

Higher Education Students Early Statistics Survey 2014-15 (HEFCE 2014/23)

Appendix 3

Guidance on the student number control grant adjustment table

1. The annexes of the HESES14 publication (HEFCE 2014/23) describe the six tables in the HESES14 workbook into which data can be entered. This document describes an additional worksheet in the workbook relating to the estimated student number control grant adjustment calculations.

Name of worksheet	Content
HBK	Estimated student number control grant adjustments

2. Please note that this worksheet provides only an estimate of the grant adjustments. It is provided here to help you identify errors in your data, not to present our definitive statement on grant adjustments.

3. The worksheet calculates adjustments relating to the student number control at a rate for each student above the top of the flexibility range of:

- £5,000 for institutions that charge average fees after fee waivers of up to £6,000 in 2014-15.
- £1,000 less than the 2014-15 average fee charged by the institution after fee waivers (according to an Access Agreement with the Office for Fair Access (OFFA)) for institutions that charge more than £6,000.

It also calculates grant adjustments for excess students expected to continue in 2015-16 and 2016-17.

4. Our calculations will be amended if the Department for Business, Innovation and Skills (BIS) specifies a different rate (please see paragraphs 38 to 39 of the funding agreement). The rate of funding which applies to institutions who have over-recruited in 2012-13 and 2013-14 are expected to follow the same principles as those applying in 2014-15 as described in paragraph 3.

5. If, on completion of the HESES survey, the grant adjustment worksheet indicates that there is an adjustment to be applied you should check that this is not as a result of data error. If the data are correct, you may wish to discuss the position with your HEFCE institutional team.

6. A provisional grant adjustment report will be available on the HEFCE extranet once we have received validated data submissions from all institutions.

7. Any reference below to Table B means Table B of the last issue of the 2014-15 initial grant tables which form part of the 2014-15 funding agreement. Table B shows the funding agreement requirements relating to student numbers and grant.

8. The first box on the 'HBK' worksheet gives the estimated grant adjustment summary. This is followed by a breakdown of the calculations.

Estimated grant adjustment summary

9. 'Total grant adjustment' is the sum of:

- *2014-15 Student number control grant adjustment*
- *2014-15 Reduction for 2013-14 over-recruitment*
- *2014-15 Reduction for 2012-13 over-recruitment*
- *2015-16 Reduction for 2014-15 over-recruitment*
- *2015-16 Reduction for 2013-14 over-recruitment*
- *2016-17 Reduction for 2014-15 over-recruitment.*

Student number control for 2014-15

10. '2014-15 Student number control allocation' is taken from Table B.

11. 'Top of the flexibility range' is taken from Table B.

12. 'Maximum recruitment to avoid further grant adjustments' is also taken from Table B.

13. 'Recruited numbers from Table 6' is the sum of:

- The total number of HEFCE-fundable non-'First registrable medical and dental' undergraduate students not in the exempt population and
- HEFCE-fundable students on a Postgraduate Initial Teacher Training (PG ITT) course

from Table 6 of HESES14.

14. 'Places not filled' is either the maximum of zero or:

- *Maximum recruitment to avoid further grant adjustments* (where this is applicable) minus
- *Recruited numbers from Table 6*
or the maximum of zero or
- *2014-15 Student number control allocation* minus
- *Recruited numbers from Table 6.*

15. 'Students in excess of the top of the flexibility range' is the maximum of zero or:

- *Recruited numbers from Table 6* minus
- *Top of the flexibility range.*

16. 'Rate of grant adjustment for 2014-15' is as stated in paragraph 3.

17. '2014-15 Student number control grant adjustment' is

- *Students in excess of the top of the flexibility range multiplied by*
- *Rate of grant adjustment for 2014-15*

expressed as a negative number.

18. 'Offset against the 2014-15 student number control allocation required to avoid further reduction for 2013-14 over-recruitment' is taken from Table B.

19. 'Actual offset against the 2014-15 student number control allocation required to avoid further reduction for 2013-14 over-recruitment' is the higher of zero or:

- the lower of:
 - *2014-15 Student number control allocation minus*
 - *Recruited numbers from Table 6*

or

- i. *Offset against the 2014-15 student number control allocation required to avoid further reduction for 2013-14 over-recruitment.*

20. 'Rate of grant adjustment for 2013-14' is as stated in paragraph 3.

21. '2014-15 Reduction for 2013-14 over-recruitment' is:

- *Offset against the 2014-15 student number control allocation required to avoid further reduction for 2013-14 over-recruitment minus*
- *Actual offset against the 2014-15 student number control allocation required to avoid further reduction for 2013-14 over-recruitment*

multiplied by *Rate of grant adjustment for 2013-14*, expressed as a negative number.

22. 'Further offset against the 2014-15 student number control allocation required to avoid further reduction for 2012-13 over-recruitment' is taken from Table B.

23. 'Actual offset against the 2014-15 student number control allocation required to avoid further reduction for 2012-13 over-recruitment' is the higher of zero or:

- The lower of:
 - *2014-15 Student number control allocation minus*
 - *Recruited numbers from Table 6 minus*
 - *Actual offset against the 2014-15 student number control allocation required to avoid further reduction for 2013-14 over-recruitment*

or

- *Further offset against the 2014-15 student number control allocation required to avoid further reduction for 2012-13 over-recruitment.*

24. 'Rate of grant adjustment for 2012-13' is as stated in paragraph 3.

25. '2014-15 Reduction for 2012-13 over-recruitment' is:

- *Further offset against the 2014-15 student number control allocation required to avoid further reduction for 2012-13 over-recruitment minus*

- *Actual offset against the 2014-15 student number control allocation required to avoid further reduction for 2012-13 over-recruitment*

multiplied by *Rate of grant adjustment for 2012-13*, expressed as a negative number.

Grant adjustment for excess students expected to continue into 2015-16

26. 'Expected continuation rate of excess into 2015-16 (year 1 to year 2)' is taken from Table B.

27. '2014-15 Excess students expected to continue into 2015-16' is:

- *Students in excess of the top of the flexibility range* multiplied by
- *Expected continuation rate of excess into 2015-16 (year 1 to year 2).*

28. '2015-16 Reduction for 2014-15 over-recruitment' is:

- *2014-15 Excess students expected to continue into 2015-16* multiplied by
- *Rate of grant adjustment for 2014-15*

expressed as a negative number.

29. 'Expected continuation rate of excess into 2015-16 (year 2 to year 3)' is taken from Table B.

30. 'Offset for 2013-14 over-recruitment, not offset, expected to continue into 2015-16' is:

- *Offset against the 2014-15 student number control allocation required to avoid further reduction for 2013-14 over-recruitment* minus
- *Actual offset against the 2014-15 student number control allocation required to avoid further reduction for 2013-14 over-recruitment*

multiplied by *Expected continuation rate of excess into 2015-16 (year 2 to year 3)*.

31. '2015-16 Reduction for 2013-14 over-recruitment' is:

- *Offset for 2013-14 over-recruitment, not offset, expected to continue into 2015-16* multiplied by
- *Rate of grant adjustment for 2013-14*

expressed as a negative number.

Grant adjustment for excess students expected to continue into 2016-17

32. 'Expected continuation rate of excess into 2016-17 (year 2 to year 3)' is taken from Table B.

33. '2014-15 Excess students expected to continue into 2016-17' is:

- *2014-15 Excess students expected to continue into 2015-16* multiplied by
- *Expected continuation rate of excess into 2016-17 (year 2 to year 3).*

34. '2016-17 reduction for 2014-15 over-recruitment' is:

- *Excess students expected to continue into 2016-17* multiplied by
- *Rate of grant adjustment for 2014-15*

expressed as a negative number.

35. 'Total grant adjustment' is the sum of:

- *2014-15 Student number control grant adjustment*
- *2014-15 Reduction for 2013-14 over-recruitment*
- *2014-15 Reduction for 2012-13 over-recruitment*
- *2015-16 Reduction for 2014-15 over-recruitment*
- *2015-16 Reduction for 2013-14 over-recruitment*
- *2016-17 Reduction for 2014-15 over-recruitment.*