



## BRIEFING PAPER

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# School funding in England: FAQs

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# Summary

## Scope of briefing paper

This briefing paper gives a short overview of school funding in England. It looks at overall funding levels, the Government's Summer 2019 funding commitments, and the implementation of the new National Funding Formula (NFF) for schools.

## Overall levels of funding

In recent years, there have been concerns about a squeeze on school funding, in the wider context of increasing pupil numbers and cost pressures facing schools. In response, in 2017, the Government committed to maintaining core per pupil school revenue funding, in real terms, for 2018-19, and 2019-20. It also said it was helping schools to identify savings. However, concerns remained, particularly around funding for special educational needs and sixth form provision.

## Summer 2019 school funding announcements: what has the Government promised?

In Summer 2019, the Government announced it was providing additional funding for 5-16 year olds in schools in 2020-21, 2021-22 and 2022-23. In cash terms, the annual increases are:

- In 2020-21, £2.6 billion (on top of 2019-20 funding).
- In 2021-22, £2.2 billion (on top of 2020-21 funding).
- In 2022-23, £2.4 billion (on top of 2021-22 funding).

The Government is also providing £1.5 billion per year in recognition of additional employers' contributions to teacher pensions, and £400 million in 2020-21 for 16-19 education.

## What will the funding be used for?

The Government made several specific commitments, including:

- Increasing the per pupil minimum funding levels attracted by mainstream schools to: £3,750 (in 2020-21) and £4,000 (in 2021-22) for primary schools, and £5,000 in both years for secondary schools. It has recently consulted on how to implement a new requirement for local authorities to pass these minimums on to schools.
- Payment of any remaining gains due under the new national funding formula (NFF) – so far, gains due have been capped.
- An increase of 4% to the NFF's core factors.
- That the funding floor in the NFF will be set at +1.84% in respect of pupil-led funding, per pupil (compared to schools' 2019-20 NFF baselines). This is in line with predicted inflation.
- £780 million in additional High Need funding - largely, this is for special educational needs provision.

## Reaction to the Government's school funding pledges

The schools funding announced equates to a **real terms increase of £4.4 billion to the schools budget** between 2019-20 and 2022-23. This excludes the additional teacher pension funding.

The Institute for Fiscal Studies has estimated that the additional funding means a real terms increase in spending of 7.4% per pupil between 2019-20 and 2022-23, and after accounting for an expected increase in pupil numbers. The additional funding would, the IFS said, come "very close" to reversing the 8% (real terms) cut in total school spending per pupil seen between 2009-10 and 2018-19.

Whilst the additional funding has been welcomed, critics have noted that it won't be made available until 2020-21. Others have observed that the promised funding may also be expected to cover significant teacher pay increases and have raised concerns about the distributional impacts.

## What is happening with the national funding formulas (NFF) for schools and high needs in 2019-20 and 2020-21?

Since 2018-19, the new National Funding Formula (NFF) has been used to determine how much mainstream schools attract in core revenue funding. There are separate formulas for sixth form, high need, and early years (nursery) funding. Pupil Premium (additional money to support disadvantaged children) is also paid via a separate grant.

In 2019-20 and 2020-21, the schools NFF is only being used to work out **notional** allocations for individual schools. These are then summed, adjusted and passed on to local authorities, who then draw up local funding formulas for onward distribution. This is known as a soft NFF.

A change for 2020-21 is that local authorities will be required to pass on the new minimum funding levels in the NFF to individual schools, via their local funding formula arrangements. The Department for Education has recently consulted on how to implement this change, and on the circumstances in which the requirement could be disapplied.

The Government has said that it remains committed to introducing a hard NFF (largely removing the role of local authorities in distributing core schools funding) but hasn't confirmed a definite date for this.

## What impact will the recent funding announcements have on particular individual schools?

The DfE published [notional, provisional NFF](#) allocations at school and local authority level, on 11 October 2019.

A House of Commons Library [insight article](#) provides analysis of these figures, and constituency estimates of the real terms change in per pupil

NFF allocations in 2020-21 (compared to the 2019-20 notional NFF baseline allocations).

The DfE's October 2019 notional school-level allocations are not what individual schools will actually receive through the NFF in 2020-21; local authorities will retain a degree of flexibility in determining local funding arrangements.

# 1. School funding in England – the current picture

## 1.1 What additional funding has the Government promised?

In Summer 2019, the Government announced it was providing additional funding for 5-16 year olds in schools in 2020-21, 2021-22 and 2022-23. In cash terms, the annual increases are:

- In 2020-21, £2.6 billion (on top of 2019-20 funding).
- In 2021-22, £2.2 billion (on top of 2020-21 funding).
- In 2022-23, £2.4 billion (on top of 2021-22 funding).

The Government is also providing £400 million in 2020-21 for further education, including £190 million to increase core funding for 16-19-year-olds, and targeted funding for other purposes.<sup>1</sup> It has also promised around £1.5 billion per year in recognition of additional employers' contributions to teacher pensions due from September 2019.

### Commentary on the funding commitments

The schools funding announced equates to a **real terms increase of £4.4 billion to the schools budget** between 2019-20 and 2022-23.<sup>2</sup> This excludes the additional teacher pension funding.<sup>3</sup>

The Institute for Fiscal Studies (IFS) has estimated that that the additional school funding equates to a real terms increase in spending of 7.4% per pupil, between 2019-20 and 2022-23, and in 2019-20 prices. This is after accounting for expected growth in pupil numbers. They suggest that the additional funding would come “very close” to reversing the 8% (real terms) cut in total school spending per pupil seen between 2009-10 and 2018-19.<sup>4</sup>

However, the IFS also note:

Whilst the planned increases in school spending per pupil represent a clear turnaround as compared with recent trends, these plans would still leave school spending per pupil at about the same level in 2022–23 as it was 13 years earlier. This represents a significant squeeze on school resources as compared with recent history. The previous lowest growth over a 13-year period was 18% for secondary schools between 1987–88 and 2000–01 (see Figure 3.2). This highlights a long-run historical pattern whereby spending per pupil alternates between large rises

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<sup>1</sup> HM Treasury news story, '[Chancellor announces £400 million investment for 16-19 year olds' education](#)', 31 August 2019.

<sup>2</sup> 2019-20 prices, using HM Treasury's June 2019 GDP deflators.

<sup>3</sup> In 2019-20 prices, using HM Treasury's June 2019 GDP deflators.

<sup>4</sup> Institute for Fiscal Studies, [2019 annual report on education spending in England](#), 19 September 2019, pp53-4.

(late 1980s, 2000s, early 2020s) and periods of retrenchment (late 1970s, early 1980s, 1990s).<sup>5</sup>

Speaking in response to Gavin Williamson's speech at the Conservative Party annual conference on 30 September 2019, Paul Whiteman, General Secretary of the National Association of Head Teachers (NAHT) said that the Government had delivered a "significant amount" but that it was still insufficient:

Paul Whiteman, general secretary of school leaders' union NAHT, said: "I am pleased that the government eventually accepted that new money for education is needed. A year ago they were denying that the funding crisis was real. They have delivered a significant amount. But when you analyse the figures behind the headline promise, as the IFS has done for example, there will still be no real terms funding growth in per pupil funding from 2009/10 to 2022/23. That's a funding freeze that's lasted for thirteen years.

"Schools won't receive a penny until next year. In the meantime, their budgets are still at breaking point and they are struggling to make ends meet. There are also some obvious gaps in what's been promised. Early years, SEND and sixth form education are all areas of concern for us.

"The government now has ambitious plans for Further Education. The £120m mentioned today [at the Conference] is a re-announcement of money pledged in the Spending Review. We have campaigned alongside other organisations for the 16-19 funding rate to be raised to £4,760 per student. It will be difficult to achieve what has been promised when the funding announced lags so significantly behind what's needed."<sup>6</sup>

The National Education Union (NEU) said the announcements represented a "major shift in Government policy on school funding" and that the sums announced in respect of "primary and secondary schools, SEND, 16-19 education and teacher pay go some way towards closing the gap, but are still significantly short of what is required". The NEU also said that the Government was "clearly seeking to favour some schools more than others."<sup>7</sup>

Responding to the 4 September Spending Round 2019 announcements, the Association of School and College Leaders said:

The investment promised by the government is significant and it will be welcomed by schools and colleges after the damage done by years of austerity. But it should be noted that even with this additional funding there will still be a shortfall.

Analysis by the Education Policy Institute shows some schools are unlikely to see a full reversal of cuts, additional funding for pupils with high needs is short of what is required, and the spending plans only repair a quarter of the cuts experienced in 16-19 education since 2011.1 In addition, the extra funding will provide no immediate relief to schools and colleges because it will not be introduced until 2020/21. And the spending plans for 16-19

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<sup>5</sup> Institute for Fiscal Studies, [2019 annual report on education spending in England](#), 19 September 2019, p54.

<sup>6</sup> National Association of Head Teachers press release, '[NAHT responds to Gavin Williamson speech at Conservative Party Conference](#)', 30 September 2019.

<sup>7</sup> National Education Union press release, '[Spending Review](#)', 3 September 2019.

education cover only one year and will therefore mean continued uncertainty for colleges and sixth forms.

The extra funding is a major step forward and a vindication of the campaign which has got us to this point, but the job is not done on education funding. We will continue to talk constructively with the government about the settlement with the aim of ensuring that every school and college is properly resourced.<sup>8</sup>

### What will the funding be used for?

The Government made several specific commitments, including:

- Increasing the per pupil minimum funding levels attracted by mainstream schools: £3,750 (in 2020-21) and £4,000 (in 2021-22) for primary schools, and £5,000 in both years for secondary schools.
- Payment of any remaining gains due under the national funding formula (NFF) – so far, gains due have been capped.
- An increase of 4% to the NFF's core factors.
- That the funding floor in the NFF will be set at +1.84% in respect of per pupil, pupil-led funding (compared to schools' 2019-20 NFF baselines). This is in line with predicted inflation.
- £780 million in additional High Need funding - largely, this is for special educational needs provision.

## 1.2 Which schools and areas will benefit most from the announced funding?

### Notional, provisional NFF allocations for 2020-21

The DfE published [notional, provisional NFF](#) allocations at school and local authority level for 2020-21, on 11 October 2019. This also provides some comparator baseline NFF data for 2019-20.

A House of Commons Library [Insight article](#) provides analysis of these figures, and constituency-level estimates of the real terms change in per pupil NFF allocations in 2020-21 (compared to the 2019-20 notional NFF allocations).

The DfE's October 2019 notional, provisional school-level allocations are not what individual schools will actually receive through the NFF in 2020-21; local authorities will retain a degree of flexibility in determining local funding arrangements. Section 2 of this briefing provides more detailed information on how school funding works.

### Other school funding data

The Dedicated Schools Grant (DSG) is the main Government grant in support of schools' budgets. Its largest component – the schools block – is the element affected by the introduction of the NFF. Previous years' DSG allocations can be found online:

- Education and Skills Funding Agency (ESFA), [Dedicated schools grant \(DSG\) allocations: 2019 to 2020](#)

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<sup>8</sup> Association of School and College Funding, '[ASCL comment on spending round: the job is not done on education funding](#)', 4 September 2019.

The DfE also publishes allocation data at institution level for the Pupil Premium and 16-19 funding:

- ESFA, [Pupil premium: allocations and conditions of grant 2019 to 2020](#).
- ESFA, [16 to 19 education: funding allocations](#)

Further background information on 16-19 funding can be found in a linked Library briefing paper:

- [16-19 education funding in England since 2010](#)

### 1.3 Education Policy Institute analysis

The Education Policy Institute (EPI) published a briefing on school revenue expenditure on 18 September 2019 – so before the publication of the notional, provisional allocations on 11 October.

On the potential impacts, this said:

In our [earlier analysis](#) we stressed the importance of looking beyond the large numbers that are typical of Treasury announcements to try to understand what they really mean for schools in England. For example:

- the announcements at that point [3 September 2019, so before the Spending Round, later statement and publication of NFF provisional, notional figures] lacked detail on how the additional money would be distributed to schools though we know a focus on 'levelling-up' funding would disproportionately benefit schools with less challenging intakes;
- the announcement of increases in teacher pay would put fresh pressure on school budgets but without further details it is difficult to say how much and whether particular schools would be affected; and
- the increases build up over several years and so even schools that make the largest gains from this settlement are still likely to be feeling the squeeze for some time.<sup>9</sup>

### 1.4 What is happening on teachers' pay and pensions?

#### The 2019-20 teacher pay award

Arrangements for teacher pay at maintained schools in England are set out in the annual School Teachers' Pay and Conditions Document (STPCD). The [latest version is for 2019-20](#). The STPCD is informed by recommendations made annually by the School Teachers' Review Body.

In 2018-19, the STPCD provided cash uplifts of 3.5% to the main teacher pay range, a 2% increase to the upper pay range, and a 1.5% increase for the leadership pay range.

For 2019-20, the STPCD provides for a cash uplift of 2.75% to the band minimums and maximums of all teacher pay ranges and allowances.

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<sup>9</sup> Education Policy Institute (EPI) '[Understanding school revenue expenditure. Part 1: Why do we need another study on school funding?](#)', 19 September 2019, p2.

## Funding for teachers' pay awards

In a [Statement to Parliament](#) on 24 July 2018,<sup>10</sup> then-Education Secretary Damian Hinds confirmed the introduction of a new Teachers' Pay Grant. The funding would cover the years 2018-19 (£187 million) and 2019-20 (£321 million).

The money would come from within the existing DfE budget. In relation to 2018-19, the Statement said that the funding would cover "in full, the difference between the 2018-19 pay award and the cost of the 1% award that schools would have anticipated under the previous public sector pay cap".<sup>11</sup>

In response to a PQ of 3 September 2019, Minister Nick Gibb said that the Government was providing "an additional £105 million of funding for schools this year [2019-20]" on top of the £321 million provided through the Teachers' Pay Grant. This was "in recognition of the difference between [the 2.75% award for 2019-20] and the 2% the Department has assessed schools can afford on average nationally."<sup>12</sup>

In a [Statement](#) provided on 3 September 2019, Education Secretary Gavin Williamson confirmed that the Teachers' Pay Grant would continue to be paid separately in 2020-21.<sup>13</sup>

## Higher starting salaries for new teachers

The Government has also said that it plans to raise the starting salary for a newly-qualified classroom teacher outside of London to £30,000 by 2022-23.<sup>14</sup> This would represent a significant increase on the current classroom teacher main scale pay band minimum: £24,373 in 2019.

It isn't yet clear what might happen to the salaries of those not on the band minimum – for example, teachers and leaders with more years of service, or those on the Upper Pay Scale. In his [remit letter](#) to the STRB for the 2020-21 pay round, the Education Secretary said he would be making a strong case "for schools to move towards a relatively flatter pay progression structure than is currently typical, alongside significantly higher starting and early career salaries".<sup>15</sup>

In his oral Statement to Parliament on 3 September 2019, he said:

The £14 billion investment announced last week will ensure that pay can be increased for all teachers. Subject to the school teachers' review body process, the investment will make it possible to increase teachers starting salaries by up to £6,000, with the aim of reaching a £30,000 starting salary by 2022-23. This would make starting salaries for teachers among the most competitive in the graduate labour market.<sup>16</sup>

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<sup>10</sup> ['Teachers update'](#), Written Statement, HCWS912, 24 July 2018.

<sup>11</sup> As above.

<sup>12</sup> [PQ 282297](#) [Teachers: Pay], 3 September 2019.

<sup>13</sup> ['Education funding'](#), Oral Statement, HC Deb 3 September 2019, Vol.664, col 65 onward.

<sup>14</sup> 'Department for Education news story, ['£30,000 starting salaries proposed for teachers'](#), 2 September 2019.

<sup>15</sup> [Letter from Gavin Williamson to Dame Patricia Rice](#), 18 September 2019, p2.

<sup>16</sup> [Oral Statement: Education Funding](#), HC Deb 3 September 2019, Vol 664, col 65 onward.

## Teachers' Pension Scheme costs

From September 2019, the level of employer contribution to the Teachers' Pension Scheme (TPS) increased from 16.4% to 23.6% of annual pay.<sup>17</sup>

The Government confirmed in April 2019 that it would "fully fund" the additional teachers' pension contributions that state-funded school and college employers need to make in 2019-20. It said it would provide £940 million in funding, but arrangements for future years would be set at the next Spending Review.<sup>18</sup> In 2019-20, the DfE is funding some of this additional cost from central budgets, with £654 million extra being provided by HM Treasury.

At Spending Round 2019, the Government confirmed that around £1.5 billion would be made available for the additional pension contributions, in each year from 2020-21 to 2022-23.

The DfE has published [allocations](#) for the Teachers' Pension Employer Contribution Grant in 2019-20. For schools, funding is being calculated on a formulaic basis, taking into account pupil numbers and other factors. There is also a supplementary fund for schools and local authorities.

## 1.5 What's happening with special educational needs funding?

As noted above, the Summer 2019 funding commitments promised £780 million extra for high need funding in 2020-21. High need funding is largely for special educational needs (SEND) provision for children and young people.

SEND funding has been an area of particular concern in recent years. The Education Committee published a report on school and college funding in July 2019, in the run-up to the expected Spending Review. They concluded:

105. Special educational needs and disability funding is completely inadequate. There is simply not enough money in the system to provide for the scale of demand. Local authorities are expected to face a funding shortfall in excess of £1 billion by 2021. The post-16 sector in particular is having to deal with significant challenges in the context of enormous funding constraints. This is not sustainable.

106. The Department must make the strongest possible case to the Treasury for sufficient funds to finance the widening high-needs deficit, projected to be over £1 billion by 2021, and address the underlying drivers of spiralling costs at an early stage. The funding uplift must include a thorough assessment of the cost implications of local authorities' duty to maintain an Education, Health and Care Plan up to the age of 25.

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<sup>17</sup> This is the underlying employer contribution rate, and excludes an administration levy of 0.08%.

<sup>18</sup> Department for Education news story, '[Teachers' Pension Scheme protected to ensure it remains among most lucrative](#)', 10 April 2019.

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107. The Department's assessment of the core school funding uplift requirements must include a thorough analysis of the role that sufficient core school funding plays in facilitating early intervention and avoiding more costly interventions later on.<sup>19</sup>

The Government [response to the Committee's report](#) was published in October 2019.

The Committee has also recently published a [report](#) looking in more detail at the SEND system.<sup>20</sup>

The National Audit Office published a [report](#) on support for pupils with SEND on 11 September 2019.<sup>21</sup> This looked at funding and spending in this area up to 2018-19. Key findings included:

- The Department has increased school funding, particularly for high needs, but funding has not kept pace with the rise in the number of pupils. [...]
- Local authorities [were...] increasingly overspending their budgets for supporting pupils with high needs. [...]
- The main reason why local authorities have overspent their high-needs budgets is that more pupils are attending special schools. [...]
- The ways in which the Department and local authorities [were] responding to overspending on high-needs budgets are not making the system sustainable.<sup>22</sup>

The Department for Education recently ran a [call for evidence](#) on the practical aspects of the funding system for SEND and alternative provision. On 6 September 2019, it [announced](#) a cross-government review of support for children and young people with SEND, and their families.<sup>23</sup>

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<sup>19</sup> Education Committee, [A ten-year plan for school and college funding](#), Tenth Report of Session 2017–19, HC 969, 19 July 2019, p38

<sup>20</sup> Education Committee, [Special educational needs and disabilities](#), First Report of Session 2019-20, HC 20, 23 October 2019.

<sup>21</sup> National Audit Office, [Support for pupils with special educational needs and disabilities in England](#), 11 September 2019.

<sup>22</sup> As above, pp7-9.

<sup>23</sup> Department for Education news story, ['Major review into support for children with special educational needs'](#), 6 September 2019.

## 2. What's the position on the National Funding Formula (NFF)?

### 2.1 Background to the NFF

A new national funding formula has been used to calculate core school funding since 2018-19. There are separate funding formulas for high needs (mostly special educational needs funding – discussed above), services centrally provided by local authorities, and early years provision. Local authorities receive a block grant from the DfE, called the Dedicated Schools Grant, or DSG.

Although this block grant is the main funding source for schools, they receive money from other sources as well, including:

- Pupil Premium funding.
- Sixth form funding – already distributed according to a national formula.
- Capital funding for maintenance, expansions and rebuilds.
- Self-generated income.

### 2.2 When will the schools NFF be fully implemented?

#### A soft formula and the continuing role of local authorities

For 2018-19, 2019-20 and 2020-21, the schools NFF is operating as a soft formula. This means that local authorities retain a role in distributing funding and deciding how much individual schools receive in their delegated budgets.

During this period, the schools NFF is being used to generate **notional** allocations for individual schools which are then summed, adjusted, and passed to the local authority for onward distribution according to locally-determined formula arrangements. The DfE provides guidance and encourages LAs to reflect the design of the NFF in their local arrangements.

#### The move to a hard formula

The Government has said it maintains committed to the introduction of a hard schools NFF but has not confirmed when this will be. A hard formula would mean that funding is given directly to schools, largely cutting local authorities out of the distribution process for core school funding.

#### Transitional protections and arrangements

To date, the schools NFF has been phased in via the use of complex transitional arrangements. In 2018-19 and 2019-20, these included caps on gains, minimum notional per pupil amounts, and funding floors.

At Spending Round 2019, the Government announced that it would make some changes to the NFF arrangements and requirements on local authorities in 2020-21, which included:

- A new requirement for LAs to pass the minimum per pupil funding levels on to schools. It has recently [consulted](#) on how to implement this change, and in what circumstances the requirement should be waived. The consultation closed on 22 October 2019.
- Requiring local authorities to set a minimum funding guarantee of between +0.5% and +1.84% per pupil, in cash terms. The Government says the purpose of this is to “protect per pupil allocations for all schools in real terms”, and that “the minimum increase in 2020-21 allocations will be based on the individual school’s NFF allocation in 2019-20.”<sup>24</sup>
- An increase of 4% to the NFF’s core factors.
- Removing the cap on gains due under the NFF; to date, gains have been capped.

### 2.3 How is SEND funding calculated currently?

Alongside reforms to core school funding and the introduction of the schools NFF, the DfE is also implementing a new national funding formula for high needs – largely, this is funding for children and young people with special educational needs.

From 2018-19, local authorities have received high needs funding calculated according to a national formula. This includes, among other factors, a basic unit of per-pupil funding for pupils in specialist SEN provision, historic spend, and also proxy measures such as population, school attainment, and numbers of children in bad health. More detail on the high need formula, and transition arrangements, can be found in the DfE’s [high need funding operational guide for 2019-20](#).<sup>25</sup>

Further background information on the SEND system in England can be found in a linked House of Commons Library briefing paper:

- [Special educational needs: Support in England](#).

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<sup>24</sup> Written Statement to Parliament, [School funding update](#), HCWS1828, 9 September 2019.

<sup>25</sup> Education and Skills Funding Agency (ESFA), [High needs funding 2019 to 2020 Operational guide](#), September 2019.

## 3. Reports, analysis and parliamentary material

### 3.1 Reports and analysis

- Institute for Fiscal Studies, [2019 annual report on education spending in England](#), 19 September 2019.
- Education Policy Institute, '[Understanding school revenue expenditure | Part 1: Why do we need another study on school funding?](#)', 18 September 2019.
- Education Policy Institute, '[Understanding school revenue expenditure | Part 2: Which types of schools spend the most?](#)', 28 October 2019.
- School Cuts campaign, [Analysis of Government funding announcements](#), September 2019.
- National Audit Office, '[Support for pupils with special educational needs and disabilities in England](#)', 11 September 2019
- Association of Colleges, '[Implications of the 2019 Spending Round](#)', 5 September 2019.
- Education Committee, [A ten-year plan for school and college funding](#), Tenth Report of Session 2017–19, HC 969, 19 July 2019.
- Education Committee, [Special educational needs and disabilities](#), First Report of Session 2019-20, HC 20, 23 October 2019.

### 3.2 Parliamentary material

#### Statements and DfE press releases

- [Written Statement to Parliament, School funding update](#), HCWS1828, 9 September 2019.
- [Oral Statement to Parliament on Education Funding](#), 03 September 2019, Vol. 664, Col.65 onward.
- Department for Education news story, '[£30,000 starting salaries proposed for teachers](#)', 2 September 2019.
- Department for Education press release, '[Prime Minister boosts schools with £14 billion package](#)', 30 August 2019.
- Department for Education and others, press release, '[Chancellor announces £400 million investment for 16-19 year olds' education](#)', 31 August 2019.
- [Written Statement to Parliament \(on teacher pay\)](#), HCWS1766, 22 July 2019.

#### Debates

- [School funding: East Anglia](#), HC Deb 3 September 2019, Vol. 664, Col. 32WH onward.
- [Small and Village School Funding](#), HC Deb 17 July 2019, Vol. 663, Col. 423WH.



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