepted as complete and we will not start to process it until we have received all of the required documents.
- 31. The online system does not prompt you to submit all of the required documents. Therefore please use the section 'Types of communication' to upload your:
  - Safeguarding policy
  - Complaints procedure
  - Children's guide.
- 32. The above will need to be uploaded as a single document. Please include headings to identify each policy within the single document.

www.gov.uk/government/publications/childrens-social-care-fit-person-assessment-questionnaires
 Checklist for children's social care applications' (170007), Ofsted, 2017;
 www.gov.uk/government/publications/become-a-childrens-social-care-provider-checklist.



33. If you have told us at question C13 that planning permission is in progress, you must provide us with an explanation why planning permission was not obtained prior to your application being submitted.

#### SC2 form

#### Fit person section

34. There are fit person questionnaires that are available on our website.<sup>11</sup> Please complete these questionnaires and **not** the 'fit person' questions in the SC2 form. Please insert 'N/A' into the text boxes for each of these online questions. The fit person questionnaires will be requested by your inspector when they contact you to confirm that your application is being processed. Your inspector will explain how to return the questionnaires.

# Applications from local authorities, NHS trusts or other types of trust

- 35. If you are a local authority or an NHS trust applying to register an establishment or agency, you are not required to provide a financial reference, business plan, cash flow forecast, annual reports or annual accounts. You should upload a blank Word document under these headings as the online system will not allow you to submit your application otherwise.
- 36. If you are another type of trust applying to register an establishment or agency, you have an alternative option to providing a financial reference, business plan, cash-flow forecast and the last two annual reports. Instead you can provide in writing from the local authority:
  - assurance that they are content with the financially viability of the trust (this could be in the form of a service level agreement or a memorandum of understanding)
  - what mechanisms they have in place to review the on-going performance of the trust, including their financial standing.

We also require the latest set of accounts.

## **Applications from partnerships**

37. If you are applying to register an establishment or agency as a partnership, you must also include a copy of the agreement or a document that clearly establishes the partnership as a legal entity. You should upload this with the 'Business plan' (they will need to be uploaded as a single document).

<sup>11</sup> www.gov.uk/government/publications/childrens-social-care-fit-person-assessment-questionnaires



#### **Information about directors**

#### Applications to register a children's home

For each director please provide the information below.

Title	First name(s)	Surname	Date of birth	Position	Is this person disqualified?	Is this person involved in the day-to-day running of the home?	Has this person previously submitted an SC2 form? If yes, please provide the name of the setting it was submitted for.

A day-to-day role can include being involved in finance, human resources or in some other capacity. If an organisation's sole purpose to carry on children's homes then we expect all directors to be involved in the day-to-day running of the home. All directors who are involved in the day-to-day running of a children's home are required to submit an SC2 form (if they have not previously submitted one). If you list a director above who is involved in the day-to-day running of the home and that individual has not previously submitted an SC2 form their details must be included at question B39 of the SC1 form.



# Applications to register an adoption support agency, independent fostering agency, residential family centre or voluntary adoption agency

For each director please provide the information below.

Title	First name(s)	Surname	Date of birth	Position	Is this person disqualified?





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