



Department  
for Education

# Early Years Benchmarking Tables

Technical Note

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## Introduction

The purpose of this technical note is to give background information on the methodology which underpins the early years benchmarking tool. It contains information on the datasets used and any calculations done by the Department. It is divided into sections as follows:

- Funding
- Quality
- Take up of funded early education
- Staff Qualifications
- Child Development (EYFS Profile results)
- Contextual data

For each of the spreadsheets, users are able to compare authorities across the Children's Services Statistical Neighbours or with up to ten other authorities. Further information on these statistical neighbours is available at the following link:

<http://webarchive.nationalarchives.gov.uk/20110809101133/http://education.gov.uk/rsgateway/db/sta/t000712/index.shtml>

# Early Years Funding

## Introduction

The funding benchmarking table includes the projected spend on early years providers to deliver early learning places for two, three and four-year-olds. The funding spreadsheet includes a breakdown into provider types and includes expenditure lines from the Section 251 Budget LA Table.

The layout of the tables mirror those published in the schools benchmarking tables, showing the minimum, maximum, median and mean for each column. Graphs and pie charts are available to allow ready interpretation of the statistics.

The final table uses Section 251 budget statement data loaded on the COLLECT system as at 4th September 2013. All financial data is based on this return. This data has been summarised in a Statistical Release and in benchmarking tables:

<https://www.gov.uk/government/publications/planned-expenditure-on-schools-education-children-and-young-peoples-services-by-local-authorities-financial-year-2013-to-2014>

<http://www.education.gov.uk/a00227227/la-benchmarking-tables-2013-14>

The full underlying data is available here:

<http://www.education.gov.uk/childrenandyoungpeople/strategy/financeandfunding/section251/b00227229/s251-workbooks-budget-2013-14>

## The Funding Benchmarking Tables – calculation and underlying data

The spreadsheets are split into two sections: key budget statistics and benchmarking; and include separate worksheets for three and four year olds, two year olds and central spend information.

### Summary for the key budget statistics

The key budget statistics gives the total number of pupils and the total projected spend on each of the provider types: PVI (Private, Voluntary & Independent), Nursery Schools and Primary Nursery Places.

The total number of pupils is calculated from the total number of hours reported in the budget return, on the basis of taking up 15 hours per week, 38 weeks per year. Note that this is 0.6 of the usual full time equivalent for pupils. Also note that this pupil count in the funding benchmarking table will not match the take up figure in the main benchmarking

tables as the take up data is based on census data. The pupil counts are used as the divisor throughout the benchmarking part of the table.

The total delegated budget for three and four year olds is the sum of base rates, supplements, other formula and additional funded free hours as reported in the early years proforma for maintained nursery schools and primary nursery places. For PVIs this excludes any additional government funded hours which is accounted within the central spend data.

The total delegated budget for two year olds includes the sum of two year old base rates and supplements.

The key budget statistics in the central spend worksheet includes a summary of the total delegated budget for three and four year olds and for two year olds broken down by provider types.

This section also includes graphs to illustrate the total delegated budget and full time equivalent number of pupils per provider types for two, three and four year olds under the respective worksheets.

## **Summary for the benchmarking**

The England mean and median are given for each of the columns as well as the figures for the selected local authorities. The mean, median, maximum and minimum of the selected local authorities is also given, where possible.

The benchmarking table shows the average cost per pupil and per hour by early years provider types.

### **Per Pupil**

The average cost per pupil is given by provider types by taking the delegated budget and dividing by the total number of pupils, as calculated for the key budget statistics.

### **Per Hour**

The average cost per hour is given by provider types by taking the delegated budget per pupil and dividing by 570. It is important to note that the hourly rate may not be the actual rate a provider receives. The data presented here is an average for each provider type across individual local authorities.

### **Central spend**

The central spend budget data is the sum of:

- Early years contingency;
- Early years centrally retained spending; and

- Additional funded hours for PVI (funded hours beyond the statutory 15 hours a week).

This section also shows the percentage of centrally retained budget against the early years delegated budget. This is calculated by the sum of central spend and total delegated budgets for two, three and four year olds divided by the central spend.

This section also includes the government funded total revenue allocation for early learning for two year olds.

## Early Years Proforma

The Department is publishing, for the first time, an early years proforma for each local authority. This has been produced by the Department based on the same section 251 returns as the benchmarking tables, ie projected spend in 2013-14.

The proforma provides more detailed information on how each local authority allocates early education funding. The proforma is intended to complement the benchmarking tables. The early years proforma contains, for each local authority, details of:

- The early years single funding formula (EYSFF) for two, three and four year olds, setting out the base rates, supplements and lump sums paid;
- Details on any additional hours funded by the authority;
- Information on how early years central spend is utilised; and
- The size of early years contingency funding.

Providing this greater level of detail, in a comparable format across every local authority, will enable a more detailed comparison by authorities, providers and others, on the use of early education funding locally.

## Allocated funding for two year olds

The Department provides local authorities with an average funding rate of £5.09 per hour for statutory two year old entitlement places. This is adjusted for local area cost, within a range of £4.85 to £7.08 per hour. In addition trajectory building and capital funding has been provided to support roll-out of the new two year old programme.

Further information about two year old allocations for 2013-14 is available here:

<http://webarchive.nationalarchives.gov.uk/20130903192841/http://www.education.gov.uk/childrenandyoungpeople/earlylearningandchildcare>

Information about the allocation for 2014-15 is available here:

<https://www.gov.uk/government/publications/la-allocations-for-early-learning-for-2-year-olds-2014-to-2015>

<https://www.gov.uk/government/publications/funding-for-learning-for-2-year-olds-letter-from-elizabeth-truss>

# Quality

## Childcare and Early Years Settings

Figures for the percentage of childcare and Early Years settings rated good or outstanding by Ofsted are taken from *Official statistics: Early Years and childcare registered providers inspections and outcomes*.

The latest publication which reports on Early Years and childcare inspections that occurred between 1 September 2008 and 31 October 2013 is available here:

<http://www.ofsted.gov.uk/resources/official-statistics-early-years-and-childcare-registered-providers-inspections-and-outcomes>

Full details of the background and methodology used in this release are available on the Ofsted website. The main points are summarised below.

### Methodology

The data in this release are from inspections carried out between 1 September 2008 and 31 October 2013.

This report includes data from inspections carried out since September 2012 under the new inspection arrangements for Early Years providers. A key change is the reduction in the number of judgements that are reported. From September there are now four, including an overall effectiveness judgement. This judgement is comparable with the overall effectiveness judgement made in the previous inspection cycle.

Statistics relating to inspections carried out between 1 September 2012 and 31 October 2013 are provisional and include inspections in the period where the inspection report was published by 30 November 2013.

Statistics relating to the most recent inspection outcome of providers include inspections up to the end of the period (31 October 2013), where the inspection report was also published at that date. This means that where the most recent inspection outcome of a provider took place during the period, but the report was not published at the end of the period, it will be excluded from the analysis. Where there is one, the outcome of the next most recent inspection will be included in the analysis instead.

Early years and childcare providers are allocated to local authority areas according to their postcode, using the National Statistics Postcode Directory. For a small number of providers, local authority and region information was not available at the time of analysis. These providers are categorised as 'Local authority not recorded' and 'Region not recorded'.

A small proportion of early years registered providers are inspected as part of a school inspection. The outcomes from these providers are not included in this release, but will be covered within Ofsted's official statistics on maintained schools inspection and



outcomes and independent schools inspections and outcomes.

Revisions are published in line with Ofsted's revisions policy for official statistics. For more information about the policy please visit the Ofsted website:

[www.ofsted.gov.uk/resources/statistical-notice-ofsted-revisions-policy-for-official-statistics](http://www.ofsted.gov.uk/resources/statistical-notice-ofsted-revisions-policy-for-official-statistics)

A new inspection framework for providers on the Early Years Register and on the Childcare Register commenced in September 2012, and replaced the framework published in September 2008. The new framework has since been revised for inspections from 4 November 2013 onwards. For more information about the new framework please go to the Ofsted website:

<http://www.ofsted.gov.uk/resources/framework-for-regulation-of-provision-early-years-register>

## Take up of funded early education

Figures for the number and percentage of three and four-year-olds benefiting from some funded early education are based on the SFR series *DfE: Provision for Children Under Five Years of Age in England* – January 2011 to January 2013.

The January 2013 publication is available here:

<https://www.gov.uk/government/publications/provision-for-children-under-5-years-of-age-in-england-january-2013>

Full details of the background and methodology used in the statistical first release are published as a separate document on the publication page. The main points are summarised below.

### Background and Methodology

The number of three and four-year-olds benefiting from some funded early education is a count of children in receipt of some funded early education, but where they are receiving funded provision at more than one provider, they have only been counted once; it is a unique count of children. The percentage of three and four-year-olds benefitting from some funded early education is calculated using mid-year population estimates from Office for National Statistics (ONS) (see population estimates section below for more details).

All four-year-olds have been entitled to a funded early education place since 1998 and from April 2004 this entitlement was extended to all three year olds. Children are eligible for a funded part-time place from the 1 September, 1 January or 1 April following their third birthday, for up to two years before they reach compulsory school age. Funded places can be accessed in a variety of settings in the maintained and private, voluntary and independent sectors and Local Authorities (LAs) make funding available to all providers to enable them to provide funded places.

### Data quality and uses of the data

A separate document has been published which details issues relating to the quality of the data from the Early Years return along with details of users and the known uses made of the data. This can be found at:

[https://www.gov.uk/government/uploads/system/uploads/attachment\\_data/file/209047/Quality\\_and\\_Uses\\_SFR23-2013.pdf](https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/209047/Quality_and_Uses_SFR23-2013.pdf)

### Population Estimates

The population estimates are derived from mid-year estimates and projections provided by the ONS. They are subject to a margin of error and should be considered to be approximations.

Population estimates at lower geographic levels, such as local authority, are subject to a greater degree of error than national population estimates. Also population estimates for individual age groups are more difficult to estimate; in this publication we only include

children aged three or four. In some cases, local authority take-up rates can exceed 100 per cent. Therefore, take-up rates at local authority level should be treated with more caution than national take-up rates.

However, take-up rates have been presented in the publication at local authority level as there are valid reasons for why the take-up rate can exceed 100 per cent (see section below). Also, the sources used in the calculation of take-up rates are consistent over time; therefore rates have been published as they allow a user to see any valid change in take-up rates in a local authority over time.

The reasons why the take-up rate can exceed 100 per cent are:

i). While national level estimates have relatively small levels of uncertainty, issues using ONS estimates exist. Firstly, ONS estimates only include long-term migrants, i.e. a person who changes their permanent residence for more than a year, while the Early Years Census includes all children, even if they are defined as being short-term migrants. The implication of this is that take up percentages are likely to be overestimated and could well exceed 100%.

ii). Secondly sub-national ONS estimates are subject to greater levels of uncertainty. One of the reasons for this is due to the difficulties of estimating internal migration where estimates use changes in health service administrative data to approximate measures of movements of individuals between areas within the UK. International migration estimates, which affects both national and sub-national estimates, uses a combination of sources - predominantly the International Passenger Survey (IPS) which is subject to sampling and other types of error. These issues could under or overestimate the underlying population and therefore take up percentages.

iii). Thirdly the national census has only been carried out every 10 years. Following the census previous years' estimates are revised – when the 2011 census results became available, estimates from 2002 to 2010 were revised to ensure a coherent time series between the Census points. Since the greatest uncertainty around the estimates comes from the migration components, the further from the Census the estimates are, the greater the uncertainty. Once more this could lead to over or underestimation of take-up rates.

## **Sources of data**

The source for this publication is the Early Years Census and School Census. All schools and all Private, Voluntary, and Independent (PVI) providers receiving government funding are required to make (through their local authority) a child-level return. These collections are on a statutory basis through legislation which results in complete and accurate information being returned.

Schools making School Census return are required to submit child-level information for all children at the school. PVI providers are required to provide child-level information only for those children in receipt of some funded early education provision. Only those providers with children receiving some funded early education are required to make an Early Years Census return. For this reason, the Early Years Census and therefore the Provision for Children publication does not provide a count of all children aged three or four in Private, Voluntary, and Independent providers. We are not aware of a source which would provide this information.

However, as the data source is a census and all providers return details of children in receipt of the funded early education, this publication provides a full and accurate picture of the numbers of children receiving funded early education.

## **Confidentiality**

In order to ensure confidentiality of children, the following suppression conventions have been used in this statistical release:

- Any numbers less than three have been suppressed and have been replaced by an 'x'. To protect the suppressed number, secondary suppression may be required in some cases.
- Percentages are displayed to the nearest whole number.
- Where any number is shown as zero (0), the original figure submitted was zero.
- A '..' represents data not available.
- A '-' represents less than 0.5 per cent.

Secondary suppression is the process of suppressing additional small numbers across other columns or tables to minimise the risk of identification by combining different pieces of information.

## Staff Qualifications

Figures for the percentage of private, voluntary and independent providers with staff with Qualified Teacher Status/Early Years Professional Status who work directly with three and four year olds and also the percentage of three and four year olds who are benefitting from funded early education in these settings are based on the SFR series *DfE: Provision for Children Under Five Years of Age in England* – January 2011 to January 2013.

The January 2013 publication is available here:

<https://www.gov.uk/government/publications/provision-for-children-under-5-years-of-age-in-england-january-2013>

This is the same source as the figures in the **Take up of funded early education** section above. See this section for more details on the background and methodology.

# Child Development – Early Years Foundation Stage Profile Outcomes

Figures are based on the following two Statistical First Release series:

*DfE: Early Years Foundation Stage Profile Results in England – 2010/11 to 2012/13*

*DfE: Early Years Foundation Stage Profile Attainment by Pupil Characteristics in England – 2010/11 to 2012/13*

The latest publications are available here:

<https://www.gov.uk/government/publications/early-years-foundation-stage-profile-results-2012-to-2013>

<https://www.gov.uk/government/publications/eyfsp-attainment-by-pupil-characteristics-2013>

Full details of the background and methodology used in the statistical first releases are available following the above links. The main points are summarised below.

## Background

The EYFS Profile is a teacher assessment of children's development at the end of the EYFS (the end of the academic year in which the child turns five). It should support a smooth transition to Key Stage 1 (KS1) by informing the professional dialogue between EYFS and KS1 teachers. This information should help Year 1 teachers plan an effective, responsive and appropriate curriculum that will meet the needs of all children. The Profile is also designed to inform parents or carers about their child's development against the early learning goals (ELGs).

Following an independent review of the EYFS by Dame Clare Tickell, a new Profile was published in March 2012. The new Profile and revised EYFS have a stronger emphasis on the three prime areas which are most essential for children's healthy development. These three areas are: communication and language; physical; and personal, social and emotional development. The new Profile made changes to the way in which children are assessed at the end of the EYFS. The new Profile requires practitioners to make a best-fit assessment of whether children are emerging, expected or exceeding against each of the new 17 ELGs. The new Profile was introduced in September 2012 and the first assessments using the new Profile have taken place this summer.

Further information on the EYFS Profile assessment and reporting arrangements, alongside other EYFS resources, can be found [here](#).

Details of how the new profile differs from the old profile can be found [here](#).

## The areas of learning

The EYFS framework contains seven areas of learning covering children's physical, intellectual, emotional and social development;

1. Communication and language (3 Early Learning Goals)
2. Physical development (2 Early Learning Goals)
3. Personal, social and emotional development (3 Early Learning Goals)
4. Literacy (2 Early Learning Goals)
5. Mathematics (2 Early Learning Goals)
6. Understanding the world (3 Early Learning Goals)
7. Expressive arts and design (2 Early Learning Goals)

## **The assessment scales**

The EYFS Profile captures the Areas of Learning as a set of Early Learning Goals. Children are assessed against the seventeen Early Learning Goals.

Assessment rating

**1** – Indicates a child who is at the emerging level at the end of the EYFS

**2** - Indicates a child who is at the expected level at the end of the EYFS.

**3** –indicates a child who is at the exceeding level at the end of the EYFS

**A** – Indicates a child who has not been assessed due to long periods of absence, for instance a prolonged illness, and arrives too late in the summer term for teacher assessment to be carried out, or for an exemption.

For more detailed information on the assessment scales see the [EYFSP handbook](#).

## **TECHNICAL NOTES**

### **Data quality and interpretation of results (summary scales)**

The data for 2013 is of a good quality. Returns were received from 99.9% of expected schools and early years settings. The total number of children in the cohort reported on in this SFR is 643,600. This is made up of 314,200 girls and 329,300 boys (figures rounded to the nearest hundred).

The new Profile's 'emerging', 'expected' and 'exceeding' scale are very different to the previous Profile's 117 point scale and the number of early learning goals has been reduced. This will lead to a break in the time series as the results will not be comparable between 2012 and 2013.

This publication includes results for those children who were in receipt of a government funded early education place at the end of the EYFS **only**. Children who are not in receipt of a funded place at the end of the EYFS are not in the scope of the Department's EYFS Profile data collection and therefore not included.

This publication includes results for funded children who were assessed at the end of the academic year. Any children who have been held back will be included in the results cohort for the year in which they are assessed and so this publication may include results from some children outside of the usual EYFS age range. Ordinarily the EYFS Profile

must be completed in the final term of the academic year in which the child reaches the age of five, the only exceptions being:

- Where an exemption from all or part of the EYFS assessment arrangements has been granted for the setting by the Secretary of State for Education
- Where a child is continuing in EYFS provision beyond the year in which they turn five.

Children with any N or missing scores in their EYFS Profile **are excluded** from all tables.

### **Coverage information**

Tables include results for those children who were in receipt of a government funded early education place at the end of the EYFS **only**. Children who are not in receipt of a funded place at the end of the EYFS are not in the scope of the Department's EYFS Profile data collection and therefore not included in the results.

The results are for funded children who were assessed at the end of the 2012/2013 academic year. Children who have been held back will be included in the results cohort for the year in which they are assessed and so these tables may include results from some children outside of the usual EYFS age range.

Ordinarily the EYFS Profile must be completed in the final term of the academic year in which the child reaches the age of five, the only exceptions being:

- Where an exemption from all or part of the EYFS assessment arrangements has been granted for the setting by the Secretary of State for Education; or
- Where a child is continuing in EYFS provision beyond the year in which they turn five.

Children with any A (exemption) or missing scores in any area of their EYFS Profile **are excluded** from all summary scale tables.

### **Good level of development definition**

Children will be defined as having reached a Good Level of Development at the end of the EYFS if they achieve at least the expected level in: the early learning goals in the prime areas of learning (personal, social and emotional development; physical development; and communication and language) and; the early learning goals in the specific areas of mathematics and literacy.

### **Achievement gap calculation**

The calculation of the achievement gap is carried out as follows:

Percentage inequality gap in achievement =  
(Median score – Mean score for lowest 20 per cent of performers) / Median score \*100

For example, in England, the median score is 34 and the mean score for the lowest 20 per cent of performers is 21.6. The absolute gap in achievement is 12.4 points which expressed as a percentage of the median score (34 points) is 36.6 per cent.

The lowest 20% of performers are selected by sorting all eligible child records in ascending order by total EYFS Profile score and then selecting children up to the 20th



percentile i.e. the first fifth of children. The number of children in the first fifth should be calculated by taking the total number of children, dividing by five and then rounding down if the number returned is not a whole number. For example: (a) if a local authority had 500 children then the lowest 20% would be the first 100 children listed (b) if a local authority had 259 children, then the lowest 20% would be the first 51 children listed. This approach should be taken even if the child ranked next in the list after the 20% cut off point has the same score as a child included in the lowest 20%. For example, if it was calculated that the first 100 children in an LA would be included in the lowest 20% but both the 100th and the 101st child in the list had a total EYFS Profile score of 45, this **would not change the cut off point**. The 101st child would not be included in the lowest 20%.

### **Free School Meals gap calculation**

The Free School Meals (FSM) gap is the percentage gap between those eligible for FSM and the rest in achieving a Good Level of Development.

There are pupils whose FSM eligibility could not be determined, these have been recorded as unclassified but have been grouped with pupils known not to be eligible for FSM for the purposes of this calculation.

### **Data collection arrangements**

Schools and early years providers submit data to their LA. LAs then load the data into the DfE bespoke data collection system; COLLECT (Collections On-Line for Learning, Education, Children and Teachers).

The system is stored securely on DfE servers and is accessed by LAs via the internet, with each LA given secure log in details.

COLLECT has built-in validation rules which flag up data which is invalid or the quality is questionable. This allows LAs to identify errors and clean the data before they submit it to DfE. Validation rules can either be errors (data is invalid) or queries (data quality is questionable but could be accurate in certain circumstances).

LAs are encouraged to clean all errors and double-check data where queries are flagged. LAs can add notes to their return if there is a genuine reason for “unusual” data.

Guidance notes and specifications (including validation rules) for this collection can be accessed [here](#).

## Contextual Data

### Population

Figures for the population of local authorities are taken from ONS *Annual Mid-year Population Estimates, 2011 and 2012* available here:

<http://www.ons.gov.uk/ons/rel/pop-estimate/population-estimates-for-uk--england-and-wales--scotland-and-northern-ireland/mid-2011-and-mid-2012/stb---mid-2011---mid-2012-uk-population-estimates.html>

The mid-year population estimates refer to the usually resident population on 30 June of the reference year and are published annually.

The estimates are based on the 2011 Census, updated each year using the cohort component method with a combination of registration, survey and administrative data sources. They are used by central and local government and the health sector for planning and monitoring service delivery, resource allocation and managing the economy.

### Deprivation

The English Indices of Deprivation 2010 figures measure relative levels of deprivation in small areas.

The figures are taken from *The English Indices of Deprivation 2010 Statistical Release* available here:

<https://www.gov.uk/government/publications/english-indices-of-deprivation-2010>

The figures used in the benchmarking tables are the rank of average score.

The rank gives the relative position of local authorities with 1 being the most deprived and 149 giving the least deprived.

Full details of the background and methodology are available following the above link. The main points are summarised below.

### Methodology

The English Indices of Deprivation 2010 are measures of multiple deprivation at the small area level. The model of multiple deprivation which underpins the Indices of Deprivation 2010 is based on the idea of distinct domains of deprivation which can be recognised and measured separately. These domains are experienced by individuals living in an area.

People may be counted in one or more of the domains, depending on the number of types of deprivation that they experience.

Each domain represents a specific form of deprivation experienced by people and each can be measured individually using a number of indicators.

Seven distinct domains have been identified in the English Indices of Deprivation:

1. Income Deprivation
2. Employment Deprivation
3. Health Deprivation and Disability
4. Education Skills and Training Deprivation
5. Barriers to Housing and Services
6. Living Environment Deprivation
7. Crime

Individual domains can be used in isolation as measures of each specific form of deprivation. They can also be combined, using appropriate weights, into a single overall Index of Multiple Deprivation which can be used to rank every small area in England according to the deprivation experienced by the people living there. Along with two supplementary indices, Income Deprivation Affecting Children Index and Income Deprivation Affecting Older People Index, they form the English Indices of Deprivation. These statistics allow the most, and least, deprived areas of the country to be identified as well as providing information about the issues faced by people living in different parts of the country.

### **Combining the domains**

Each domain was constructed separately, from the component indicators, and each area was assigned a domain score representing the combination of these indicators and then ranked according to this domain score. The domain ranks were then transformed to the exponential distribution and combined into the overall Index of Multiple Deprivation.

### Domain weights for the IMD 2010 Domain Weight:

Income Deprivation Domain	22.5%
Employment Deprivation Domain	22.5%
Health Deprivation and Disability Domain	13.5%
Education, Skills and Training Deprivation Domain	13.5%
Barriers to Housing and Services Domain	9.3%
Crime Domain	9.3%
Living Environment Deprivation Domain	9.3%

## Funded Early Education

Funded early education in private, voluntary and independent (PVI) and maintained providers.

Figures are based on the SFR DfE: Provision for Children Under Five Years of Age in England available here:

<https://www.gov.uk/government/publications/provision-for-children-under-5-years-of-age-in-england-january-2013>

This is the same source as the figures in the **Take up of funded early education** section above. See this section for more details on the background and methodology.



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