

**Prohibition On Participation In Management- The Direction Process**

Department Identifies An Individual Where A Direction Appears To Be Justified

Department Decides If There Is A Strong Enough Prima Facie Case For Barring Or Restrictive Decision

Enough Evidence

No

Close Case/ Monitor

Yes

Secretary of State (SoS) Writes To Individual Setting Out They Are Minded To Make A Direction And Asking For Written Representation

Representation Considered

Interview Appropriate?

Yes

No

Conduct Interview

Enough Evidence?

No

Yes

Close Case/ Monitor (Inform Individual)

Submission To SoS Setting Out Case (Including Representation)

Yes

No

SoS Issues Direction

SoS Makes Direction?

Close Case/ Monitor (Inform Individual)

Individual Seeks Revocation Or Variation

SoS Considers Evidence

SoS Makes Decision

SoS Denies Revocation

SoS Grants Revocation Or Variation

Individual Appeals To First-Tier Tribunal

Department Defends Case?

Yes

No