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<u>Department</u>

for Education

## Guidance

# Exam centre responsibility for students retaking qualifications from summer 2020 and summer 2021

Updated 27 September 2021

#### Contents

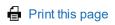
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## 1. Main points

'Exam centres' throughout this guidance refers to:

- state-funded schools and academies
- further education, sixth-form and tertiary colleges
- apprenticeship providers who deliver functional skills qualification (FSQ) assessment in the workplace
- independent training providers who deliver vocational and technical

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qualifications (VTQs)

- state-funded adult and community learning providers
- non-state-funded centres entering a private candidate who the centre entered in summer, did not withdraw and did not charge any further fees for autumn entry to claim for any funding, the private candidate entry 'flag' must be applied for each applicable candidate

In most cases, students entered for qualifications in the 2020/21 academic year will use the grades they receive in summer 2021 to move onto their next step.

There will also be an opportunity for students to sit exams in autumn 2021, or at the first available opportunity in 2022, for:

- GCSEs
- some AS levels
- A levels
- some vocational and technical qualifications (VTQs)
- other general qualifications

In the rest of the document, we refer to these opportunities collectively (for mainly GQs in the autumn and VTQs in January and the spring) as autumn 2021, for ease of reference. Qualifications not regulated by Ofqual, such as iGCSEs and international A levels, are not within the scope of this guidance.

# 2. Summer term 2021 qualification arrangements

Following the cancellation of 2019/20 academic year summer exams, students received their centre assessment grades, except where calculated grades were higher, in which case the calculated grades were awarded instead.

These summer 2020 grades were formal grades, with the same status as grades awarded in any other year, and the vast majority of students have been able to use them to move on to their next steps.

There was an <u>opportunity for students to sit exams in autumn 2020</u> and for some VTQs in the spring 2021 term. These exams acted as a backstop to the summer arrangements.

Students were able to sit them if, for example, they were unhappy with their summer grade, or if they needed an improved result to move onto the next stage of their education. The exams were also available to the group of students for whom there was not enough evidence for a grade to be awarded in the summer.

However, a small number of students who wanted to improve their grade will not

have taken up the opportunity to sit the exams in autumn and will have planned to take exams in summer 2021 instead. For VTQ students, they may have wanted to improve their grade and the earliest opportunity to do so was from January 2021 onwards. Following the announcements on 5 January and 25 February 2021, these students should now have the opportunity to receive a grade in summer 2021 based on teacher assessment of a range of evidence.

This guidance sets out the Department for Education (DfE) expectations in relation to exam centres entering students for the qualifications in scope, and how the cost of fees will be covered.

## 2.1 Students entering for qualifications in summer term 2021

The following section of this guidance relates to all exam centres that are approved to deliver the following exams and assessments whether or not they are state funded:

- GCSEs
- AS and A levels
- VTQs, including FSQ

Section 2 of this guidance applies to students who meet all of the following:

- were entered for exams in summer 2020
- either did not receive a grade in summer 2020 or wish to resit exams to improve on their grade
- did not enter for autumn 2020 or spring term 2021 exams in a subject or were entered but were unable to attend any exams in that subject in that series because they were self-isolating due to COVID-19 exposure or illness

Students who partially meet these conditions (for example, students who did sit autumn exams) can still be entered for qualifications this summer, and exam centres may still choose to fund their entries at their discretion, but they cannot be included in exam centres' claims. This also applies if a student was entered for autumn 2020 exams in a subject, but missed all the exams in that subject for a reason other than COVID-19 self-isolation or illness. This is because the offer for reimbursement applies only to COVID-19 related disruption.

You should also refer to the Awarding qualifications in summer 2021 guidance.

For those VTQs and FSQs in scope, exams officers should ensure they are familiar with relevant guidance published by the awarding organisations they are working with.

# 3. Responsibility for entering resitting students from summer 2020

For students who match the scope outlined in section 2.1, we expect the exam centre that entered them for a qualification in the 2020 summer series to enter them for that qualification in summer 2021, should the student wish them to do so. In the great majority of cases, the exam centre that the student attended will:

- know them
- have existing links with the relevant awarding organisation
- · be aware of any reasonable adjustments that are needed

We expect exam centres to extend these arrangements to those students who:

- were private candidates in summer 2020,
- were on their roll or
- were not on roll but for who they had made, or intended to make, an exam entry

We expect the exam centre to provide private candidates with a refund for their summer 2020 fee, if this has not already happened, if the exam centre:

- is unable to provide a private candidate with a teacher assessed grade this year
- did not provide the private candidate with a centre assessed grade in summer 2020, and
- did not enter the private candidate for autumn 2020 or spring term 2021 exams in a subject, or the private candidate was unable to attend any exams in that subject in that series because they were self-isolating due to COVID-19 exposure or illness

We appreciate that there will be cases where students and exam centres will want to agree different local arrangements between themselves. This will often be sensible, for example where a student has moved and it is a better experience and more practical for them to enter for qualifications at the new institution. Where a different arrangement is made, we expect the original exam centre to refund the student any fees paid for the summer 2020 exam, where this has not already happened.

This does not apply where the student's new exam centre has been funded to support their resits, for example where the student is required to retake GCSE English or Maths as a condition of funding.

Where the student is working with a new exam centre, but the original exam centre may have some pre-existing evidence that could be used to inform the student's grade, the original exam centre is expected to cooperate with the new exam centre in line with JCQ guidance. Exam centres should read the <u>JCQ summer 2021 arrangements guidance</u> for more detail on the use of evidence from other exam centres and established educational providers.

## 3.1 Funding for students retaking qualifications from summer 2020

We expect exam centres to pay fees for all students who are in the scope of this guidance, rather than passing the cost of the entry fee on to students or their families. Where a candidate paid for their qualification in summer 2020, they should not be charged again.

Qualifications in scope for reimbursement for DfE are all GCSE, AS and A levels, and those VTQ and other general qualifications at level 1/2, level 2 and level 3, where students received exam centre assessment or calculated grades in summer 2020 and intended to resit as part of a scheduled assessment opportunity for the qualification in either the autumn 2020 or spring term 2021 series.

## 4. Responsibilities for paying fees

The awarding organisations are responsible for their own approach to charging fees for exams.

However, the government is clear that given the unique circumstances arising from summer 2020 awarding, students themselves and their families should not have to meet the cost of fees if they want to enter in summer 2021 to improve their summer 2020 grade and did not enter in autumn 2020, or missed the autumn exams due to COVID-19, self-isolation or illness.

We expect exam centres to pay fees for students in the scope of this section of the guidance who are entering for qualifications, whether or not those students are on their roll.

Exam centres should not face additional costs for fees for such students, over and above what they would have paid had summer 2020 exams gone ahead.

DfE will provide funding for qualifications in scope, if it is needed, to ensure that exam centres do not incur a net loss, taking into account:

- their fees for exams in autumn 2020
- fees for qualifications in summer 2021
- any rebates or credit notes they receive in respect of summer 2020 exams together

We expect a very small number of entries to be covered by this guidance and the summer 2020 rebates will cover the cost in almost all cases. Where this does not apply, exam centres will be able to request reimbursement from DfE. The value would be the total cost of fees for the eligible entries in autumn 2020 and summer 2021, minus the 2020 summer rebate. As a very small number of students are

expected to be in this situation, any centres believing they can claim a reimbursement must contact DfE via the <u>Education and Skills Funding Agency (ESFA) enquiry form - customer type - DfE online form</u>, clearly marking their correspondence as relating to 'resitting students in summer 2020' and for the attention of the 2021 Qualifications team, by no later than 31 December 2021.

This will also apply to fees incurred for entering students in the spring term 2021 to sit VTQs in scope, for which they received calculated or exam centre assessment results in summer 2020. This does not apply to FSQ assessment fees, as providers will cover these as usual via their ESFA funding agreements.

DfE will not provide funding in relation to any administrative fees charged by exam centres, over and above the fees charged by the awarding organisations. We do not expect exam centres to charge administrative fees to students who were on their roll in summer 2020. Where private candidates in the scope of this guidance have already paid an administrative fee for summer 2020, we would not expect them to be charged twice.

# 5. Responsibility for entering students for autumn exams

In January 2021, the government announced it was no longer fair for GCSE, AS, A level and some VTQ exams to proceed as planned. Instead, students who were due to sit exams have received teacher assessed grades this summer.

Students will also have the option to <u>sit GCSE</u>, A <u>level and some AS level exams</u> in <u>autumn 2021</u>. Some VTQ students will be able to sit exams in autumn 2021 and spring 2022. Students can sit exams if they are not satisfied with their summer teacher assessed grade or if they need an improved result to move onto the next stage of their education, employment or training.

This section applies to students who meet these criteria:

- were entered for a teacher assessed grade (TAG) in summer 2021, or would have intended to enter had exams gone ahead (this includes private candidates)
- any student who was aged at least 16 on 31 August 2021 can take the GCSE English language and maths exams in the November series

For students who are continuing at the exam centre that had made, or intended to make, an exam entry for a qualification in the 2021 summer series, we expect that centre to enter them in the autumn exams, should the student wish them to do so.

We expect exam centres entering students who are continuing at the same centre to extend these arrangements to those students who:

- were private candidates in summer 2021
- were on their roll

If a student has left a centre at the end of the summer, the arrangements for entering the autumn exams will differ depending on their circumstances.

If a student has registered at another centre, the new centre (that is the one they are currently registered with) should enter them for autumn exams.

If a student is entering English or maths this autumn as a <u>condition of funding</u> <u>student</u> (they did not achieve a GCSE grade 4 or above) the exam centre they will be continuing their qualification(s) with is responsible for entering them for the autumn exams where relevant.

If a student left a centre and is not registered anywhere else, the centre that entered them in summer should enter them. This includes private candidates (candidates who were not on roll but for whom the centre had made summer exam entries by 20 March 2021).

## 5.1 Funding for students entering autumn exams

We expect exam centres to pay fees for all students who are in the scope of this guidance (as outlined in <u>section 4</u>), rather than passing the cost of the entry fee on to students or their families. Where a candidate paid for their qualification in summer 2021, they should not be charged again.

We also encourage centres to consider entering students in autumn for subjects they would have entered in summer 2021 but were unable to, for example where the student studied a community language but the centre was not able to provide a TAG for that subject.

Where a student was entered in an exam in summer 2020 and did not receive a grade or wished to improve their grade but was not entered into the autumn 2020 exams, if they were entered in summer 2021 their centre is deemed to have fulfilled the obligation to provide the student with an opportunity to receive a grade in 2021 at no additional cost. The centre is therefore not expected to also enter them in autumn 2021 at no extra cost to the student.

Qualifications in scope for reimbursement for DfE are all GCSE, AS, and A levels, and those VTQ and other Ofqual-regulated general qualifications at level 1/2, level 2 and level 3, where students received teacher assessed grades in summer 2021 and intended to resit as part of a scheduled assessment opportunity for the qualification in either the autumn 2021 or spring term 2022 series. Condition of Funding entries are also covered.

## 5.2 Responsibilities for paying fees

The awarding organisations are responsible for their own approach to charging fees for exams.

However, the government is clear that given the unique circumstances arising from summer 2021 awarding, students themselves and their families should not have to meet the cost of fees if they want to enter in autumn 2021 to improve their summer 2021 grade, or because they were entered for summer 2021 (and not withdrawn) but could not be awarded a grade.

We expect exam centres to pay fees for students in the scope of this guidance who are entering for qualifications, whether or not those students are on their roll.

Exam centres should not face additional costs for fees for such students, over and above what they would have paid had summer 2021 exams gone ahead.

DfE will provide funding for qualifications in scope, if it is needed, to ensure that exam centres do not incur a net loss, taking into account:

- their fees for exams in autumn 2021
- fees for qualifications in summer 2021
- any rebates or credit notes they receive in respect of summer 2021 exams

We expect a very small number of students to be covered by this guidance and the summer 2021 rebates will cover the cost of autumn exam entries in almost all cases. Where this does not apply, exam centres will be able to request reimbursement from DfE. Further detail on the claims process is available in the DfE exam support service: autumn exam fees, sites and invigilators guidance.

This will also apply to fees incurred for entering students in the spring term 2022 to sit VTQs in scope, for which they received teacher assessed grades in summer 2021. This does not apply to FSQ assessment fees, as providers will cover these as usual via their ESFA funding agreements.

DfE will not provide funding in relation to any administrative fees charged by exam centres, over and above the fees charged by the awarding organisations. We do not expect exam centres to charge administrative fees to students who were on their roll in summer 2021. Where private candidates in the scope of this guidance have already paid an administrative fee for summer 2021, we would not expect them to be charged twice.

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