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Llywodraeth Cymru  
Welsh Government

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Welsh Government

## Consultation Document

### Guidance in relation to Part 9 of the Act on Co-operation and Partnership

Regulations and statutory guidance in relation to  
Part 9 of the Act on Co-operation and Partnership

Date of issue: **8 May 2015**

Action required: Responses by **31 July 2015**

## Overview

This consultation seeks your views on the regulations and codes of practice being made to support local authorities and their partners in delivering the requirements of the Social Services and Well-being (Wales) Act 2014.

## How to respond

You can respond to this consultation by completing, by midnight on the closing date, the consultation response form at the back of this document and returning it to us by post to:

Sustainable Social Services Implementation Branch  
Social Services Directorate  
Welsh Government  
Crown Buildings  
Cathays Park  
Cardiff  
CF10 3NQ

Alternatively the consultation response form is available on our website (<http://wales.gov.uk/consultations/?lang=en>) and can be returned to us by e-mail to: [sswbimplementation@wales.gsi.gov.uk](mailto:sswbimplementation@wales.gsi.gov.uk).

## Further information and related documents

MS Word, Large print, Braille and alternative language versions of this document are available on request.

Sustainable Social Services for Wales: A Framework for Action:  
<http://wales.gov.uk/topics/health/publications/socialcare/guidance1/services/?lang=en>

From Vision to Action The report of the independent commission on Social Services in Wales: <http://www.icssw.org/vision/?lang=en>

Law Commission Report on Adult Social Care Legislation:  
<http://lawcommission.justice.gov.uk/areas/adult-social-care.htm>

Social Services and Well-being (Wales) Bill Consultation:  
<http://wales.gov.uk/consultations/healthsocialcare/bill/?lang=en>

Welsh Government Factsheets on Information, Advice and Assistance, Assessment, Care Planning and Carers:  
<http://wales.gov.uk/topics/health/socialcare/act/resources/?lang=en>

The Social Services and Well-being (Wales) Act 2014:  
<http://www.legislation.gov.uk/anaw/2014/4/contents/enacted>

The National Outcomes Framework for people who need care and support and carers who need support (working document):  
<http://wales.gov.uk/topics/health/socialcare/well-being/?lang=en>

Well-being statement for people who need care and support and carers who need support:  
<http://wales.gov.uk/topics/health/publications/socialcare/strategies/statement/?lang=en>

## Contact details

For further information:

[sswbimplementation@wales.gsi.gov.uk](mailto:sswbimplementation@wales.gsi.gov.uk)

Address:

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CF10 3NQ

Email: [sswbimplementation@wales.gsi.gov.uk](mailto:sswbimplementation@wales.gsi.gov.uk)

Telephone: 029 20 82 6498

## **Data protection**

### **How the views and information you give us will be used**

Any response you send us will be seen in full by Welsh Government staff dealing with the issues which this consultation is about. It may also be seen by other Welsh Government staff to help them plan future consultations.

The Welsh Government intends to publish a summary of the responses to this document. We may also publish responses in full. Normally, the name and address (or part of the address) of the person or organisation who sent the response are published with the response. This helps to show that the consultation was carried out properly. If you do not want your name or address published, please tell us this in writing when you send your response. We will then blank them out.

Names or addresses we blank out might still get published later, though we do not think this would happen very often. The Freedom of Information Act 2000 and the Environmental Information Regulations 2004 allow the public to ask to see information held by many public bodies, including the Welsh Government. This includes information which has not been published. However, the law also allows us to withhold information in some circumstances. If anyone asks to see information we have withheld, we will have to decide whether to release it or not. If someone has asked for their name and address not to be published, that is an important fact we would take into account. However, there might sometimes be important reasons why we would have to reveal someone's name and address, even though they have asked for them not to be published. We would get in touch with the person and ask their views before we finally decided to reveal the information.

## The Foreword

The Social Services and Well-being (Wales) Act 2014, which received Royal Assent on 1 May 2014, sets a new legal system for social services. It creates a framework that brings together and modernises the law for social services in Wales, increasing the emphasis on preventative action, bringing people closer to decisions about the services that affect them, and addressing the challenges of economic and demographic change.

Many of you will be aware of the Prudent Healthcare principles which were widely debated during 2014. The cultural shift towards minimum necessary intervention, 'only do what only you can do', promotion of equity and remodelling the relationship between user and provider on the basis of co-production has been widely welcomed in healthcare settings. These principles, however, extend far wider and touch on the core of social services in Wales, mirroring the focus on citizen voice, user control and co-production set out in *Sustainable Social Services*. The challenge in social care, as in healthcare, is to develop sustainable models of service, both in terms of financial and workforce resources, to help us effectively manage demand whilst being equitable and fair for all. Our drive should be to promote independence and, to draw on a phrase we used many years ago, we also need to consider how we add 'life to years' not simply 'years to life'. By applying these principles to the implementation of the Social Services and Well-being (Wales) Act 2014 we aim to achieve a system that is fit for the needs of all users.

The new statutory framework for delivering social services will have three parts. The first part, the Act itself, is already in place. The other two parts consist of regulations on the one hand and of codes of practice and statutory guidance on the other. These will supply the detail and help those charged with delivery of functions under the Act to understand how they are to carry these out. The **first tranche** of these regulations was consulted upon between November 2014 and February 2015 and work is now underway to prepare the final regulations based on this work. These regulations will be laid from May this year.

The Welsh Government's policy intent in relation to the major groups of regulation-making powers under the Act was set out in a written statement issued by Gwenda Thomas AM, the then Deputy Minister for Social Services,

on 30 January 2014. The regulations and codes set out in this consultation document turn this policy intent into concrete form and help supply those remaining two parts of the statutory framework.

To get to this point, my officials have worked closely with stakeholders through a series of technical groups to develop and refine the details of our policy for regulations, codes of practice and statutory guidance. I would like to pay tribute here to those stakeholders, and to thank them for their valuable contribution to the process of making the Act a reality.

This **second tranche** of subordinate legislation is being made available for consultation from 8 May 2015; this consultation will run for 12 weeks until 31 July. These regulations, codes of practice and statutory guidance have again been supported by stakeholder engagement and the work of technical and advisory groups. This tranche will create a system that secures outcomes for looked after and accommodated children, drives regional collaboration, and puts in place a system of charging, financial assessment and paying for care. It will support the provision of advocacy, and address the issues raised by provider failure.

In line with the Welsh Ministers' Regulatory Impact Assessment Code for subordinate legislation, I am committed to undertaking a full regulatory impact assessment for the regulations to be made under the Act. This will of course use the evidence gathered as part of this consultation process to inform this assessment and I hope that you will take the opportunity offered through our consultation questions to contribute to this.

Following full public consultation these regulations, their associated codes of practice and statutory guidance will be laid in the Assembly in the winter of 2015.

The work of implementing the Act – and through it the new system for social care required by Sustainable Social Services – goes beyond making subordinate legislation, as important as this is. We already have actions in place to support workforce readiness, awareness-raising among the wider population, and key regional implementation activity. As an example of this preparedness work the Care Council for Wales, as the lead body for workforce development, is leading on the development and implementation of a national learning and development strategy.

In support of implementation and preparedness in 2013/14 and 2014/15 we have provided regional and national partnerships with access to the *Delivering Transformation* grant. This funding enables local government and its partners to put in place the requirements of the new Act. On 27 February this year I announced that the Welsh Government would double its funding available through the delivering transformation grant to £3m in 2015/16.

The work described here builds upon the national consensus that we have for the changes we need to make. All the key aspects of taking Sustainable Social Services forward are being undertaken with the close involvement of citizens and strong leadership from local government, the NHS and private and third sector partners. I will continue to work with the national Leadership Group, Partnership Forum and Citizen Panel to support this, and secure our new shared ambition that people who use services are fully and firmly at the heart of our programme for change.

**Mark Drakeford AM**  
**Minister for Health and Social Services**

## **This consultation**

This consultation seeks your views on the regulations and statutory guidance which has been developed in order to help local authorities and their partners implement Part 9 of the Social Services and Well-being (Wales) Act 2014 (“the Act”).

The regulations will be made under the appropriate sections of the Act, whilst the guidance is issued under section 169 of the Act, which allows the Welsh Government to issue guidance on partnership arrangements made under regulations under section 166.

This consultation document relates to the draft regulations and statutory guidance in relation to **co-operation and partnership** under Part 9.

## **Where are we now?**

The Social Services and Well-being (Wales) Act 2014 received Royal Assent on 1 May 2014. The Act forms the basis for a new statutory framework for social care in Wales.

The focus now turns to achieving implementation of the Act. The Welsh Ministers have made it clear that they wish the core elements of the new statutory framework to be in place for April 2016, when the Act will be implemented.

The statutory framework will consist of three main elements, the Act itself, regulations made under the Act, and codes of practice/statutory guidance. These three elements work together to form the framework within which social services will operate from April 2016.

The regulations, statutory guidance and codes have been developed in two tranches, through a consultative process with key stakeholders. The regulations, statutory guidance and codes of practice developed under tranche two are now presented to stakeholders across Wales for their input.

## **The evidence for change**

The evidence for change was set out in *Sustainable Social Services: A Framework for Action*<sup>1</sup> and in the consultation on the Social Services (Wales) Bill which set out the key principles of the Bill.

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<sup>1</sup> See **Further information and related documents**

Social Services are at the heart of Welsh public life. They support 150,000 young, old and disabled people every year to achieve their potential and help make them safe. Many of these services are delivered in partnership with others, including housing, health and education services. Society is changing and social services must change in response. There has been and will continue to be shifts in the public's expectations of social services, as a result of demographic change and changes in our society. Social services need to alter and to respond to all of these.

Furthermore, demand is rising across social services, yet the financial outlook for all public services is difficult. Whilst we have protected social services expenditure, we need to make a more fundamental change than just pursuing the obvious efficiency measures if we are to make social services sustainable. Our White Paper *Sustainable Social Services for Wales: A Framework for Action* sets out a programme of change to meet these challenges based on the following nine principles:

- A strong voice and real control
- Supporting each other
- Safety
- Respect
- Recovery and restoration
- Adjusting to new circumstances
- Stability
- Simplicity
- Professionalism

These have been informed by discussion with stakeholders and debates in the National Assembly for Wales and elsewhere since *Sustainable Social Services for Wales* was published. They sit alongside the evidence of the Independent Commission on Social Services in Wales, the Law Commission review<sup>2</sup> of adult social care legislation and our Review of Safeguarding<sup>3</sup> and the advice and deliberations of the National Leadership Group, Partnership Forum and Citizen's Panel. All this forms the backbone of our case for change.

This evidence, and the process of considering it through the National scrutiny process on the Bill, has informed and shaped the contents of the Act. The next stage, the development of the regulations and codes of practice or statutory guidance, has been informed by key strategic inputs such as *More than just words*, our Strategic Framework for Welsh Language Services in Health, Social Services and Social Care<sup>4</sup>, and *Enabling Wales*, and by evidence sourced through technical groups consisting of key stakeholders. These groups have looked at the Act's provisions, and the policy underpinning these, in detail, and provided advice to officials on how the regulations and

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<sup>2</sup> See **Further information and related documents**

<sup>3</sup> <http://wales.gov.uk/topics/health/publications/socialcare/reports/advisory/?lang=en>

<sup>4</sup> <http://wales.gov.uk/topics/health/publications/health/guidance/words/?lang=en>



codes of practice should be framed in order to achieve the aims of the Act and, through this, the requirements of *Sustainable Social Services: A Framework for Action*. This process has secured a range of valuable input which Welsh Government officials have drawn upon to develop the draft regulations, codes of practice and statutory guidance covered in the consultation on tranche one and in this consultation.

## **The proposals**

### **Part 9 - Partnership and cooperation**

The purpose of Part 9 is to ensure that Local Authorities and Local Health Boards work effectively together, along with other partners, to plan and ensure the delivery of integrated services, care and support to best meet the needs of people in their local area.

The intention is to improve outcomes and the well-being of people through improved partnership working and cooperation. The aim is also to improve effectiveness and efficiency of service delivery.

The regulation making powers will be used to ensure appropriate structures and resources are in place to enable the provision of integrated services to respond effectively to the joint population assessment. The regulation making powers will enable partnership arrangements and partnership boards to be established, as well as the establishment of pooled funds. The regulations will also specify the requirement to establish integrated family support teams and the arrangements for referrals to these teams.

The regulations will specify that Regional Partnership Boards will be established on the following basis:

- Cardiff and Vale Regional Board -Cardiff and Vale University Health Board and Cardiff and the Vale of Glamorgan local authorities.
- Cwm Taf Regional Board -Cwm Taf University Health Board and Merthyr Tydfil and Rhondda Cynon Taf local authorities.
- Gwent Regional Board - Aneurin Bevan University Health Board and Blaenau Gwent, Caerphilly, Monmouthshire, Newport and Torfaen local authorities.
- Mid and West Regional Board - Hywel Dda University Health Board and Carmarthenshire, Ceredigion and Pembrokeshire local authorities. Powys teaching Health Board and Powys local authority.
- North Wales Regional Board - Betsi Cadwaladr University Health Board and Anglesey, Conwy, Denbighshire, Flintshire, Gwynedd and Wrexham local authorities.
- Western Bay Regional Board -Abertawe Bro Morgannwg University Health Board and Bridgend, Neath Port Talbot and Swansea local authorities.

Partnership arrangements will be established between Local Health Boards and the respective local authorities within their area.

The regulations will also specify the membership of Regional Partnership Boards as follows:

- (a) At least one elected member of a local authority which established the regional partnership board;
- (b) At least one member of the Local Health Board which established the regional partnership board;
- (c) The person appointed as director of Social Services under section 144 of the Act in respect of each local authority which established the regional partnership board, or his or her nominated representative;
- (d) The Chief Executive of the Local Health Board which established the regional partnership board, or his or her nominated representative;
- (e) At least one person who represents the interests of the third sector in the area covered by the regional partnership board;
- (f) At least one person who represents the interests of the independent sector in the area covered by the regional partnership board;
- (g) One lay person appointed by the Welsh Ministers by public appointment.

The Regional Partnership Board may co-opt other persons to be members of the board as appropriate.

The regulations require the Regional Partnership Board to prepare a report setting out the extent to which the board's objectives have been met. This will need to be submitted to Welsh Ministers.

The statutory guidance will set out the requirement for Regional Partnership Boards to prioritise the integration of services in relation to:

- Older people with complex needs and long term conditions.
- Integrated Family Support Services.
- Learning disabilities.
- Children with complex needs due to disability or illness.
- Carers.

The Regional Partnership Board will also need to determine other priority areas where integrated services are required to respond effectively to the joint population assessment.

Partnership boards have a key role to play in relation to bringing together key partners to determine where the integrated provision of services, care and support will be most beneficial to people within their region. In keeping with the principle of 'voice and control' in the Act, people must be engaged in the process of identifying the range and level of services necessary. Engagement must take place with people, including children, who have experience of using care and support services, the parents of children who have care and support needs, and carers.

The Code of Practice in relation to Advocacy (Part 10) sets out the requirements for access to advocacy services and support. In responding to the population assessment, Regional Partnership Boards will need to ensure they are able to respond to the advocacy requirements for all individuals, alongside the specific groups identified above. The Code of Practice on Advocacy provides further advice on the key factors that impact upon individuals and their needs for specific support.

The Code of Practice on Part 2 sets out the requirements in relation to the provision of information, advice and assistance. Regional Partnership Boards will need to ensure that information, advice and assistance is offered in a manner which is accessible and suits the needs of their population. Local authorities should lead on agreeing, with regional partners, what service components should be developed on a national, regional and local basis.

Where Local Authorities and Local Health Boards have a mutual interest in commissioning services, they should work together to consider whether alternative not for profit business models will best meet the well-being needs of their local population. The Code of Practice on Part 2 includes further advice on promoting social enterprises, co-operatives, user led services and the third sector.

The Regional Partnership Board will determine the most appropriate structures for ensuring the provision of these integrated services. This could include the establishment of management or operational groups, as well as integrated teams for specific service areas. Written agreements will need to be developed for any partnership arrangements which involve a delegation of functions.

In relation to pooled funds, the regulations provide for the establishment of pooled funds to support the delivery of the Integrated Family Support Service and also in relation to providing care and support for people in care homes. Regional Partnership Boards will also need to consider and establish other pooled funds as appropriate.

## **Consultation documents**

This consultation seeks your comments and views on the following key documents:

- A more detailed summary of our proposals can be found in the **Executive Summary** at **Annex A**.
- Draft Guidance on Co-operation and Partnership: Part 9 of the Social Services & Wellbeing (Wales) Act 2014 (**Annex B**)
- Draft of The Partnership Arrangements (Wales) Regulations 2015 (**Annex C**)

A consultation response form can be found below.

**Consultation  
Response Form**

Your name:

Organisation (if applicable):

email / telephone number:

Your address:

<b>Cooperation &amp; Partnership</b>							
<b>1. Do you agree with the proposed membership of the regional partnership boards?</b>							
Agree	<input type="checkbox"/>	Tend to agree	<input type="checkbox"/>	Tend to disagree	<input type="checkbox"/>	Disagree	<input type="checkbox"/>
Please provide additional information as necessary to support your response – suggesting any changes or additions to the proposed membership.							
<b>2. Do you agree with the proposals for pooled funds?</b>							
Agree	<input type="checkbox"/>	Tend to agree	<input type="checkbox"/>	Tend to disagree	<input type="checkbox"/>	Disagree	<input type="checkbox"/>
Please specify if there are any other areas that should be considered, highlighting the one key priority area.							
<b>3. Do you agree with the priority areas identified for regional partnership boards:</b>							
<ul style="list-style-type: none"> <li>• Older people with complex needs and long term conditions.</li> <li>• Integrated Family Support Services.</li> <li>• Learning disabilities.</li> <li>• Children with complex needs due to disability or illness.</li> <li>• Carers.</li> </ul>							

Agree	<input type="checkbox"/>	Tend to agree	<input type="checkbox"/>	Tend to disagree	<input type="checkbox"/>	Disagree	<input type="checkbox"/>
Please specify if there are any other areas that should be considered, highlighting the one key priority area.							
<b>4. Do you agree with the proposals in relation to integrated family support services?</b>							
Agree	<input type="checkbox"/>	Tend to agree	<input type="checkbox"/>	Tend to disagree	<input type="checkbox"/>	Disagree	<input type="checkbox"/>
Please explain why.							
<b>5. Do you agree the proposals will lead to improved outcomes for people and make more effective use of resources?</b>							
Agree	<input type="checkbox"/>	Tend to agree	<input type="checkbox"/>	Tend to disagree	<input type="checkbox"/>	Disagree	<input type="checkbox"/>
Please set out where further action should be taken.							
<b>Other</b>							
<p><b>The Welsh Government is interested in understanding whether the proposals in this consultation document regarding part 9 will have an impact on groups with protected characteristics. Protected characteristics are: age, disability, gender reassignment, marriage and civil partnership, pregnancy and maternity, race, religion and belief, sex, and sexual orientation.</b></p>							

**6. Do you think that the proposals in this consultation will have any positive impacts on groups with protected characteristics? If so, which and why/why not?**

**7. Do you think that the proposals in this consultation will have any negative impacts on groups with protected characteristics? If so, which and why/why not?**

**8. Re-balancing the care and support system to deliver the new legal framework will require reprioritisation of resources. What are the key actions that need to be taken to achieve this?**

**9. We have asked a number of specific questions. If you have any related issues which we have not specifically addressed, please use this space to tell us about them.**

**Responses to consultations may be made public – on the internet or in a report. If you would prefer your response to be kept confidential, please enter YES in the box.**