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Department

for Education



National College for

Teaching & Leadership

Guidance

Initial teacher training (ITT): provider closure and withdrawal of ITT accreditation

Updated 1 December 2021

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Summary

Who this publication is for

This guidance is intended to help accredited providers of initial teacher training (ITT) and their partnerships who:

- are voluntarily ceasing to offer ITT provision
- have had their accreditation withdrawn by the Secretary of State (SoS)
- have decided not to apply for ITT accreditation from the academic year 2024 to 2025
- have been unsuccessful in their application for ITT accreditation from the academic year 2024 to 2025

Closure arrangements

This document sets out closure arrangements including the:

- requirements Department for Education (DfE) expects the ITT provider to meet during the closure process
- process for ITT providers and DfE to follow when a provider ceases to offer ITT provision

Accreditation withdrawal

This document sets out the accreditation withdrawal process including:

- how DfE will consider its response to any evidence of non-compliance with the withdrawal criteria and the actions it will take
- the provision for review of a decision to withdraw accreditation
- the expiry or review date

This guidance will be reviewed before July 2024.

Voluntary closure

Accredited ITT providers intending to voluntarily cease to offer ITT provision, whether permanently or temporarily, must inform DfE.

Accredited providers will have to withdraw from delivering ITT leading to Qualified Teacher Status (QTS) if:

- they choose not to apply for accreditation from the academic year 2024 to 2025
- their application(s) for accreditation is unsuccessful

Requests for temporary closure:

- must be agreed by DfE
- would typically be for a maximum of 12 months (after which time DfE reserves the right to withdraw accreditation if the provider fails to resume its ITT provision)

Closing provider actions and responsibilities

This table summarises the responsibilities of the ITT provider and DfE, during the

closure of ITT provision.

Provider responsibilities	DfE responsibilities
Formally notify DfE by email of intention to close provision to	

Alternative arrangements

Providers should ensure that the alternative provider's arrangements for completing the programme are comparable to their own. This particularly applies to the location and appropriateness of any school placements. Undergraduate trainees should be able to complete their degree after the closure is confirmed either with the closing provider or with an alternative academic awarding body.

Any partner lead school should be informed of the decision to close as soon as possible after the decision to close has been made.

Lead schools may either change their ratifying ITT provider, or withdraw from delivery.

Quality Assurance

Any applicants holding offers on the Apply for teacher training service for the academic year after closure should be informed of the decision to close and provided with the option of a place at an alternative provider. Alternative arrangements must be approved by DfE.

Providers must continue to supply information on trainee data to DfE throughout the closure process and ensure that trainee information on the <u>Register trainee</u> teacher service is up to date by closure.

Before the final closure of provision, an alternative provider must ensure any deferred trainees are listed with their trainees on the <u>Register trainee teacher</u> service.

The provider must confirm to becomingateacher@digital.education.gov.uk the arrangements that have been agreed with partner schools and other providers before submitting any requests to make changes to their courses, including permission to recruit and allocations.

Notification of decision to cease to offer provision for ITT

The accounting officer must notify DfE of the provider's intention to cease to offer ITT provision, by emailing DfE's ITT market regulation team at itt.accreditation@education.gov.uk.

The notification should include:

- confirmation that the closure decision has been ratified in accordance with the provider's governance arrangements
- reasons for the decision
- an anticipated date when training programmes will cease
- details of the designated point of contact who will co-ordinate the closure process (closure manager)
- in the case of temporary closure, the anticipated dates that training programmes will cease and recommence

Receipt of closure notification

The ITT market regulation team at DfE will acknowledge receipt of the closure notification and assign a named point of contact to provide guidance through the process.

On receipt of this notification, the ITT market regulation team will arrange an initial risk assessment meeting to discuss the closure process and the next steps.

The ITT provider closure document

An ITT provider closure document template (ITTPCD) must be completed and returned within 28 days of the risk assessment meeting.

The purpose of the ITTPCD is to ensure:

- compliance with the <u>ITT criteria and supporting advice</u>
- the interests of trainees are protected
- any financial obligations are met

The ITTPCD requires the provision of information relating to current and deferred trainees, financial arrangements and closure plans.

All affected trainees should be detailed within the ITTPCD.

Support from DfE

In order to review plans for the closure of provision and provide support during the process, the ITT market regulation team will arrange for a DfE associate to visit the provider. This visit should be attended by the director of ITT (or equivalent) and the provider's accounting officer.

The assigned DfE associate will review the ITTPCD and offer advice.

Accreditation withdrawal

DfE will agree a final date of closure with the provider. Confirmation will be sent to the designated point of contact identified on the ITTPCD. This should be acknowledged within 2 working days from the date of receipt.

DfE will issue confirmation of the withdrawal of accreditation by email at least 2 weeks prior to the agreed closure date.

Finance and Funding

Once a closure date is agreed between the ITT provider and DfE, and following cessation of ITT provision, DfE will require an annual accounts return to be completed in the ITTPCD.

The annual accounts return is the same accounts return that ITT providers complete on an annual basis. It contains:

- audit grant return (AGR)
- income and expenditure
- balance sheet

All sections within this return need to be completed, signed by the accounting officer, and independently audited. An audited copy must be submitted to the ITT market regulation team at itt.accreditation@education.gov.uk by 31 December following the last academic year of operation.

If there is any unspent DfE funding recorded on the balance sheet that hasn't been committed as part of the closure and is due to be recovered from the closing provider, the ITT market regulation team will inform the ITT Funding Team who will action the recovery by raising an invoice for the required amount.

Any unpaid bursaries for deferred trainees will be recorded on the AGR as part of any unspent balance owing to DfE and will be passed onto the alternative provider once the trainee resumes their training.

Any deferred funding will be made available to the new provider (where applicable) upon receipt of confirmation that the continuing trainees are on the relevant course and remain eligible to receive the funding. This will be recovered by DfE if the trainee subsequently withdraws from the course.

Withdrawal of accreditation

This section sets out the circumstances that may lead to the withdrawal of accreditation, and the requirements of ITT providers during this process.

Accredited providers of initial teacher training (ITT) must comply with the ITT criteria and supporting advice. DfE may withdraw accreditation, where the provider:

- demonstrates impropriety or inadequate controls in its financial management, or does not comply with relevant financial reporting or governance requirements
- · fails to comply with DfE data and reporting requirements
- fails to adhere to any DfE guidance relating to ITT
- fails to regulate their volume of trainees in accordance with the current DfE allocation methodology
- QTS provision is repeatedly of 'requires improvement' or lower quality
- provision is non-compliant with one or more of the specified ITT criteria
- ceases to offer provision

Non-compliance

ITT provision will be deemed non-compliant where a provider is in breach of one or more of the above criteria.

Evidence from which non-compliance will be considered might include:

- Ofsted inspection reports
- · DfE visits and financial returns
- feedback from schools, trainees, newly qualified teachers (ECTs), or providers themselves

In all but the most serious cases of non-compliance, cases in which non-compliance is based on Ofsted inspection findings, and cases in which the provider has ceased to offer provision, the provider will be afforded reasonable opportunity to rectify the problem, preventing consequent consideration of withdrawal of accreditation.

Ofsted complaint outcome

Where a provider has submitted a complaint to Ofsted regarding a second judgement received of 'requires improvement' or lower, for any one phase of its QTS provision, withdrawal of accreditation will not commence until any complaint about the judgement has been heard and resolved by Ofsted.

Consecutive less than 'good' Ofsted judgements of early years teacher training (EYTT) will lead to the removal of allocations for the route.

Procedures for withdrawal

Where DfE intends to withdraw accreditation, the provider will be given notice of the proposed withdrawal of accreditation setting out DfE's concerns and afforded an opportunity to respond on the issue of compliance and whether there are any other reasons accreditation might continue before a decision is taken on withdrawal.

Within 5 working days of DfE's decision to withdraw accreditation, DfE will email the provider concerned to inform it of the decision. The provider should acknowledge receipt of the DfE email within 2 working days.

If the provider intends to request a review, it should follow the procedures set out below.

Where the provider does not request review, the request for review is refused, or the decision is upheld on review, they will be required to submit an ITTPCD to DfE to include arrangements for mitigating risks to current trainees within 10 working days of notification of withdrawal of accreditation.

The provider must continue to adhere to their responsibilities set out in the voluntary closure guidance above until their provision has closed.

Review of a decision to withdraw accreditation

Accredited providers whose application(s) for accreditation is unsuccessful, will have to withdraw from delivering ITT leading to QTS.

DfE will review a decision to withdraw accreditation on request.

The review will be by a DfE official not involved in the decision under review, and of at least equal grade as the official having taken the withdrawal decision.

The review will be on the papers unless it appears to the reviewer that there is a disputed issue of material fact that cannot fairly be resolved without oral representations.

Where the reviewing official is satisfied that the provider ought to have been afforded an opportunity to rectify non-compliance before a decision was taken, or ought to be afforded another opportunity, the provider will be afforded that opportunity.

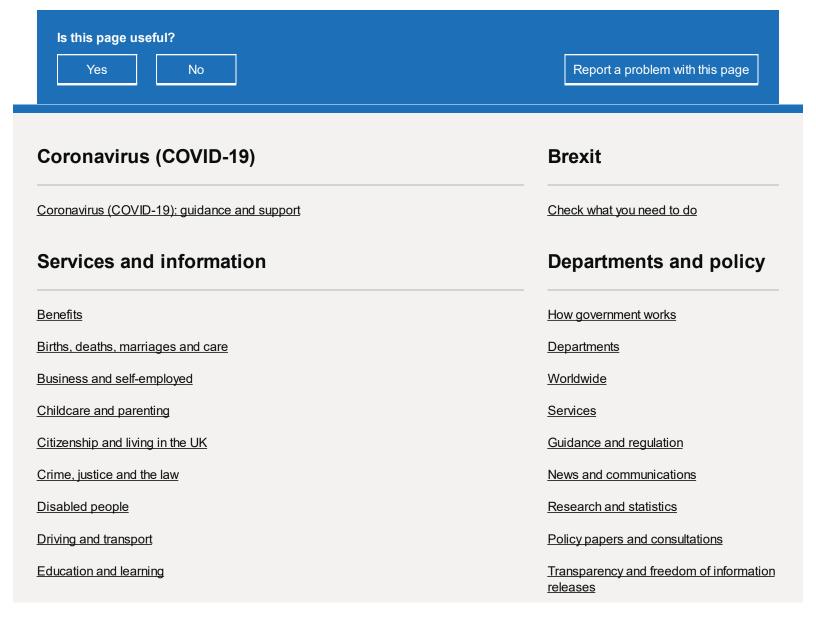
Where the reviewing official is satisfied either that the evidence relied on by DfE

does or did not disclose non-compliance or, where reasonable opportunity to restore compliance was afforded and compliance was restored prior to the decision to withdraw, the decision to withdraw accreditation will be withdrawn.

To request review, the provider should send an email containing the reasons for the request. The email should:

- have the heading 'Withdrawal of accreditation Request for review'
- be sent to DfE before the end of the 20th working day starting with the working day after the day of the DfE's email notifying withdrawal of accreditation
- be sent to DfE's ITT market regulation team at <u>ITT.accreditation@education.gov.uk</u>

Any queries about this process should be sent to <u>ITT.accreditation@education.gov.uk</u>.



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