



Department
for Education

School admission appeals data collection 2017

**Information for local authorities about
the submission of their 2017 data**

December 2016

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Any enquiries regarding this publication, before or during the submission period, should be sent to the department via the [data collections service request form](#).

1 Section A: summary of collection

1.1 Scope of this document

This guide provides local authorities with information about the statutory school admission appeals data collection (also known as APAD) 2017. It is intended to assist local authorities with returning the required data to the Department for Education (DfE, or 'the department') and provides information on the scope of the collection, the data that should be included in the return, and the mechanism for submission. This guide should be read in conjunction with the relevant instructions on how to use the department's COLLECT web-based system.

1.2 Background to the collection and a summary of recent changes

The data collection collects information about appeals that parents have submitted (or lodged) before 1 September because their child was not admitted to their preferred school. It is a statutory return which covers community and voluntary controlled primary and secondary schools (including middle-deemed schools). It includes the total number of appeals lodged, the number heard and withdrawn, and the appeal outcomes for each school phase.

Where the information being returned relates to primary schools (or middle deemed or all through schools with infant intake), figures are also required for the number of infant appeals. This will be a subset of the primary appeals figures.

The information will also be collected directly from schools who have their own admissions authority (community, voluntary aided and academy schools, see below) via the spring census in January 2017.

The return should only include appeals lodged by 1 September relating to entry at the start of the school year. For 2017, therefore, local authorities should return information on appeals lodged prior to 1 September 2017 relating to entry at the start of the academic year 2016 to 2017 (see sections C and D for further details).

If any community or voluntary controlled school is converting to an academy during the academic year 2016 to 2017, then the local authority should only include that school's appeals if the school converted after the date of the spring census (19 January 2017). If the school has already converted by that date then it should submit its own appeal data via the school census. The local authority may need to provide the appeal figures to such recently converted schools to enable them to make their return.

The live period for this collection has been shortened to two weeks, and this year will run from Monday 9 January to Friday 20 January.

Note each data item in COLLECT has a number, related to when the data was returned via a paper form. These numbers are no longer required or relevant and so have been removed from the collection guide. They will be removed from COLLECT in future years.

1.3 Uses of the data

The data is due to be published in Summer/Autumn 2017 in the statistical release 'Admissions appeals for maintained and academy primary and secondary schools in England: 2015 to 2016'. It will be added to the figures provided by community, voluntary aided and academy schools in the January 2017 school census (admissions appeals module) to give a complete picture of the number of appeals lodged, heard and either rejected or found in favour of the parent.

The department will also use the appeal and outcome figures and the trends identified as part of a continuing review of admission policies and practice.

2 Section B: important dates

The following are the deadlines for the academic year 2016 to 2017:

- Appeal deadline – Thursday 1 September 2016
- Data collection opens – Monday 09 January 2017
- Statutory deadline for returns – Friday 20 January 2017

3 Section C: content of the return

A parent has the right of appeal against a decision not to allow their child into a requested school. Appeals can be made when an applicant has not received an offer of a place into their first preference school. They can appeal for a place at any school ranked higher than the one they were offered. Their appeal is submitted to the admissions authority for the school (which is the local authority in the case of community and voluntary controlled schools). The return to be made by local authorities to the department should include the following information about these appeals:

Field name	Description	Additional notes
LANumber	Number of the local authority.	

Field name	Description	Additional notes
PriAppLodged	Total number of appeals lodged against non admission into primary school.	Includes middle deemed primary schools.
PriAppWithdrawn	Of primary appeals lodged, number which were withdrawn before reaching an appeals panel.	To include those withdrawn as a result of the child being offered a place at the school via the waiting list.
PriAppHeard	Of the primary appeals lodged, the number heard by an appeals panel.	
PriAppFavour	Of the primary appeals heard, the number which were decided in the parent's favour.	The number decided in the parent's favour plus the number rejected should equal the total number heard.
PriAppRejected	Of the primary appeals heard, the number which were rejected.	
InfcAppLodged	Total number of appeals lodged against non admission into an infant class in primary school.	Infant class figures are a subset of those provided for primary schools. Total to include all appeals into an infant class (reception and years 1 and 2), not just those relating to infant class size appeals.
InfcAppWithdrawn	Of infant class appeals lodged, number which were withdrawn before reaching an appeals panel.	To include those withdrawn as a result of the child being offered a place at the school via the waiting list.
InfcAppHeard	Of the infant class appeals lodged, the number heard by an appeals panel.	

Field name	Description	Additional notes
InfcAppFavour	Of the infant class appeals heard, the number which were decided in the parent's favour.	The number decided in the parent's favour plus the number rejected should equal the total number heard.
InfcAppRejected	Of the infant class appeals heard, the number which were rejected.	
SecAppLodged	Total number of appeals lodged against non admission into secondary school.	Includes middle deemed secondary schools.
SecAppWithdrawn	Of secondary appeals lodged, number which were withdrawn before reaching an appeals panel.	To include those withdrawn as a result of the child being offered a place at the school via the waiting list.
SecAppHeard	Of the secondary appeals lodged, the number heard by an appeals panel.	
SecAppFavour	Of the secondary appeals heard, the number which were decided in the parent's favour.	The number decided in the parent's favour plus the number rejected should equal the total number heard.
SecAppRejected	Of the secondary appeals heard, the number which were rejected.	

4 Section D: further detail on the information to be included

- a) The local authority must only return data for appeals relating to entry into the academic year 2016 to 2017 that were lodged by parents before 1 September 2016. The date of the hearing can be after 1 September.
- b) Appeals relating to entry into any school year should be included, whether the main entry point of the school or another year, as long as the parent was applying for their child to move to the school at the start of the academic year.
- c) Academies will provide their admission appeals data to the department via the school census. However, community or voluntary controlled schools that are in the process of converting to an academy during the current academic year should be included in the local authority's return if they are still under its control on spring school census day, Thursday 19 January 2017.
- d) If the newly converting school is an academy by 19 January 2017 then it will need to return its own appeal figures via the school census. They may need to be provided with the figures by the local authority.
- e) Only figures for community and voluntary controlled schools, where the local authority is the admissions authority, should be included. Other schools which contract the local authority to administer their appeals must submit their own figures via the school census. You may need to contact these schools to provide them with the figures they will be submitting.
- f) Infant class figures are a subset of primary school appeals and relate to appeals against non-admission into reception class or years 1 and 2. They should include all appeals for those years, not just those relating to infant class size appeals.
- g) The number of appeals withdrawn will include any not pursued, any not yet resolved, and any where the parents have withdrawn from the appeal process as they have been offered a place at the school via the waiting list.

5 Section E: submitting the data

5.1 Methodology

The data should be submitted via [COLLECT](#) (Collections Online - Learners, Education, Children and Teachers) from the department's website. This is the route by which a number of data collections from local authorities and schools are sent securely to the department.

Sections C and D give details of the data which is required to be provided. Once you have generated and checked the data, it should be loaded and submitted in COLLECT.

A separate COLLECT guide will be published shortly giving details of how to submit the admissions appeals dataset.

5.2 Validation checks

On loading of your local authority's data the COLLECT system will automatically run a number of verification checks and you will receive an error notification if any of them fail and the data requires amendment.

If this occurs you will need to correct the errors in the data before you are able to submit the data to the department. Only once the corrections have been made and COLLECT shows no error notices will you be able to submit your data.

You may also receive a query notification to ask you to check certain figures to ensure they are correct – for example, where the values look unusual but could logically still be correct in some situations. If any queries do not require amendments, COLLECT has the functionality for local authorities to add a note to the data item, specifying that it is correct.

5.3 Further checks

Additional sense checks will be undertaken by the department and where there are concerns or queries about the figures, the department will contact the local authority concerned to ask for further information. This may result in rejecting the submissions for changes to be made. We will complete these additional checks as soon as possible.

If there is anything about your local authority's data, which you think may give the department cause to query the figures, then it would be advisable to enter notes in your COLLECT return. An example of this would be if a number of community or voluntary controlled schools have converted into academies and therefore their appeals are no longer administered by the local authority.

5.4 Authorisation

For each return, once the data has been checked and accepted by the department the status on COLLECT will change to 'Authorised' and your local authority's return will be complete.

5.5 Further assistance

If you have any queries you can contact the data collection helpdesk by submitting a query at [data collections service request form](#).



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