

Cylchlythyr | Circular

HEFCW Institutional Payments – Academic Year 2018/19

Date: 21 November 2019
Reference: W19/40HE
To: Heads of higher education institutions in Wales
Principals of directly-funded further education colleges in Wales
Response by: No response required
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This circular provides details of all grants made by the Higher Education Funding Council for Wales (HEFCW) to higher education institutions (HEIs) in Wales, directly funded further education institutions (FEIs) in Wales and the Coleg Cymraeg Cenedlaethol for the academic year (AY) 2018/19.

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HEFCW Institutional Payments – Academic Year 2018/19

1. This publication provides details of all grants made by the Higher Education Funding Council for Wales (HEFCW) to higher education institutions (HEIs) in Wales and directly funded further education institutions (FEIs) in Wales for the academic year (AY) 2018/19. Except where noted otherwise, all information refers to actual payments made in the AY 1 August 2018 to 31 July 2019.
2. Details of HEFCW's formula funding methods can be found on HEFCW's [website](#).
3. These allocations were first announced in circular [W18/10HE](#) (HEFCW's Funding Allocations 2018/19) and then updated in circular [W19/14HE](#) (HEFCW's Funding Allocations 2019/20). The detail in this paper will not match either exactly due to the fact that the first circular is a forward looking budget position, the second an in-year position, and the detail in this circular a retrospective actual position. The variations will be due to payment timing differences and/or changes in budget line amounts, and/or in year addition of budget lines.
4. Annex A presents the grant payments by budget line and under the headings: Research, Teaching, Other and Non-Grant in Aid (Non-GIA),

Description of lines

Research

Quality Research (QR)

5. QR is formula funding for research which was allocated on the basis of the outcomes of the Research Excellence Framework (REF 2014).

Postgraduate Research (PGR) Funding

6. PGR funding was allocated by formula to help support the training of postgraduate research students. A disability premium was also allocated in respect of PGR students.

Sêr Cymru

7. This programme aims to build upon and enhance research in Wales, in line with the objectives of Science for Wales, the Welsh Government's strategic agenda for science and innovation in Wales.
8. The investment focuses on three Grand Challenge areas – areas of scientific excellence which also have particular relevance to business and society. These areas are: life sciences and health; low carbon, energy and environment; and advanced engineering and materials.

Research Funding Capital & Research Funding Revenue

9. HEFCW's revised 2018-19 remit letter provides for an additional allocation of £6.6m (£4.2m revenue funding and £2.4m capital funding) to support higher education research in Wales. Funding has been made available to HEIs in Wales on the basis of strengthening the research base and incentivising grant capture.

Welsh Crucible

10. Funded by a consortium of Welsh higher education institutions and the HEFCW, Welsh Crucible is a training programme that brings together thirty researchers each year to explore how they can work together to tackle the current research challenges facing Wales.
11. Welsh Crucible is based on NESTA's highly esteemed 'Crucible' development programme, and has been informed by the successes of the Scottish Crucible.

WISERD

12. The Wales Institute of Social and Economic Research, Data and Methods (WISERD) is a national, interdisciplinary, social science research institute. They have been designated by the Welsh Government as a national research centre and are a collaborative venture between the universities of Aberystwyth, Bangor, Cardiff, South Wales and Swansea. Wiserd Education is a sub-brand that has also been funded.

Teaching

Full-time undergraduate and PGCE (FT UG/PGCE) Funding

13. This is primarily the expensive subject premium (ESP) designed to focus funding in the areas that were awarded more HEFCW funding prior to the introduction of the new fee regime in 2012/13 than is available through fee income since the introduction of that regime. These areas are Clinical Dentistry and Medicine and the performance element of Conservatoire training. In addition, a per capita amount of £5 was awarded for all FT UG/PGCE students.

Part-time (PT) and Postgraduate Taught (PGT): Per-capita, Premiums and credit-based funding

14. Allocations of credit-based funds for PT UG teaching have been determined using HEFCW's established teaching funding method. This is described in detail in HEFCW circular W99/71HE. HEFCW circular W01/66HE sets out the arrangements and procedures under which funding by credit value

operates. A change was made in AY 2011/12 with the removal of the recovery element of the method.

15. In addition to the credit-based teaching funding, several premiums were allocated in respect of PT UG provision. An access and retention premium, a disability premium and a Welsh medium premium were allocated. In addition, a per capita amount of £5 was awarded for all PT and PGT students.

Also included in Teaching are:

Part-time Fee Waiver

16. HEFCW's part-time undergraduate fee waiver scheme offers grants to institutions that waive fees for certain part-time students who are unemployed and registered jobseekers or are in receipt of Department for Work and Pensions (DWP) benefits. The scheme was reviewed in 2014 in response to the introduction of Welsh Government part-time loans. HEFCW has two separate sets of criteria for its scheme, relating to students that commenced their studies prior to 1 September 2014 and students that commenced their studies on or after 1 September 2014.

OU Mitigation Funding

17. Mitigation funding for the OU was provided to recognise the removal of strategy funding and their inability to recoup that funding through FT UG fee income.

Performing Arts Supplement

18. This ring-fenced fund from the Welsh Government was specifically to help sustain performing arts provision and was allocated to University of South Wales and was conditional on the satisfaction of terms, focussing on the development of widening access activities.

Other

Capital

19. Capital funding, made available in the 2018-19 remit letter, supported projects that improve the Learning and Teaching space and benefit the Student Experience. The projects also needed to reduce institutional financial risks associated with estate utilisation and reduce the level of future expenditure on university operations.

Civic Mission

20. This fund was made available in the 2017-18 remit letter to encourage universities to further develop activities related to their civic mission. Part was used to support the Physics Mentoring Programme, led by Cardiff University, and part provided allocations in support of university strategies for enhancing civic mission and community engagement.

GO Wales: Achieve through Work Experience

21. This programme is aimed at improving the employability of students most likely to be NEET when they graduate. It receives ESF funding.

HE in FE Collaboration

22. Funding was made available in the 2017-18 remit letter to improve and increase collaboration between HE and FE, as reforms are progressed in the post-compulsory education and training sector.

Institute of Coding

23. This fund was made available in the 2017-18 remit letter. Led by Swansea University, this project is a collaborative venture between Cardiff University and Swansea University, to support the involvement of Welsh universities in the establishment of an Institute of Coding (IoC), including enabling civic mission activity supporting coding initiatives in schools, colleges, work-based learning providers and universities.

International Initiatives

24. As part of the move to institutions making more contributions to various budget lines as a result of their increased fee income, various international budget lines have been brought together (e.g. Welsh Higher Education in Brussels and Wales International Consortium). This funding was paid to Universities Wales who have agreed to pay for these elements on behalf of the sector.

Reaching Wider (RW)

25. The RW programme is a mechanism for widening access on a collaborative regional basis. The programme's focus is explicitly on Communities First areas, and looked after children and care leavers.

Sector Agency Transfer

26. During AY 2018/19, support for sector agencies and some programmes (e.g. Jisc, the Higher Education Academy (which became part of Advance HE in March 2018) and institutional costs of the National Student Survey) are now being funded by institutions. Funding to the sector agencies is paid through HEFCW, and HEFCW officers continue to administer the funding

arrangements and monitor performance, but the funding is recouped by HEFCW from institutions.

Strategic Development Fund

27. This is the repayment of repayable grants previously awarded in respect of strategic developments.

Pro-rata Adjustment

28. This is the funding reduction required to be applied to the overall budget to ensure that the budgeted expenditure remains within the funding available and results in a break-even/positive balance of funding available at the end of each academic year.

Degree Apprenticeships

29. A pilot scheme for degree apprenticeships was launched in 2018-19. This is a three-year scheme which covers two frameworks of digital and engineering and advance manufacturing.

Non-Grant in Aid

Research Capital with BEIS match funds

30. The Department for Business Energy and Industrial Strategy (BEIS) confirmed that it would provide research capital funding to the four UK HE funding bodies for 2018-19. The funding was awarded pro-rata to the average research council income received by their respective institutions.
31. BEIS required this funding to be matched by a similar contribution and Welsh Government provided this.
32. The Welsh Government element of the grant was allocated pro rata to recurrent Quality Research (QR) funding and other research income. In calculating the allocations we used the most recent data available with the Welsh Government element based on 2019-20 recurrent QR grant and 2017/18 other research income. Similarly, the BEIS allocation had been calculated by reference to an average of the 2015/16 – 2017/18 Research Councils' income received.

QR Global Challenges Research Fund

33. The Global Challenges Research Fund (GCRF) is a UK-wide programme funded through the Department for Business, Energy and Industrial Strategy (BEIS). It supports cutting-edge research that addresses the challenges faced by developing countries through:
- challenge-led disciplinary and interdisciplinary research
 - strengthening capacity for research and innovation within both the UK and developing countries
 - providing an agile response to emergencies where there is an urgent research need.
34. In addition to the funding which it provides to the Research Councils, the Learned Societies and UK Space Agency, BEIS also provides an element of GCRF funding to Research England. It was agreed that it would be appropriate for BEIS to provide equivalent allocations to the higher education funding bodies of the devolved countries of the UK.

UK Research Partnership Investment Fund (UKRPIF)

35. The UK Research Partnership Investment Fund (UKRPIF) provides funding for capital investment in major new research facilities in universities which have a significant track record of research excellence. The funding was made available on a UK-wide basis through BEIS, and was distributed by Research England acting in conjunction with the other UK higher education funding/ regulatory bodies.

Further information

36. For further information, contact Lynne Gittens (tel 029 2085 9641; email lynne.gittens@hefcw.ac.uk).

	£	£	£	£	£	£	£	£	£	£	£	£	£	£
Research	University of South Wales	Aberystwyth University	Bangor University	Cardiff University	University of Wales Trinity Saint David	Swansea University	Cardiff Metropolitan University	Wrexham Glyndŵr University	Open University in Wales	University of Wales Registry	Grŵp Llandrillo Menai	Gower College Swansea	Grŵp NPTC Group	Total
QR	1,530,191	7,010,419	7,218,738	39,797,000	298,937	13,749,081	1,065,119	214,957		192,900				71,077,342
PGR	159,138	359,908	565,442	2,716,400	16,848	1,232,599	120,002							5,170,337
Sâr Cymru			531,828	836,043		520,111								1,887,982
Research Funding-capital		68,839	101,958	697,787		331,416								1,200,000
Research funding-revenue	66,382	274,673	202,598	1,036,003		407,844	37,500	37,500						2,062,500
Welsh Crucible				15,000										15,000
WISERD				105,000										105,000
Wiserd Education				2,827										2,827
Total	1,755,711	7,713,839	8,620,564	45,206,060	315,785	16,241,051	1,222,621	252,457	0	192,900	0	0	0	81,520,988
Teaching*	University of South Wales	Aberystwyth University	Bangor University	Cardiff University	University of Wales Trinity Saint David	Swansea University	Cardiff Metropolitan University	Wrexham Glyndŵr University	Open University in Wales	University of Wales Registry	Grŵp Llandrillo Menai	Gower College Swansea	Grŵp NPTC Group	Total
FTUG/PGCE	1,845,550	29,515	31,955	10,598,760	32,665	2,657,645	35,340	13,155			2,150	0	250	15,246,985
PT/PGT	7,008,398	479,657	301,438	1,617,957	2,712,806	1,151,242	763,430	2,550,282	9,892,590		756,302	74,551	76,490	27,385,143
Total	8,853,948	509,172	333,393	12,216,717	2,745,471	3,808,887	798,770	2,563,437	9,892,590	0	758,452	74,551	76,740	42,632,128
Other Lines	University of South Wales	Aberystwyth University	Bangor University	Cardiff University	University of Wales Trinity Saint David	Swansea University	Cardiff Metropolitan University	Wrexham Glyndŵr University	Open University in Wales	University of Wales Registry	Grŵp Llandrillo Menai	Gower College Swansea	Grŵp NPTC Group	Total
Capital	1,750,395	750,000	939,045	2,544,301	763,005	1,594,061	909,194	750,000						10,000,001
Civic Mission	111,797	115,490	83,207	239,974	76,982	106,243	82,148	63,434	62,238					941,513
GO Wales	287,508	161,891	128,403	220,817	149,953	196,526	156,911	82,149	60,334					1,444,491
HE and FE collaboration	642,500	370,000	200,000		212,500	185,000		150,000	250,000					2,010,000
Institute of coding						600,000								600,000
International Initiatives		37,500												37,500
Reaching Wider	885,882		384,496			429,622								1,700,000
Sector Agency Transfer	(314,843)	(188,361)	(206,068)	(891,958)		(323,042)	(101,414)	(74,987)	(54,605)	(2,964)				(2,158,242)
Strategic Development Fund	(933,000)													(933,000)
Reconfiguration & collaboration		18,383												18,383
Fee mitigation funding	1,864,487	870,508	938,008	2,315,744	859,132	1,660,776	1,030,837	389,068			64,163		7,283	10,000,006
PGT Interim Support	2,271,640	423,584	715,652	1,894,172	346,724	1,817,312	958,188	314,272	92,232					8,833,776
Degree Level Apprenticeships			27,000		263,250	504,000	225,000	108,000	33,750					1,161,000
Part-Time Fee Waiver	(6,700)	(4,210)	(2,666)	18,320	1,260	229	1,115	500	(5,000)		(1,475)	(2,500)		(1,127)
Contribution to QAA review (developmental year)			10,000											10,000
Total	6,559,666	2,554,785	3,217,077	6,341,370	2,672,806	6,770,727	3,261,979	1,782,436	438,949	(2,964)	62,688	(2,500)	7,283	33,664,301
Non GIA Lines	University of South Wales	Aberystwyth University	Bangor University	Cardiff University	University of Wales Trinity Saint David	Swansea University	Cardiff Metropolitan University	Wrexham Glyndŵr University	Open University in Wales	University of Wales Registry	Grŵp Llandrillo Menai	Gower College Swansea	Grŵp NPTC Group	Total
Research Capital with BEIS match funds		922,980	633,345	3,765,087		1,638,587								6,959,999
QR Supplement GCRF		345,451	137,419	1,159,616		558,719								2,201,205
UK Research Partnership Investment Fund (UKRPIF)				6,843,704										6,843,704
Total	0	1,268,431	770,764	11,768,407	0	2,197,306	0	0	0	0	0	0	0	16,004,908
Pro Rata Reduction	(962,110)	(878,852)	(906,457)	(6,115,252)	(299,243)	(2,062,700)	(196,832)	(277,501)	(718,309)	(21,767)	(48,962)	(5,652)	(6,361)	(12,499,998)
Grand Total	University of South Wales	Aberystwyth University	Bangor University	Cardiff University	University of Wales Trinity Saint David	Swansea University	Cardiff Metropolitan University	Wrexham Glyndŵr University	Open University in Wales	University of Wales Registry	Grŵp Llandrillo Menai	Gower College Swansea	Grŵp NPTC Group	Total
Grand Total	16,207,215	11,167,375	12,035,340	69,417,302	5,434,819	26,955,271	5,086,538	4,320,829	9,613,230	168,169	772,178	66,399	77,662	161,322,327

*Adjustment to funding for under-recruitment, OU mitigation funding and the supplementary allocation for performing arts provision are included in PT/PGT. In addition, for the FEIs, a reduction for the contribution to the National Student Survey is included.