

## Higher Education Students Early Statistics Survey 2011-12 (HEFCE 2011/27)

### Appendix 5

#### Guidance on the 2011-12 rates of funding worksheets

1. The annexes of the HESES11 publication (HEFCE 2011/27) describe the eight tables in the HESES11 workbook into which data can be entered. This document describes two additional worksheets in the workbook relating to the calculation of 2011-12 funding rates for mainstream and co-funded provision and the initial phase-out funding for 2012-13 (before the scaling factor has been applied).

Name of worksheet	Content
MST	Calculation of 2011-12 funding rates and initial 2012-13 phase-out funding for mainstream FTEs
COF	Calculation of 2011-12 funding rates and initial 2012-13 phase-out funding for co-funded employer engagement FTEs

2. The teaching funding consultation document (HEFCE 2011/20) described, in Annex A, how we would calculate the rates of funding for the phase-out of mainstream and co-funded employer engagement provision based on HESES11 data. The two worksheets also show a provisional calculation of initial allocations for the phase-out. These allocations are provisional because we are yet to determine the scaling factor which will apply: this will be calculated early in 2012. As described in the teaching funding consultation document, initial allocations will inform grant payments to be made between August 2012 and January 2013. Allocations will subsequently be revised to reflect other data sources as they are available.

3. Throughout this document we refer to the '2012-13 funding cells'. These are:

Price group	Mode	Level
A	Full-time	UG
A	Full-time	PGT
A	Part-time	UG
A	Part-time	PGT
B	Full-time	UG
B	Full-time	PGT
B	Part-time	UG
B	Part-time	PGT
C	Full-time	UG
C	Full-time	PGT
C	Sandwich year-out	UG
C	Sandwich year-out	PGT
C	Part-time	UG
C	Part-time	PGT
D	Full-time	UG
D	Full-time	PGT

D	Part-time	UG
D	Part-time	PGT

### **'MST' worksheet**

4. '2011-12 HEFCE fundable FTEs' are taken from the STD worksheet and are the totals by 2012-13 funding cell of the '2011-12 FTEs from HESES'.
5. '2011-12 Standard resource' is the total by 2012-13 funding cell of the '2011-12 Standard resource' from the STD worksheet.
6. '2011-12 Assumed resource' is the '2011-12 Standard resource' multiplied by [100% + 'Percentage difference after grant adjustments' (from the HBK worksheet)]
7. '2011-12 Rate of resource' is the '2011-12 Assumed resource' divided by the '2011-12 HEFCE fundable FTEs'.
8. '2011-12 Assumed fee rates' are taken from the 'Derived average fee per estimated FTE' from the F11 worksheet.
9. 'Initial rate of funding' is the maximum of '2011-12 Rate of resource' minus the '2011-12 Assumed fee rate' and zero.
10. The '2011-12 Erasmus fee compensation adjustment' is calculated separately for FT and SWOUT. The total adjustment should equal the '2011-12 Erasmus fee compensation' from Table C of the final issue of the 2011-12 grant tables. The SWOUT adjustment is in the price group C, SWOUT row. The FT adjustment is pro-rata to the regulated £0 students recorded in the FEE worksheet, Columns 1 and 2, HEFCE-fundable and non-fundable. This calculation is shown in Table 2.
11. 'Rate of funding adjusted for Erasmus' is 'Initial rate of funding' multiplied by '2011-12 HEFCE fundable FTEs' minus '2011-12 Erasmus fee compensation adjustment', all divided by '2011-12 HEFCE fundable FTEs'.
12. '2012-13 Old-regime FTEs' are taken from the FOR worksheet. Sandwich year-out FTEs are the sandwich year-out years of instance divided by two. Media studies FTEs have been split across price groups B, C and/or D according to the institution's weightings.
13. 'Initial funding (before scaling)' is the '2012-13 Old-regime FTEs' multiplied by the 'Rate of funding adjusted for Erasmus'.
14. 'Initial phase-out funding 2012-13' is the 'Initial funding (before scaling)' multiplied by the Scaling factor. Please note that the scaling factor within the workbook is highly provisional. We will confirm the scaling factor to be used to determine the initial allocations for 2012-13 early in 2012.

## **'COF' worksheet**

15. There are two tables in the COF worksheet. Table 1 calculates funding rates and provisional initial phase-out funding allocations. Table 2 calculates 2011-12 standard resource associated with co-funded employer engagement FTEs.

16. '2011-12 Co-funded HESES FTEs' are the totals by funding cell of the FTEs calculated in Table 2.

17. '2011-12 Standard resource' is the total by funding cell of the standard resource calculated in Table 2.

18. '2011-12 Standard resource rate' is the '2011-12 Standard resource' divided by the '2011-12 Co-funded HESES FTEs'.

19. '2011-12 Fee rate' is:

<b>Level</b>	<b>Fee rate</b>
FT and PT UG	£1,345
SWOUT UG	£1,330
PGT	£3,670

20. 'Initial rate of funding' is the maximum of '2011-12 Standard resource rate' minus the '2011-12 Fee rate' and zero.

21. 'Notional co-funding allocation' is the 'Initial rate of funding' multiplied by the '2011-12 Co-funded HESES FTEs'.

22. '2011-12 Actual co-funding allocation' is the funding for co-funded employer engagement funded places taken from Table A of the final issue of the 2011-12 grant tables plus the three grant adjustments relating to co-funded provision taken from the HBK worksheet of the HESES11 workbook, split across funding cells in proportion to the 'Notional co-funding allocation'.

23. 'Rate of funding' is the '2011-12 Actual co-funding allocation' divided by the '2011-12 Co-funded HESES FTEs'.

24. '2012-13 Old-regime FTEs' are taken from the FOR worksheet. Sandwich year-out FTEs are the sandwich year-out years of instance divided by two. Media studies FTEs have been split across price groups B, C and/or D according to the institution's weightings.

25. 'Initial funding (before scaling)' is the '2012-13 Old-regime FTEs' multiplied by the 'Rate of funding'.
26. 'Initial phase-out funding 2012-13' is the 'Initial funding (before scaling)' multiplied by the Scaling factor. Please note that the scaling factor within the workbook is highly provisional. We will confirm the scaling factor to be used to determine the initial allocations for 2012-13 early in 2012.
27. Table 2 calculates standard resource. It is disaggregated to a greater level of detail than just the funding cells to limit rounding differences in the data.
28. '2011-12 Co-funded HESES FTEs' are taken from the following worksheets: Column 5 (FTS worksheet), Column 5 divided by 2 (SWOUT worksheet) and Column 5a (PT worksheet).
29. '2011-12 FTEs weighted by price group' are the '2011-12 Co-funded HESES FTEs' weighted by 4 (price group A), 1.7 (price group B), 1.3 (price group C) and 1 (price group D).
30. 'Partial completion weighting' is the institution's partial completion weighting, identified in Table G of the final issue of the 2011-12 grant tables, multiplied by the FTEs weighted by price group.
31. 'London weighting' is the institution's London weighting (generally 8% for institutions in inner London and 5% for institutions in outer London) as identified in Table G of the final issue of the 2011-12 grant tables, multiplied by the FTEs weighted by price group.
32. 'Total weighted FTEs' is the sum of the previous three columns.
33. '2011-12 Standard resource' is the 'Total weighted FTEs' multiplied by the 2011-12 base price of £3,670.