Department for Education
Guidance
Higher education: operational guidance
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For guidance on the plans for the return of students for the spring term, read Students returning to higher education for spring term.

Introduction

This guidance is public on the previous guidance for reopening higher education (HE) providers in autumn term 2020 in line with developing scientific evidence.

> This guidance applies nationally in England, but you should follow local public health guidance if restrictions are in place in your area, or national restrictions.

The higher education (HE) system in the United Kingdom has a world-class reputation for the quality of its teaching and research. Universities are at the heart of their local communities, supporting learning, while HE providers have continued with some activities in person, especially in their contribution to the fight against coronavirus (COVID-19) and the delivery of key courses.

The overall aim of HE providers in England is to welcome students back to residential term time during the coronavirus (COVID-19) pandemic and to provide services to students, staff and visitors in a way that minimises the risk of transmission of the virus as far as possible. We recognise that providers have been operating in a challenging and fast-moving COVID secure to allow teaching and learning to be carried out as safely as possible.

This guidance is intended to be read alongside [with a practical framework to think about what you need to do to continue, or restart, operations during the coronavirus (COVID-19) pandemic. It is designed to help you to put in place the right measures to reduce the risk of transmission and support the health and wellbeing of staff, students and the community in the current pandemic.

In the same way that you have, and continue to make plans for academic year 2020 to 2021, prospective and continuing students will be making important decisions about their future studies. We have published guidance for students in HE for 2021 to 2022 academic year and will develop further guidance for the autumn term in line with the latest scientific evidence. We will continue to work closely with organisations that have real-estate, reflect them to work and allow people to progress.

How to use this guidance

As HE providers, you will make your own judgements about your provision, while following the principles set out in this guidance. You should also consider your plans alongside social activities and other operators to ensure that you have the right plans in place to minimise the risk of transmission. You will also need to consider how you will communicate these plans to ensure that you have clear and effective communication in place to help that minimises risks to all students - both domestic and international - and staff, in line with government guidance.

We set out below the range of advice and guidance we expect you to be taking into consideration when you are planning your provision. It is not intended to be exhaustive or prescriptive, as long as it has put in place the risks to students and staff in mitigating.

Each HE provider will need to translate this into the specific actions you need to take, depending on the demographic profile of your staff and student bodies, the nature of your provision, the estate that you have and type of institution, and the location that is organised and operated.

We expect all providers to set out clearly what steps you have taken to ensure that staff and students are aware of the measures in place to keep them safe, and what they can do to their learning as student environment. A successful plan will be communicated.

To help you decide which actions to take, you should carry out an appropriate coronavirus (COVID-19) risk assessment, taking account of your activities and wider related hazards. The Health and Safety Executive provides a helpful risk assessment template and guidance to help you do this. You have an obligations to make an also and sections of your institutions COVID-secure.

Outbreak plans

HE providers should have a plan in place that assumes there is likely to be an increase in the number of cases, or of outbreak associated with their setting, and they may have to temporarily reintroduce some of the measures.

Plans should cover a number of scenarios including:

- increased prevalence of infection locally that requires actions to minimise the wider community, including activities and staff
- a large-scale outbreak that may impact on the activities of the university
- a local lockdown that requires students to remain on site
- a localised outbreak involving a particular student or staff member, faculty or department

Providers should work with the Director of Public Health in their local authority in order to control or manage any outbreak in an integrated fashion. HE providers should agree outbreak plans with their Directors of Public Health and these should be kept up to date.

Higher education tiers of restriction

In certain circumstances, decisions including will be referred to the national level, and an area will be designated an area of intervention. The government's Local Action Committee will consider whether additional national restrictions are required in addition to the local restrictions. In such circumstances, we will work with representatives in a particular area. You are being to retain face to face provision where it is possible to do so safely.

There is a set of HE restrictions relating to teaching provision and operations.

Regardless of the tier of HE restriction currently in place for teaching provision, all HE providers should comply with guidance issued with qualitative closures and wider local restrictions. National Institutes for Health Protection (NIHP) will issue and regularly update guidance for HE providers on the measures to be implemented with regard to moving between university regions.

Unless local intervene. In the event of additional restrictions being imposed locally, providers should work with the Director of Public Health and local authority and also for the family home, which would increase the risk of transmitting the infection.

Explanation of the HE tiers

HE tier 1 (default position)

All HE providers should continue to provide blended learning with face to face tuition while following the provisions of this, and public health guidance (for example, the appropriate use of face coverings).

HE tier 2 (Medium position)

HE providers should move to an increased level of online learning where possible. Practical subjects should continue to be taught in person. Face to face contact should also be risk assessment. Be aware that, in the majority of cases, the HE tiers will be the same as where it is most beneficial (for example, clinical or practical learning and research).

HE tier 3 (stricter restrictions on movement - local)

HE providers should increase the level of online learning to retain face to face provision for priority courses (for example, clinical and medical courses), and is not limited to:

- students should have government guidance published as part of any social restriction. Where it is not possible to maintain face to face provision, providers should make accommodation and not return their family home or other residential accommodation
- HE providers should not encourage students to travel home or to other locations. Students should support students to do so by keeping service for students, such as university libraries and catering facilities open.

HE tier 4 (our most)

We expect the majority of provision to be online, with buildings open only for essential workers. All students who are required to attend in person should be provided with support to learn online.

In the event of a possible outbreak on site, you should refer to the relevant Education Action Card.

Principles for higher education provision

Ensuring a safe working environment for students and staff is the most important step in the outbreak of coronavirus (COVID-19). As provision of HE continued. In considering the principles set out below, you should take account of the risks to staff, students, their families and to the surrounding communities in planning for reopening.

It is for all HE provider, as an autonomous institution, to identify and put in place appropriate plans, in line with the principles and any other relevant guidance issued by the government, public health bodies and professional bodies.

In doing so, we expect that HE providers will give particular consideration to the legal responsibilities of providers to display duty to ensure: protect students from harm, care towards staff, students and visitors, including under the Health and Safety at Work etc. Act 1974 and the Equality Act 2010. Providers will need to continue to comply with those obligations.

Minimising the risk to students

As with other population groups, students are at greater risk of contracting the coronavirus (COVID-19) when mixing outside their regular contractual academic and social bubbles. This is because they are more likely to mix with people they would not usually socialise with when they would otherwise. HE providers should also, where possible, support their students to socialise in COVID-secure environments (for example: campus bars, student unions, sports facilities) and to follow the rule of 6 or the law, as set out in guidance on the Government's Living Safely Guidance. Be aware of the planning ahead, underway for freshers' events that provide COVID-secure entertainment activities in ways that comply with public health guidance.

Shielding and protecting people who are clinically extremely vulnerable from COVID-19

During the period of extended lockdown, individuals who are clinically extremely vulnerable are advised to work from home and those who live in such positive, they are advised not to go into work. Individuals in this group will have been identified through a letter from the NHS or their GP. Where it is not possible to work from home, they can be supported to do so. HE providers should take any other appropriate steps to support their students to socialise in COVID-secure environments (for example: campus bars, student unions, sports facilities) and to follow the rule of 6 or the law, as set out in guidance on the Government's Living Safely Guidance. Be aware of the planning ahead, underway for freshers' events that provide COVID-secure entertainment activities in ways that comply with public health guidance.

Staff who are pregnant

Pregnant women are considered 'clinically vulnerable' or in some cases 'clinically extremely vulnerable' to coronavirus (COVID-19) and therefore require special consideration as vulnerable pregnant guides for different industries.

Employers should carry out a risk assessment to follow the Management of Health and Safety at Work Regulations 1999. This should be informed by consultation with the occupational health department. See the section for reopening buildings and campuses for healthcare workers and for vulnerable people working in other industries. Information about the risks from coronavirus (COVID-19) for pregnant women is available and should be used as the basis for a risk assessment.

Pregnant women of any gestation should not be required to continue working if this is not supported by the risk assessment.

Women who are 28 weeks pregnant and beyond, or are pregnant and have an underlying health condition which means they are at higher risk from coronavirus (COVID-19) as a pregnancy, should take a more precautionary approach. Employers should ensure pregnant women are able to adhere to any action national guidance on shielding and protecting people who are clinically extremely vulnerable from COVID-19 in relation to which group they would previously have been advised to shield).

Find out more from advice for pregnant employees.

Risk assessments

As an employer, you have a legal responsibility to protect workers and others from risk from health and safety, including from the risks of COVID-19. You should prepare a suitable and sufficient assessment of the risks of COVID-19 in the workplace and implement proportionate measures to control the risk. Employers have a duty to ensure that employees on health and safety matters.

Reopening buildings and campuses

You should read Students returning to higher education for spring term for guidance on which students should be allowed to return to campus.

In considering allowing students back onto campus, you should take account of public health guidance on staying safe outside one's home and of public spaces. The public health guidance is clear that people should limit the number of social contacts they have, and should spend as much time as possible outdoors and avoid enclosed spaces where possible. You should consider how you can support students to do this.

You should consider ways to maximise and increase the supply of fresh air, for example by opening windows and doors (including fire doors). You will need to consider making changes to the way your estate is used to ensure that social distancing can be maintained. This will include reviewing the timetabling of activities and events to avoid large gatherings of people, and the use of spaces to ensure that social distancing can be maintained (for example, provided good and external ventilation is maintained). You should also consider how you can support students to do this. You can find more information in the Health and Safety Executive guidance on air conditioning and ventilation during the coronavirus outbreak.

Social distancing on campus

You should consider how to reduce social contact and maintain social distance. This means keeping people 2 metres apart from those they do not live with, where possible. Where this is not possible, people should be 1 metre apart with risk mitigations (which may be used but may if appropriate) and for a shorter period of time. You should consider how you can support students to do this.

In order to determine what level of attendance is appropriate in HE settings and to ensure physical capacity, subject activity, and the assessment of physical distancing on campus, particularly in the forthcoming academic year, you should conduct risk assessments in order to understand:

- the number of students and staff likely to be included in a learning space and how they will be grouped for learning activities
- the availability of staff, including contingency plans should individuals be self-isolating
- the maximum occupancy in increasing the number of individuals on site (for example, cleaning) and how they can be provided as safely as possible
- what measures, in addition to those that have already been put in place during the coronavirus (COVID-19) pandemic, could be implemented to minimise risk, including additional cleaning required of spaces and equipment following use)

Segmentation

Segmentation (such as the creation of small, sub-networks of students and staff) is one of the suite of possible measures to manage and mitigate risks, and to make it easier to identify and limit those who need to take action in the event of a local outbreak.

Providers should understand the potential benefits of segmentation:

- reduction in the risk of transmission (large for instance (SAGE) has advised the benefits of segmentation could be)
- reduction in the risk of transmission (through the use of contact tracing)
- easier to control (smaller number of known contacts)
- less disruptive (small number of people to quarantine or test)

The effective segmentation could reduce the potential use of outbreaks. Segmentation of student/staff populations (for example, by course, year group, accommodation, site, faculty or department) may be helpful in reducing the risk of transmission and enable detection of linked cases and, if necessary, enable more targeted outbreak response. Use of segments may also mean that certain classes or student households could be considered exempt from social distancing requirements within groups.

However, SAGE recognises that there is no one model of segmentation that would suit all HE providers and that there is a trade-off to be considered. For instance the transmission of coronavirus (COVID-19) has further examples.

Additional protective measures

Providers should identify and implement the range of protective measures required to comply with government guidance for safe workplaces, based on an assessment of the risks and mitigations in their circumstances.

This should include but is not limited to:

- enhanced cleaning measures, especially for frequently used areas, surfaces, touchpoints and washrooms
- increased availability of handwashing and hand sanitisation facilities where handwashing facilities are not immediately available
- reducing congestion (such as at the entrance and exit of lifts), including through considering measures such as changes to timetables to stagger arrival or departure times, or one-way systems
- utilising outdoor space
- managing entrances to, exit from and movement around buildings, as well as signage with such measures as one-way entrances, exits and staircases - and use of lifts

Some HE courses, such as vocational training, healthcare related courses, and the performing arts, may pose particular risks of aerosol, droplet and touch transmission and may therefore warrant increased consideration, for example, face coverings, additional cleaning and in accordance with guidance issued for the relevant professional governing arrangements.

Any additional costs would be funded from existing budgets.

Face coverings

Face coverings should be worn as an additional risk mitigation measure by students, staff and visitors, where social distancing is difficult to maintain outside of teaching situations, such as in corridors and communal areas.

Face coverings should not be worn by students for presentations, drama or musical performances, in line with government guidance for audiences of the performing arts.

While teaching and learning activities are taking place, face coverings should not be worn by students, staff or visitors. This is because of the potential impact on communication, mediation, identifying, nuisance, or risk face coverings or other protection should be in line with the relevant professional environment.

Where social distancing is difficult to maintain or spend ventilation is difficult to provide, face coverings should be worn by staff, students and visitors. Government guidance has added the use of face coverings as part of their wider COVID-19 secure measures. In addition to hand hygiene facilities and reduced access, provided the use of a face covering can help to minimise both teaching and learning.

Face coverings are likely to be appropriate in many social settings, including any events that are not part of teaching and learning, such as sports events, in line with the relevant government guidance for similar settings.

Although it is recognised that transparent face coverings may assist communication in certain circumstances, they are not widely available and are not appropriate for use in many scenarios of their effectiveness in preventing aerosol transmission. Face visors or shields are not good alternatives to face coverings - they are designed as additional protection to prevent droplet transmission and not as a single barrier to virus.

Safe wearing of face coverings requires washing hands before and after touching them, avoiding touching the face, and the safe disposal of single-use face coverings or cleaning of reusable face coverings. Where a face covering is worn, it should be worn for the entire time and should be replaced carefully.

There may be further use of face coverings required within provisions as part of agreed additional restrictions in response to an increase in risk levels locally.

Exemptions

In settings where face coverings are required in England there are some circumstances where people may not be able to wear a face covering. Universities and other HE providers should not request evidence, such as a letter or exemption card, from those who state they are exempt, as this is not always a face coverings, and that the decision to do this may not be visible to others.

Individuals do not need to wear a face covering if they have a legitimate reason not to.

This includes:

- if someone has a physical or mental illness or impairment, or a disability, that means they cannot put on, wear or remove a face covering
- if putting on, wearing or removing a face covering would cause someone severe distress

Some students and staff may not need to be able to be read, or see people's faces, in order to communicate (there are comparisons to deaf people). Exemptions from the requirement.

There is further advice on exemptions contained in the face coverings guidance.

Social contacts

To prevent the spread of the virus, there are restrictions on the number of people that someone can spend time with in a group at any one time.

These limits do not apply to:

- gathering for educational or work purposes when reasonably necessary
- meetings of a single household group
- meeting your support bubble or childcare bubble where necessary, but only if you are legally permitted to form one

Further guidance is set out in the Stay at Home guidance.

The government has introduced a new £500 fine for those attending house parties, which will be doubled for repeat offenders, up to a maximum fine of £6,400 (the fixed penalty level of £3,200). These fines will apply to those who attend illegal gatherings of more than 15 people from outside their household. Providers should ensure that students are aware of the revised 'Gatherings' fixed penalty if they are within large-scale student accommodation.

International students and self-isolation

Providers and students will need to have regard to the guidance on travel corridors to the UK. To keep the rate of transmission in the UK as low as possible, all international arrivals, including returning British residents, will be required to self-isolate when they arrive in England, subject to some exceptions (see Regulation 8). Students travelling for work or study (or in order to join a family member who is working or studying) are not exempt from the self-isolation requirements. Failure to self-isolate could result in a fine. Students travelling for work or study (or in order to join a family member who is working or studying) are not exempt from the self-isolation requirements. Failure to self-isolate could result in a fine.

Information is available for international students studying in areas of England, Coronavirus Tier 4 restrictions.

International students and their sponsors can find guidance in the Coronavirus (COVID-19) immigration hub centre at UKVIHomeOffice.gov.uk if they have any further questions about their visa.

Travel Guidance for Erasmus+ and European Solidarity Corps

Providers should continue to support the participants (students, staff and delegates) and

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