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#### Guidance

# Further education and skills handbook

Updated 26 January 2024

**Applies to England** 

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This guidance came into force on 1 September 2023.

## Introduction

1. This handbook describes the main activities that inspectors carry out when they

inspect further education and skills providers in England under part 8 of the Education and Inspections Act 2006. It sets out the evaluation criteria that inspectors use to make their judgements and on which they report. This handbook applies to inspections under the education inspection framework (EIF).

- 2. The handbook has 2 parts:
- Part 1. How further education and skills providers will be inspected: this contains information about the processes before, during and after inspection
- Part 2. The evaluation schedule: this contains the evaluation criteria inspectors
  use to judge the quality and standards of further education and skills providers and
  the main types of evidence used
- 3. This handbook is a guide for inspectors on how to carry out inspections of further education and skills providers. It is also available to providers and other organisations to inform them about inspection processes and procedures. It balances the need for consistent inspections with the need to respond to each provider's individual circumstances. Inspectors will apply their professional judgement when they use this handbook.

## Types of providers subject to inspection covered by this handbook

- 4. The providers we inspect under the Education and Inspections Act 2006 and using this handbook are:
- further education colleges
- sixth-form colleges
- independent specialist colleges
- dance and drama colleges [footnote 1]
- independent learning providers (including those delivering apprenticeship training up to and including level 7) [footnote 2]
- local authority providers
- designated institutions[footnote 3]
- employer providers
- higher education institutions that provide further education and/or apprenticeship training up to and including level 7 (to the extent of this provision only)

  [footnote 4]

- 16 to 19 academies/free schools
- 5. The term 'further education and skills provider' covers all of these types of provider and all of the types of provision set out in detail at <u>paragraph 90</u> (education programmes for young people, adult learning, apprenticeship provision at all levels, and provision for high needs learners). Apprenticeship training at all levels is understood to be part of skills training. The focus of inspection is on the provider. Not on inspecting the specific individuals that work in providers.
- 6. 'Learner' is a collective term used throughout this handbook to refer to any person aged 16 or over (or, where relevant, 14 or 15 years old) whether they are a student, an apprentice or similar, who is in education or training of the kind set out in paragraph 90.
- 7. 'Teacher' is a collective term used throughout this handbook to refer to teachers, trainers, lecturers, mentors, assessors and staff in similar roles.

## **Conduct during inspections**

- 8. Ofsted's code of conduct outlines our expectations of the conduct of our inspectors and our expectations of providers during inspection.
- 9. Inspectors will uphold the highest professional standards in their work. They will treat everyone they meet during inspections fairly and with the respect and sensitivity they deserve. Inspectors will work constructively with leaders and staff, demonstrating professionalism, courtesy, empathy and respect at all times.
- 10. Providers should approach their inspection with integrity and be open, transparent and honest. This includes providing evidence or access to evidence that will enable the inspectors to report honestly, fairly and reliably. It means not withholding or concealing evidence or providing false, misleading or inaccurate information.

### Gathering personal information on inspection

11. Inspectors will gather any personal information necessary to assist them in inspecting a provider. Our <u>privacy policy</u> sets out what personal information we collect, what we do with it, how long we keep it and individuals' rights under data

- 12. Individuals and organisations are legally required to provide inspectors with access to information. Section 131 of the Education and Inspections Act 2006 gives our inspectors powers of entry to any premises on which a provider provides education or training, and any premises of the provider used in connection with its provision of education or training. Section 132 of the Education and Inspections Act 2006 gives our inspectors a power to take copies of, or take away, any records and documents relating to the education and training being inspected. This power enables inspectors to look at computers and other devices that may hold information.
- 13. Inspectors gather evidence at inspection and record this on Ofsted's systems. They may also see evidence on site that contains personal information about staff and learners. Inspectors may, if necessary, take notes from, or copies of, evidence containing personal information. Any notes or copies will be stored as evidence securely and not retained by inspectors personally. Inspectors may take photographs of learners' work. These photographs will be stored securely as evidence, but will not be retained by the inspector personally. In most providers, inspectors will gather evidence electronically using a range of devices, including laptops, mobile phones and tablets. Inspectors should transfer evidence securely in line with our security policies.

# Part 1. How further education and skills providers will be inspected

# How providers are selected for inspection

- 14. To decide when we should next inspect a provider, we use the 'frequency of inspection' guidance set out below. We also use <u>risk assessment</u> to ensure that our approach to inspection is proportionate, so that we can focus our efforts where we can have the greatest impact.
- 15. We use a broad range of information to assess risk and performance when

selecting providers for inspection. This process applies in particular to good or outstanding providers. The indicators include:

- previous inspection records
- self-assessment reports
- performance data
- destination data
- information provided, or concerns raised, by a funding body, a government regulator, a professional, statutory or regulatory body, or an employer, parent, carer or learner
- the views of learners and employers, gathered through online questionnaires
- relevant local intelligence, such as changes to leaders or structures
- any information about significant changes to the type of provision and the number of learners in a provider
- the size and complexity of a provider, including the number and types of provision, the geographical spread of education or training centres and any recent changes to these
- the outcomes of monitoring visits or any other related activity

16. We can use relevant information received at any point during the year to select providers. We will review this information regularly.

## Frequency of inspection

17. Inspection intervals have been extended where necessary due to the suspension of routine inspections during the COVID-19 pandemic from March 2020. The time extensions are detailed in footnotes. Further changes to inspection timescales took effect from April 2022 onwards, following the government's spending review. These are detailed throughout this section.

### Providers judged outstanding

18. Providers judged outstanding for overall effectiveness at their most recent inspection are now all <u>subject to routine inspection</u>.

- 19. Those judged to be outstanding at their previous inspection before September 2015 will normally receive a full inspection. We will prioritise these inspections.
- 20. The following types of provider will receive a full inspection between September 2022 and September 2025 in any case if currently graded outstanding (or any other grade):
- further education colleges
- sixth-form colleges
- designated institutions (including specialist designated institutions)

This full inspection will be enhanced to cover in more depth the college's contribution to meeting skills needs and a sub-judgement will be made on the college's contribution (see <u>paragraphs 297 to 309</u>).

- 21. Any outstanding provider delivering apprenticeship training (and not covered in paragraph 20) will receive a full inspection by September 2025, unless it has already had a full inspection since April 2021. [footnote 6]
- 22. For any providers that are not covered in <u>paragraphs 20 and 21</u>, the following will apply. Those judged to be outstanding at their previous inspection before September 2015 will normally receive a full inspection by September 2025, which we will prioritise, unless they have already had a full inspection since April 2021. Those providers judged outstanding from September 2015 onwards at their previous inspection will normally receive a short inspection by September 2025, unless they have already had an inspection since April 2021. For more information, see the <u>section on short inspections (paragraphs 179 to 209)</u>.
- 23. Any outstanding provider in the following categories will receive an inspection as set out in paragraph 22:
- independent specialist colleges
- local authority providers
- higher education institutions that provide further education and/or apprenticeship training up to and including level 7 (to the extent of this provision only)

This inspection will be within 6 years of the report publication date of their previous inspection or by September 2025, whichever is the sooner.

24. Subsequent inspections of an outstanding provider would normally take place within 6 years of the publication of the inspection report of a provider being judged to be outstanding overall. A provider judged as outstanding may also be inspected as

part of our survey or research work, or through a monitoring visit.

### Providers judged good

- 25. Providers judged good for overall effectiveness at their most recent inspection will normally be inspected within 5 years of the publication of their previous inspection report (extended to 7 years where the suspension of routine inspections during the COVID-19 pandemic had an impact on inspection activity). All good providers will be inspected between April 2021 and September 2025. [footnote 7]
- 26. The following types of provider will receive a full inspection between September 2022 and September 2025 in any case if currently graded good (or any other grade):
- further education colleges
- sixth-form colleges
- designated institutions (including specialist designated institutions)
- 27. This full inspection will be enhanced to cover in more depth the college's contribution to meeting skills needs and a sub-judgement will be made on the college's contribution (see <u>paragraphs 297 to 309</u>).
- 28. Any good provider delivering apprenticeship training (and not covered in paragraph 26) will receive a full inspection by September 2025 (unless it has already had a full inspection since April 2021). [footnote 8]
- 29. For any providers that are not covered in <u>paragraphs 26 and 28</u>, the following will apply. They will normally receive a short inspection by September 2025 (unless they have had an inspection since April 2021). However, they may receive a full inspection if information suggests that this is the most appropriate way forward. This includes, for example, if there are concerns about safeguarding, if the provider's performance has declined or if its provision has changed substantially. For more information, see the <u>section on short inspections (paragraphs 179 to 209)</u> and <u>paragraphs 14 to 16</u> on selecting providers. A good provider may also be inspected as part of our survey or research work, or through a monitoring visit.

## Providers judged to require improvement

- 30. Providers judged to require improvement at their most recent inspection will normally receive a full inspection within 12 to 30 months of the publication of their previous inspection report. [footnote 9] These providers will normally be subject to a monitoring visit before the full inspection, normally 7 to 13 months after the publication of their previous inspection report (see paragraph 68). [footnote 10]
- 31. If the provider is a further education college, a sixth-form college or a designated institution, this full inspection will be enhanced to cover in more depth the college's contribution to meeting skills needs and a sub-judgement will be made on the college's contribution (see <u>paragraphs 297 to 309</u>).

## Providers judged inadequate

- 32. Providers judged inadequate will receive reinspection monitoring visits, as outlined in paragraphs 69 to 71. These providers will then receive a full inspection (known as a 'reinspection') within 15 months of publication of their previous full inspection report, provided they continue to be funded. [footnote 11] The major exceptions to this are providers that no longer receive their main funding or that have been removed from the apprenticeship provider and assessment register (APAR) and deliver only apprenticeship provision. From that point, neither a reinspection monitoring visit nor a full reinspection will normally take place. If a provider has apprenticeship provision graded inadequate and has also been removed from the APAR but still has other substantial funding, that apprenticeship provision will not normally be covered as part of the reinspection monitoring visit and subsequent reinspection.
- 33. If the provider is a further education college, a sixth-form college or a designated institution, this full inspection will be enhanced to cover in more depth the college's contribution to meeting skills needs and a sub-judgement will be made on the college's contribution (see <u>paragraphs 297 to 309</u>).

### **New providers**

34. We will normally carry out a monitoring visit to any provider that becomes newly, directly and publicly funded to deliver education and/or training. [footnote 12] This funding may be received from the Education and Skills Funding Agency (ESFA), from a mayoral or combined authority (MCA) or the Greater London Authority (GLA)

in the case of adult education, through the apprenticeship levy or from the DfE or a local grant area for Skills Bootcamps. The monitoring visit will normally take place within 24 months of the provider starting to deliver directly funded provision. [footnote]

New providers starting to deliver provision from April 2021 onwards will normally receive their new provider monitoring visit within 18 months of starting to deliver. For more details about monitoring visits, see paragraphs 58 to 67.

- 35. New providers will normally receive their first full inspection within 24 months of the publication of their monitoring visit report. [footnote 14] From April 2022 onwards, new providers will normally receive their first full inspection within 18 months of the publication of their monitoring visit report. That will apply to providers whose monitoring visit reports were published from 1 April 2022 onwards. When a provider receives one, or more than one, judgement of insufficient progress at its monitoring visit, it will normally receive a full inspection within 6 to 12 months of the publication of the monitoring visit report. We may carry out a full inspection of these providers without carrying out a monitoring visit, where appropriate. [footnote 15]
- 36. If a provider has made insufficient progress in safeguarding, it will normally receive one further monitoring visit to review only its safeguarding arrangements within 4 months of the publication date of its previous monitoring visit report. [footnote 16]

## Providers with level 6/7 apprenticeship provision

- 37. Since 1 April 2021, Ofsted has been responsible for <u>inspecting apprenticeship</u> training (as defined in <u>paragraph 90</u>) at levels 6 and 7 (both degree and non-degree) as well as at levels 2 to 5.
- 38. How this affects providers in different categories is set out below. [footnote 17]

## Providers with level 6/7 apprenticeship provision that have already received a full inspection

39. This relates to existing providers that have already received a full Ofsted inspection (whether under the EIF or previous inspection frameworks) and that deliver level 6/7 apprenticeship training. When they next receive a full or short inspection or monitoring visit, we will inspect their level 6/7 apprenticeship provision alongside any level 2 to 5 apprenticeship provision and any other provision they deliver subject to Ofsted inspection (as set out in <u>paragraph 90</u>). The timing of their inspection will depend on their overall effectiveness grade, as usual and as set out

## Providers with level 6/7 apprenticeship provision and no other provision subject to Ofsted education inspection

- 40. Providers that only delivered apprenticeship provision at levels 6 and 7 as at 1 April 2021, and had been delivering no other provision that is subject to Ofsted inspection (see <u>paragraph 90</u>), were regarded as new providers from April 2021. New providers of levels 6 and 7 only provision will have normally received their first new provider monitoring visit within 18 months of 1 April 2021.
- 41. These providers will then receive a full inspection. This will take place within 24 months of the publication of the monitoring visit report where this was published prior to April 2022, and within 18 months where this was published from April 2022 onwards. When a provider receives one, or more than one, judgement of insufficient progress at its monitoring visit, it will normally receive a full inspection within 6 to 12 months of the publication of the monitoring visit report. <a href="Paragraph 36">Paragraph 36</a> will also apply where a provider has made insufficient progress in safeguarding.

## New providers with level 6/7 apprenticeship provision that have not yet received their monitoring visit or full inspection

- 42. New providers that delivered apprenticeship provision at levels 6 and 7 as well as at levels 2 to 5 as at April 2021, and had not previously received a monitoring visit or a full inspection, will normally have received a monitoring visit within 36 months of the date they started to deliver apprenticeship provision (on account of COVID-19). New providers starting to deliver any apprenticeship provision (or other relevant funded provision) for the first time from April 2021 onwards will normally have received their new provider monitoring visit within 18 months of starting to deliver (see <a href="mailto:paragraph34">paragraph34</a>). The monitoring visit will take account of apprenticeship provision at all levels. <a href="mailto:lifotnote19">[footnote19]</a>
- 43. These providers will then receive a full inspection. This will take place within 24 months of the publication of the monitoring visit report where this was published prior to April 2022, and within 18 months where this was published from April 2022 onwards. When a provider receives one, or more than one, judgement of insufficient progress at its monitoring visit, the full inspection will usually be within 6 to 12 months. <a href="Paragraph 36">Paragraph 36</a> will also apply where a provider has made insufficient progress in safeguarding.

New providers with level 6/7 apprenticeship provision that have received a new provider monitoring visit, but not a full inspection

44. New providers that had received their first new provider monitoring visit but had not yet received their full inspection by April 2021 are normally inspected within 40 months of the date of publication of their new provider monitoring visit if the visit happened before April 2021. The full inspection will take place within 24 months of the publication of the monitoring visit report if the report was published before April 2022 but after April 2021, and within 18 months if it was published from April 2022 onwards.

## **Newly merged colleges**

45. A newly merged college will normally receive a full inspection within 3 years of the merger. [footnote 20] This will normally be a full inspection. For inspection purposes, regardless of the type of merger, we view all merged colleges as new colleges. [footnote 21] A newly merged college will not carry forward any inspection grades from predecessor colleges. It will have no inspection grade until the first full inspection.

46. Any newly merged college may receive a monitoring visit at any reasonable time. A newly merged college will normally receive a monitoring visit before the first full inspection if the overall effectiveness grade of one or more of the predecessor colleges was requires improvement or inadequate. [footnote 22] These monitoring visits will focus on relevant themes about the progress of the merged college, including from the most recent report(s) of the predecessor college(s). Monitoring visits will normally arrive at progress judgements against these themes as set out in paragraph 60. The monitoring visit report will normally be published. Concerns about risks arising from this or other sources may lead to an earlier full inspection. [footnote 23]

## Sixth-form colleges that convert to become 16 to 19 academies

47. Sixth-form colleges that convert to become 16 to 19 academies will be treated according to their most recent inspection outcome. Those that were judged outstanding will receive either a full or short inspection by September 2025, unless they have had an inspection since April 2021 (see paragraph 22). Those that were good will normally receive a short inspection within 7 years of the publication of their previous inspection report, and certainly by September 2025 (unless they have had an inspection since April 2021), but may receive a full inspection within that time frame if risks are identified (see paragraphs 28 and 29). Those judged as requires

improvement will normally be inspected within 12 to 30 months of the publication of the previous report. They will normally receive a monitoring visit within 7 to 13 months of the publication of the previous report (as set out in <u>paragraph 30</u> and <u>paragraph 68</u>). Those judged inadequate will normally be inspected within 15 months of the publication of the report of the previous inspection of the converted college. They will normally receive reinspection monitoring visits as set out in <u>paragraph 32</u> and <u>paragraphs 69 to 71</u>. A 16 to 19 academy/free school that is rebrokered (transferred to a new sponsor) for performance reasons will be treated as a new provider from the point of re-brokerage for the purposes of inspection.

## Types of inspection

## **Full inspection**

- 48. Providers that are graded as requires improvement or inadequate will undergo a full inspection as outlined in <u>paragraphs 30 and 32</u>. Inspectors grade the overall effectiveness, the types of provision and the other key judgements areas in accordance with the criteria and grade descriptors set out in part 2 of this handbook. [footnote 25]
- 49. Providers judged as outstanding will have a full inspection if they fall into the categories set out in <u>paragraphs 20 to 22</u>.
- 50. Providers judged as good will have a full inspection if they fall into the categories set out in <u>paragraphs 26 and 28</u>. They may also have a full inspection if they fall into the category of provider as set out in paragraph 29 if, for example, there are concerns about safeguarding, their performance has declined or the provision in scope for inspection has changed substantially.
- 51. New providers will normally receive a full inspection once they have had a new provider monitoring visit as set out in paragraphs 34 to 36 and paragraphs 40 to 44.
- 52. Ofsted reserves the right to carry out a full inspection of any provider at any reasonable time.

### **Short inspections**

- 53. Providers judged good at their previous inspection will normally receive a full inspection if they fall into categories set out in <u>paragraphs 26 and 28</u>. They will normally receive a short inspection, but may receive a full inspection if they fall into categories set out in paragraph 29.
- 54. Providers judged outstanding whose previous inspection took place before September 2015 will normally receive a short inspection next, providing that they do not fall into the categories for full inspection set out in <u>paragraphs 20 to 22</u>.
- 55. A short inspection will determine primarily whether the quality of education/training that learners receive is good (in the case of a good provider) or outstanding (in the case of an outstanding provider). For information about short inspections, see <u>paragraphs 179 to 209</u> of this handbook.

## **Enhanced inspections of colleges**

56. From September 2022, inspections of further education colleges, sixth-form colleges and designated institutions (including specialist designated institutions) will be full inspections. They will also be enhanced to cover in more detail the college's contribution to meeting skills needs, including a sub-judgement on the college's contribution to meeting skills needs. This is covered in more detail in paragraphs 297 to 309.

### Survey and research visits

57. We may visit any provider as part of our surveys, review and research work, based on our national priorities. We may carry out visits at any reasonable time. We may carry out research during our inspections. Where this happens, the research activity will have no impact on inspection judgements. As our research work is based on our national priorities, we may invite providers to participate in research visits (separate to inspections) at other times.

## **Monitoring visits**

58. A monitoring visit is an interim type of inspection that explores one, or more than one, specific theme. The purpose of a monitoring visit is to assess progress against these themes to encourage improvement, assess risk and measure progress. We may carry out a monitoring visit of any provider at any reasonable time. For example, if we have significant cause for concern, such as about safeguarding or a breakdown in leadership and management, we may carry out a monitoring visit focused on that specific issue.

59. Providers will normally receive 2 working days' notice of a monitoring visit, although they may be unannounced where that is considered appropriate. They will normally last up to 2 days on site. They will normally result in a published monitoring visit report. Concerns arising from monitoring visits may lead to an earlier full inspection or to a further monitoring visit as appropriate.

60. We will normally use one of the following progress judgements on monitoring visits:

- insufficient progress: progress has been either slow or insubstantial or both, and the demonstrable impact on learners has been negligible [footnote 26]
- reasonable progress: the provider's actions are already having a beneficial impact on learners, and improvements are sustainable and are based on the provider's thorough quality assurance procedures
- significant progress: progress has been rapid and is already having considerable beneficial impact on learners

61. Monitoring visits follow a similar process to that set out in part 1 of this handbook, except where otherwise noted. Inspectors will make progress judgements based on the themes explored on that visit. They will use part 2 of this handbook as the broader context for monitoring visits.

## Monitoring visits to providers that are newly directly publicly funded

62. We will normally carry out a monitoring visit to any provider that becomes newly directly and publicly funded, as set out in <u>paragraph 34</u>. This visit will normally be carried out within 24 months of starting to deliver the directly funded provision. New providers starting to deliver provision from April 2021 onwards will normally receive their new provider monitoring visit within 18 months of starting to deliver. These monitoring visits will follow themes. In each case, inspectors will review:

- progress in leadership and management in the first theme
- progress in providing a high-quality of education or training in the second theme
- progress towards ensuring effective safeguarding arrangements in the third theme

63. The precise wording of the themes for the most commonly recurring types of new provider are as follows.

Type of new provider	Leadership and management theme	Quality of education and/or training theme	Safeguarding theme
Providers newly directly funded to deliver apprenticeship training provision from or after April 2017 and new providers of level 6 or 7 apprenticeship provision [footnote 27]	How much progress have leaders made in ensuring that the provider is meeting all the requirements of successful apprenticeship provision?	What progress have leaders and managers made in ensuring that apprentices benefit from high-quality training that leads to positive outcomes for apprentices?	How much progress have leaders and managers made in ensuring that effective safeguarding arrangements are in place?
Providers newly directly funded to deliver adult learning provision from or after August 2017 [footnote 28]	How much progress have leaders and managers made in designing and delivering relevant adult learning provision that has a clearly defined purpose?	How much progress have leaders and managers made to ensure that learners benefit from high-quality adult education that prepares them well for their intended job role, career aim and/or personal goals?	How much progress have leaders and managers made in ensuring that effective safeguarding arrangements are in place?
New independent specialist colleges newly ESFA funded	How much progress have leaders and managers made in designing and	How much progress have leaders and managers made in ensuring that learners benefit from high-quality learning	How much progress have leaders and managers made in

from August 2018 onwards	delivering relevant learning programmes that are clearly defined and tailored to suit the individual needs of learners?	programmes that develop their independence, communication and skills, and help them to achieve their personal and/or work-related goals?	ensuring that effective safeguarding arrangements are in place?
New 16 to 19 academies directly funded from August 2019 onwards and new providers that deliver only 16 to 18 provision	How much progress have leaders and managers made in designing and delivering relevant education programmes that have a clearly	How much progress have leaders and managers made to ensure that learners benefit from high-quality education programmes for young people that prepare them well for their intended job role, career aim and/or	How much progress have leaders and managers made in ensuring that effective safeguarding arrangements

64. We will adapt these themes to apply to other types of new providers as necessary to ensure best fit. They will still follow the format and approach set out above.

personal goals?

are in place?

defined purpose?

- 65. For these new providers, inspectors make progress judgements by taking into account that the provider is newly directly funded and by considering the impact of actions taken to develop the necessary knowledge, skills and behaviours of learners.
- 66. If the provider has other types of provision, each type of provision will be covered by a separate theme and progress judgement. [footnote 29]
- 67. If a provider is judged to have made insufficient progress in the safeguarding theme, it will normally receive one further monitoring visit to review only its safeguarding arrangements within 4 months of the previous monitoring visit.

## Monitoring visits to providers judged to require improvement

68. We will normally carry out a monitoring visit to a provider that has been judged to require improvement. The visit will normally take place between 7 and 13 months

from the publication of its inspection report in which it was judged to require improvement. Inspectors will make progress judgements on the main areas for improvement identified in that report. The monitoring visit will result in a published report. Through the monitoring visit, inspectors will challenge the provider to improve so that it can become good by the next full inspection. [footnote 30] The report will set out what progress the provider has made since the previous inspection.

## Reinspection monitoring visits to providers judged to be inadequate

- 69. We normally carry out reinspection monitoring visits to providers found to be inadequate overall after the publication of their inspection report, except when their main funding has been terminated and/or they have been removed from the APAR and they deliver only apprenticeship provision. [footnote 31]
- 70. Reinspection monitoring visit themes will be based on the main areas for improvement identified in the inspection report. Inspectors will make a progress judgement against each theme as set out in <a href="mailto:paragraph 60">paragraph 60</a>. The reinspection monitoring visit report will be published. Through the monitoring visit, inspectors will challenge the provider to improve. The report will set out what progress the provider has made since the previous inspection.
- 71. The first reinspection monitoring visit will normally take place within 6 months of the date of publication of the previous inspection report if the provider continues to be funded. [footnote 32] A second reinspection monitoring visit will normally take place, if necessary, within 10 months of the date of publication of the previous inspection report, if the provider continues to be funded. [footnote 33]

### Interim visits and progress monitoring visits

72. We suspended routine inspection activity between 17 March 2020 and 15 March 2021. During that time, we carried out the following forms of interim inspection activity.

#### Interim visits

73. Between September and December 2020, we carried out interim visits to a

range of providers. We published the reports. These included no specific judgements or recommendations.

#### **Progress monitoring visits**

74. From 25 January 2021 to 19 March 2021, we carried out <u>progress monitoring</u> <u>visits (PMVs)</u> to:

- providers that were judged to require improvement and that had not yet received a monitoring visit since their previous full inspection
- providers that were judged inadequate and that had not yet received a monitoring visit since their previous full inspection or that were due their second reinspection monitoring visit (if they continued to be publicly funded)
- new providers (as per <u>paragraphs 34 and 35</u>) that were due a full inspection and that had received a new provider monitoring visit 2 years ago or more (subject to a provider's individual circumstances)
- other providers as necessary
- 75. These PMVs were a type of monitoring visit so we published their reports and these included a single progress judgement against the following overall theme:
- What progress are leaders and those responsible for governance making to ensure that staff teach a curriculum and provide support to meet learners' needs, including the provision of remote/online learning?
- 76. We carried out PMVs under the national lockdown in force at that time. Therefore, we did them remotely. This limited our ability to provide the same level of assurance that on-site activity would provide.
- 77. Following the PMV, a provider that requires improvement would receive a requires improvement monitoring visit (RIMV), following normal arrangements. However, these providers may have chosen to forgo their RIMV and go straight to a full reinspection as their next inspection activity.
- 78. Following the PMV, an inadequate provider would normally receive a reinspection monitoring visit (RMV), following normal arrangements. However, these providers may have chosen to forgo their RMV (or both RMVs if they are due 2 visits) and go straight to the full reinspection as their next inspection activity.
- 79. Following a PMV, all other providers will receive whatever inspection they would expect under normal arrangements. We will take into account the outcome of a provider's PMV when reviewing the timing of its next full inspection.

#### **Pilots**

80. From time to time, we may pilot different approaches to inspection. We will provide details for this on a case-by-case basis.

## Scope of inspection

- 81. We will normally inspect providers that have one or more of the following that they are directly responsible for:
- direct funding from ESFA
- an advanced learner loans facility from ESFA
- adult education funding from the GLA and/or one of the mayoral and combined authorities (MCAs)<sup>[footnote 34]</sup>
- apprenticeship training provision funded through the apprenticeship levy[footnote 35]
- Skills Bootcamp funding from the DfE, an MCA or the GLA or other local grant area
- 82. Subcontracted provision that is part of the directly funded provider's responsibility is also within the scope of inspection. As part of the inspection, inspectors may look at any provision carried out on behalf of the provider by subcontractors or partners. Typically, visits to, or communications with, subcontractors are likely to include inspecting the direct contract holder's arrangements to quality assure and improve the provision.
- 83. Inspections will not include provision that the provider operates under subcontracted arrangements on behalf of other providers.
- 84. Ofsted reserves the right to inspect and grade any subcontractor and its provision as a separate entity against the EIF and this handbook.
- 85. Any provision that is part of a pilot scheme is normally outside the scope for inspection. Provision funded by the European Social Fund is normally outside the scope of inspection.

## Inspecting residential provision in colleges

86. We inspect residential accommodation in colleges taking into account the national minimum standards for the accommodation of students aged under 18. The standards apply to 'institutions within the further education sector', as defined by section 91 of the Further and Higher Education Act 1992, which have residential accommodation for 16- and 17-year-olds. These inspections are separate from the inspection of the education and training provision of the college described in this handbook.

87. Our social care inspectors carry out these inspections. The approach is set out in the <u>'Social care common inspection framework (SCCIF): residential provision of further education colleges</u>. If a college is registered as a care home, the Care Quality Commission inspects the accommodation.

## Inspection of religious education and collective worship in relation to Catholic sixth-form colleges

88. In the case of these colleges, the relevant Catholic diocese will inspect their denominational religious education, Catholic ethos and the content of collective worship. Our inspectors will not comment on the content of religious worship or on denominational religious education. Inspectors may visit lessons and assemblies in order to help them evaluate how these contribute to students' personal development and behaviour and attitudes.

## What inspections will cover

89. On a full inspection, inspectors will make an overall effectiveness judgement and key judgements on:

- the quality of education
- behaviour and attitudes
- personal development
- leadership and management

90. They will also make judgements on each major type of provision offered, as set out in the table below.

## Type of provision

#### **Description of provision**

Education programmes for young people

Provision funded through the ESFA 16 to 18 classroom-based funding stream for study programmes and traineeships for those aged 16 to 18 and for ESFA-funded full-time provision for 14- to 16-year-olds enrolled in colleges. This may include provision funded through the 16 to 19 tuition fund. From 1 September 2023, T-level technical education programmes (and T-level transition programmes) are included in the scope of regular inspections covered in this handbook. This includes provision funded through the Dance and Drama Awards. It does not include provision funded through the national tutoring fund.

## Adult learning programmes

Provision funded through the adult education budget (AEB) and/or advanced learning loans, including community learning and employability training for learners aged 19 and over referred for training by Jobcentre Plus. This may include adult education provision funded by the GLA and/or MCAs through their AEB (including Skills Bootcamps funded through the AEB). It includes Skills Bootcamps funded through the Skills for Life/National Skills Fund programme with effect from 1 April 2023, whether funded directly by the DfE, or through an MCA, local authority or local enterprise partnership (LEP). It also includes free courses for jobs provision. It does not cover the diploma for education and training (DET) or the Multiply programme.

## Apprenticeships (at all levels)

Apprenticeship training at all levels: that is levels 2 to 7 funded by the ESFA and/or through the apprenticeship levy in whatever type of provider they are delivered. [footnote 36] This includes, from 1 April 2021, apprenticeships at levels 6 and 7 (whether degree or non-degree).

The whole of a provider's apprenticeship provision is inspected, graded and reported on collectively, irrespective of the level(s) of provision delivered. The inspection of the level 5 learning and skills teacher apprenticeship standard and level 6 teacher apprenticeship fall under the <u>initial teacher education inspection</u> <u>framework and handbook</u> and not the education inspection framework and so not on inspections covered by this handbook.

Provision for
learners with
high needs

Provision for learners for which providers receive high-needs funding in addition to 16 to 18 ESFA funding for study programmes and/or 16 to 18 apprenticeships. Learners up to the age of 24 may be eligible for this funding.

- 91. Inspectors will take account of all types of provision within the scope of the inspection. They will grade and report on the types of provision. If the number of learners in a particular type of provision is very low and/or the provision is very new and inspectors cannot gather sufficient evidence to arrive at a graded judgement, it will not be graded. [footnote 37]
- 92. The quality of provision for learners with high needs and with special educational needs and/or disabilities (SEND), a much broader group than those attracting highneeds funding, will always be considered during the inspection of any type of provision.
- 93. Sector subject areas will not be graded or reported on separately. However, inspectors may use their subject expertise to contribute to the evidence base for types of provision judgements, key judgements and progress judgements. [footnote 38]
- 94. The lead inspector will normally confirm to the provider which types of provision will be graded separately.

## Before the inspection

- 95. The lead inspector's planning will focus primarily on how inspectors will gather evidence of learners' experiences, to evaluate the different types of provision offered by the provider against the 4 key judgements of the EIF.
- 96. Inspectors' evidence-gathering will be primarily made up of focused curriculum reviews or 'deep dives', including lesson/session/lecture/workshop visits, scrutinising learners' work/training and assessment, and discussions with learners and teachers/ trainers. Inspectors may carry out some inspection activities jointly with members of the provider's staff.
- 97. Inspectors may carry out inspection activities during planned visits to learners who are working at their employer's premises or at subcontractor's premises. These visits give inspectors the opportunity to hold discussions with learners and employers, to discuss learning programmes and to look at learners' work. Inspectors

may carry out interviews/discussions with learners, employers, staff, those responsible for governance, subcontractors and others via telephone, video calls or webinars.

98. In the case of enhanced inspections of further education colleges, sixth-form colleges and designated institutions (including specialist designated institutions), inspectors' evidence gathering will also focus on the college's contribution to meeting skills needs and its engagement with employers and other stakeholders, and so will involve a number of meetings and discussions with those parties. [footnote 39]

## The role of the nominee in inspection

99. Each provider is invited to nominate a senior member of staff to act as the provider's main link with the inspection team. The nominee should:

- have a detailed understanding of the provider's programmes and operations, including, where appropriate, those of subcontractors
- be sufficiently senior to ensure the cooperation of staff at all levels
- have authority to carry out the role with autonomy

100. The nominee's responsibilities include:

- providing information for the lead inspector to support inspection planning
- liaising with the lead inspector and ensuring that documents and other information are available, and that staff can attend meetings
- briefing the provider's staff about arrangements
- informing learners and employers about the inspection [footnote 40]
- informing learners, employers, parents, carers and staff that they can give their views through the relevant online questionnaires (see <u>'Seeking the views of</u> <u>learners, parents and carers, employers and staff'</u> below)[footnote 41]
- attending team meetings, in particular the final grading/judgement team meeting
- coordinating feedback arrangements, in particular at the end of the inspection

101. A further education college, sixth-form college or designated institution that is subject to a full enhanced inspection may also nominate a skills nominee to liaise with inspectors who will be focusing on gathering evidence relating to the college's contribution to meeting skills needs.

102. Providers, other than further education colleges, sixth-form colleges or designated institutions, may, if they wish, have a shadow nominee as well as their nominee. This is for staff developmental purposes. The shadow nominee will act as an observer and support for the provider and the nominee. They will not normally contribute to discussions with inspectors. This does not affect the role of the nominee as set out above and how inspection team meetings are managed.

## **Notification of inspection**

103. Notification of all types of further education and skills inspections and monitoring visits normally takes place up to 2 working days before the inspection, unless the inspection is unannounced (or it is an inspection of a large and complex provider/enhanced inspection – see below). Ofsted reserves the right to carry out unannounced inspections or monitoring visits.

104. We will normally notify the provider (usually the senior leader of the provider – the Principal, CEO or equivalent) on the morning of the notification day with a call and will then email the notification letter. During the call, the senior leader will want to establish for the lead inspector who they want to act as the inspection nominee (and as the skills nominee if it is an enhanced inspection), whether they will have a shadow nominee and whether the senior leader will attend the planning call. The lead inspector will then contact the nominee, normally by phone, as soon as possible and by the following morning at the latest. The lead inspector should make sure that:

- · good communications and effective working relationships are established
- the arrangements for the inspection are confirmed

## Notification of enhanced college inspections and of inspections of other large and complex providers

105. In the case of a full inspection of a further education college, sixth-form college or designated institution (including specialist designated institutions) and of some other large and complex providers, from September 2023 we will normally notify the provider of the inspection 5 or 6 working days before the inspection starts. [footnote 42] From this point, the whole planning of the full inspection will begin. That includes planning the 'deep dives' and other inspection activities, as set out in paragraph 96, and preparation for the skills aspects of enhanced inspections of colleges, as set

out in paragraph 98 and paragraph 106. We will normally notify the provider on the morning of the notification day and will email the notification letter. The lead inspector will then contact the provider, normally by phone, as soon as possible during the notification day. On a college inspection, the lead inspector should make arrangements to meet the chair of governors, and any governors who wish to meet with the inspection team, if at all possible.

# Planning for meetings with stakeholders for enhanced college inspections

106. During the notification call for a full college inspection, the inspector will ask the college to set up meetings during the inspection with key employers and other stakeholders that it engages with to meet local, regional and national skills needs. The college should arrange meetings with those partners and stakeholder it believes are key in helping to realise its skills strategy. The key stakeholders may be drawn from any or all of these groups (the examples are illustrative and not exhaustive):

#### Civic

Local or regional government bodies. These might include: local authorities (including county, district, borough or city councils and unitary, mayoral and combined authorities), local enterprise partnerships (LEPs), regional development bodies and agencies, Jobcentre Plus

#### **Employers**

Small, medium and large employers and businesses, and bodies representing employers, such as chambers of commerce, the Federation of Small Business (FSB) and the Confederation of British Industry (CBI), relevant employer representative bodies as designated in accordance with section 2 of the Skills and Post-16 Education Act 2022

## Education providers

Other providers of education including other colleges, universities/higher education institutions, independent learning providers, community learning and skills providers, schools

#### Community

Community representative groups, local authority projects or initiatives that train or bring into education those furthest from employment or training, or that target particular neighbourhoods, areas and communities

107. The lead inspector may also ask the college to send a summary of how it contributes to meeting skills needs.

## Planning for the inspection

108. As soon as the provider has been notified of the inspection, staff should draw together the information in <u>paragraph 111</u>. These should be working documents and not prepared specifically for the inspection. Inspectors should keep the review of documentation to a minimum. Providers are not expected to prepare anything extra for inspectors.

109. Inspectors should be aware that the provider will need to accommodate the inspection while still managing day-to-day operations.

110. While it is important that we carry out our inspections as planned wherever possible, sometimes there may be a reason why an inspection may not go ahead, and so a provider may request a deferral of its monitoring visit or inspection. We will decide whether this should be granted in accordance with our <u>deferral policy</u>. The lead inspector will liaise with the provider to ensure that, wherever possible, the inspection or monitoring visit can go ahead.

111. To ensure that the lead inspector has a clear understanding of the scope and range of provision and information needed to plan the logistics of the inspection, the nominee will send the following information, as applicable, as soon as possible:

- details of the courses/programmes being delivered and their mode of delivery, including through remote and/or online delivery
- timetables for lessons/sessions/lectures/seminars/workshops or other learning activities during the week
- a list of provider staff and a diagram of the organisational structure
- the geographical spread of training premises and learners, particularly work-based learners and apprentices, according to regions or sub-regions
- the names and location of employers
- the names and location of subcontractors
- contact information for key staff
- the current number of learners in the following age groups: 14 to 16; 16 to 18; 19+

#### [footnote 44]

- the current overall number of learners (excluding apprentices) at level 1 or below, level 2, level 3 and level 4/5, by subject area
- the current number of apprentices at each level, according to age groups: 16 to 18, 19 to 24, and 25+, by subject area and by apprenticeship framework or standard
- the current number of learners following employability programmes/skills bootcamps and those who have attended in the previous 12 months
- details of learners who are on a study programme but who are not working towards a substantial qualification
- the current number of learners on community learning programmes and those who have attended in the previous 12 months
- the current number of learners with SEND and the number of learners for whom high-needs funding is received, and their particular needs
- 112. To ensure that the provider understands the inspection process, the lead inspector will hold a telephone planning meeting with the nominee. [footnote 45] The senior leader and shadow or skills nominee (or other senior managers) may also attend. The nominee(s) and senior leader will be able to share information with each other and provide mutual support throughout the inspection. Inspectors should take account of the well-being of leaders and staff and adjust their approach or activity as appropriate in the best interest of learners. Where appropriate, inspectors will consider suitable adjustments to allow the staff member to continue. Where appropriate, inspectors will inform those responsible for the person's well-being.
- 113. The lead inspector will use the telephone planning meeting to arrange how information is made available to inspectors. The provider should inform all subcontractors' staff, current learners, employers and other users about the inspection. It should emphasise that inspectors may visit any sessions involving learners either on the provider's premises or at other locations, including learners' workplaces or online.
- 114. During the planning call with the inspection nominee, the lead inspector will seek to understand the specific impact of COVID-19 on the provider and learners and how leaders and managers had responded. The lead inspector will give the provider an opportunity to raise any issues or concerns or to seek clarification before the inspection and will explain how the provider will be able to raise any matters during the inspection itself.
- 115. The lead inspector will discuss with the nominee the inspectors' schedule, the activities that inspectors will carry out face to face and the activities they plan to carry

out remotely, such as through telephone or video calls. For enhanced full inspections of colleges, this will include the deployment of the inspectors evaluating the skills aspects of the inspection and the skills lead inspector will usually be involved in planning those activities.

- 116. The lead inspector will draw up a pre-inspection team briefing for the inspection team and the nominee. The purpose of this briefing is to focus inspection activity and identify areas for exploration, in particular the areas of the curriculum that will be reviewed through 'deep dives' (focused curriculum reviews).
- 117. Inspectors will select the 'deep dive' curriculum review areas they will focus on (and associated inspection activities, such as which learners and employers are being visited). They will take into account a range of factors, including:
- the types of provision
- the courses on offer, their subjects and levels
- the relative performance on different courses
- the number, spread and coverage of sites
- the value of the provider's contract(s) with funding agencies or with employers
- the geographical spread of learners
- the geographical spread of employers
- the mode of delivery and attendance, including remote and/or online delivery/attendance
- information from the provider's nominee, learners and employers
- information from commissioning or funding bodies
- 118. The team briefing letter will provide details about the start of the on-site inspection, including the location and timing of the initial meeting and any other relevant arrangements for the first day, including the planned deep dives.

## Seeking the views of learners, parents and carers, employers, staff and other stakeholders

119. Learners' views are important to inspection. It is important that all learners can express their views to inspectors. This also applies to employers and any other key stakeholders. Inspectors will consider the views of learners, parents and carers, provider staff and employers as important evidence.

- 120. Ofsted's online questionnaires for learners and employers (called Learner View and Employer View) are available to gather the views of learners and employers at the point of inspection. There are also online questionnaires for parents and carers of learners and for provider staff available at the point of inspection. After notifying the provider of the inspection, we ask the nominee to tell all staff, learners, employers and (where appropriate) parents and carers of learners that the inspection is taking place. The nominee should ask them to give their views to the inspection team by using these online questionnaires. [footnote 46]
- 121. Surveys of the views of learners, employers, parents/carers and staff carried out by the provider, funding bodies or other organisations provide evidence for the inspection and may indicate themes to explore further. They do not replace talking with learners, employers and staff during the inspection or views collected through our online questionnaires.
- 122. Inspectors will speak to a range of learners and employers, often as part of the 'deep dive' focused curriculum reviews. This may happen face to face or remotely, for instance through a webinar or online meeting. We may use other surveys to capture views.
- 123. Inspectors will take account of views expressed to them by learners, employers, parents, governors, staff and the nominee. Where necessary, these meetings must take place without the presence of the nominee or senior staff. Meetings during the inspection may include those with samples of learners selected by inspectors and open-invitation meetings. As part of the inspection of safeguarding arrangements, inspectors will meet with learners. This may involve meeting with specific groups of learners, in particular single-sex groups, where practical. [footnote 47]
- 124. The lead inspector will take account of any external views on the provider's performance, for example through briefings from commissioning and funding bodies or other relevant regulators or inspectorates.
- 125. For enhanced full inspections of further education colleges, sixth-form colleges and designated institutions, there will also be a questionnaire seeking the views of stakeholders regarding the college's engagement with them. Links to this and the other questionnaires will be provided for the college in the notification letter. The letter will ask that the college sends the questionnaire links to the appropriate interest groups immediately so they can provide their views.

## **During the inspection**

## Days allocated to inspection and inspection team members

126. Inspections and monitoring visits will be led by either one of His Majesty's Inspectors (HMI) or an Ofsted Inspector (OI) assisted by other HMIs and/or OIs. [footnote 48]

127. A full inspection normally lasts between 2 and 5 days on site. The number of inspectors involved will vary according to the size and complexity of the provider. Some inspectors may only be needed for part of the inspection. How the lead inspector will deploy the team depends on the number of learners and sites, the type(s) of provision and the range of learning programmes. For enhanced full inspections of colleges, there will be one, or more than one, inspector who will focus on gathering evidence relating to the college's contribution to meeting skills needs, including meeting with stakeholders.

128. Short inspections (see <u>paragraphs 179 to 209</u>) normally last no longer than 2 days on site. The number of inspectors involved will vary according to the size and complexity of the provider. Some inspectors may only be needed for part of the inspection.

129. Monitoring visits usually last between 1 and 2 days, depending on the scope of the visit and size of the provider. They will usually involve one or two inspectors (but may sometimes involve more).

## Gathering and recording evidence

130. Inspections will normally begin with in-depth discussions with leaders and managers about the provider's curriculum to establish the intent of the curriculum. Inspectors will ask about what leaders intend learners to learn; what are the end points and next steps they wish them to reach through this; what are the key concepts that they need to understand; and in what order they will learn them. During the inspection, inspectors will need to speak to staff in a range of different roles. They will do so in line with our code of conduct and at all times act with

professionalism, courtesy, empathy and respect.

- 131. Although meetings with leaders are important, inspectors' first priority during inspections is to collect first-hand evidence.
- 132. Inspectors will primarily do this through a range of inspection activities, grouped into focused curriculum reviews, known as 'deep dives'. These will provide evidence of the effective implementation of the curriculum and its intent but they will also gather evidence which may be relevant to the other key judgement areas and progress judgements. These activities will be focused on curriculum areas selected by the lead inspector (see <u>paragraph 117</u>).

#### 133. These inspection activities include:

- direct observation of teaching, training and assessment
- meetings and discussions with teachers, trainers and other staff (in particular subject specialists and subject leaders to understand the intent and implementation of the curriculum)
- meetings and discussions with skills stakeholders and their staff (<u>see paragraph</u> <u>106</u> above)
- interviews and discussions with learners (these may happen in formal planned meetings or in more informal settings)
- scrutinising learners' work
- evaluating learning materials, including resources for online and/or remote learning, and learners' use of them
- analysing provider and learner records, showing planning for, and monitoring of, learners' individual progress and destinations from their starting points when they began their courses or apprenticeships
- assessing learners' progress
- examining what learners know, understand, and can do and make as a result of their learning
- 134. Evidence drawn from these different activities and focused on specific curriculum areas should provide valid, reliable and sufficient evidence of the quality of education and training when connected, combined and brought together.
- 135. Evidence from these curriculum-related activities will also inform the other key judgements: behaviour and attitudes; personal development; and leadership and management.
- 136. Inspectors will also carry out other inspection activities to gather evidence for

the key judgements. These may include:

- analysing documents relating to leadership and management (such as notes of governors' meetings), or personal development and behaviour and attitudes (such as records about attendance or enrichment activities)
- analysing learner, employer, parent/carer and staff views provided through questionnaires and other sources
- meeting with learners, employers, staff, governors, board members, councillors, trustees, subcontractors and stakeholders, where appropriate
- 137. These will include reviewing records about safeguarding, including those relating to sexual abuse.
- 138. During the inspection, inspectors will collect, analyse and record evidence and their judgements on paper or electronic evidence forms. It is essential that the evidence accurately reflects discussions. Inspectors should identify clearly information that was provided in confidence. The evidence forms, together with any briefings, plans or instructions prepared by the lead inspector, and responses from learners and employers (in hard copy, online or other formats) contribute to the evidence base. The lead inspector is responsible for assuring the quality of evidence.
- 139. Ofsted has no preferred teaching style. Inspectors judge the quality of education by the ways in which learners acquire knowledge, develop skills and exhibit appropriate behaviours for work and success in life or study. Teaching staff should plan their lessons/sessions as usual.
- 140. Inspectors may visit employers, to observe learners' on-the-job skills development and speak to employers and their staff.
- 141. Inspectors will not normally indicate which sessions they plan to observe. The team reserves the right to visit any learner or employer and may cancel or add visits to ensure that enough evidence is collected. Inspectors will not normally give feedback to individual members of staff following these sessions.

### Observations of teaching and training

142. An important element of the inspection approach will be to visit lessons, workshops or sessions where teaching, training, learning and assessment are happening. This may include visits to online lessons. Inspectors may often invite

appropriate staff from the provider to take part in joint observations of these learning sessions.

- 143. Observation is primarily useful for gathering evidence about curriculum implementation and how teaching and training sessions contribute to the quality of education and training. Inspectors can use observations to gather evidence about how well staff implement the curriculum by looking at the teaching of one subject or more, and by triangulating observations with evidence collected through discussions with staff, learners, and, where relevant, employers, and through work scrutiny.
- 144. Inspectors will connect observation activity to other evidence for triangulation and as part of the deep dives. Observation is not about evaluating individual teachers or trainers. Inspectors will not grade the teaching or training they observe. Instead, inspectors will view teaching and training across a sample of the provision to provide part of the evidence base to inform inspection judgements, in particular the quality of education and training.
- 145. Observation is also useful for gathering evidence that contributes to other key judgements, including behaviour and attitudes. Observation enables inspectors to see direct evidence about how behaviour is managed and how behaviours and attitudes are developed in individual learning sessions. This evidence will complement the other evidence that inspectors gather about behaviour and attitudes during inspection.

## **Work scrutiny**

- 146. Inspectors may scrutinise learners' work across the provider and aggregate insights to provide part of the evidence for an overall view of quality of education, primarily around the impact of the education provided. Inspectors will not evaluate individual pieces of work. Inspectors will connect work scrutiny to lesson observation and, where possible, conversations with learners, staff and, where appropriate, with employers.
- 147. Inspectors may invite appropriate staff from the provider to take part in joint scrutiny of learners' work.
- 148. Work scrutiny is useful primarily for gathering evidence about the curriculum impact of the quality of education. Inspectors can use work scrutiny to evaluate learners' progress and progression through their course of study. Work scrutiny will show whether learners know more and can do more, and whether the knowledge and

skills they have learned are well sequenced and have developed incrementally. Inspectors will synthesise what they find in order to contribute to their overall assessment of the quality of education across the provider.

#### The use of data

149. Inspection uses a range of data that is available. Both before and during the inspection, inspectors will analyse the performance of the provider using the most recent validated data. They will take into account the age and relevance of the data. Analysis may be at overall provider level and/or for individual subjects or types of provision. Although data alone will not lead directly to judgements, the primary data that measures success for each type of provision will provide important evidence for judging the impact of the quality of education.

150. Inspectors will evaluate learners' progress in relation to their starting points, based on their rate of learning, acquisition of knowledge, skills and behaviours and whether they have achieved their individual, challenging targets. They will also take account of data about the destinations learners go to when they leave the provider.

151. Inspectors will not look at internal progress and attainment data on GCSE and A-level courses where fixed-time terminal examinations comprise the entire assessment of the course. Similarly, inspectors will not normally look at predicted inyear achievement and attainment data more generally (teacher assessed grades from 2020 and 2021 will not normally be used to assess impact). That does not mean providers cannot use this data if they consider it appropriate. Inspectors will, however, put more focus on the curriculum and less on providers' generation, analysis and interpretation of performance data. Inspectors will be interested in the conclusions drawn and actions taken from any internal assessment information but they will not examine or verify that information first hand.

## The self-assessment report

152. Inspectors will use self-assessment reports, or equivalent documents, to assess risk, monitor standards and plan for inspection.

153. If these documents are not available in advance, the provider should share the latest report/plan(s) with the lead inspector following notification of the inspection.

154. Inspectors will, in any case, use other readily available information about the provider.

155. Ofsted does not require self-assessment to be provided in a specific format. Any assessment that is provided should be part of the provider's processes and not generated solely for inspection purposes. [footnote 49]

## Meetings during inspection

156. Inspectors are likely to have a number of different meetings with provider staff for different purposes. They will try to minimise disruption to the provider's regular business and to the inspection team's focus on assessing the quality of education and training. Therefore, meetings will be kept brief and purposeful.

157. Inspections will normally begin with in-depth discussion with senior leaders and managers about the provider's curriculum to establish the intent of the curriculum. This initial meeting will also provide useful insights into leadership and management and other areas. This initial meeting with leaders and managers should be carried out face to face. It is an opportunity for senior managers to provide a fuller context that may not be expressed through documents and data alone. It is also an opportunity for senior leaders to discuss the particular circumstances of the provider that have had a role in the decisions made by leaders. It will help the inspectors and senior managers to establish a constructive professional dialogue for inspection. On a full enhanced inspection of a college, inspectors will meet with leaders and managers to discuss the college's contribution to meeting skills needs. They may also meet with governors for that purpose.

158. Inspectors carrying out deep dives focusing on specific areas of the curriculum will normally meet with key curriculum staff. This is to understand its intent and implementation before carrying out their inspection activities to review that area of the curriculum. Other types of meeting have been referred to above in <u>paragraphs</u> 130 and 133.

159. The inspection team itself will hold meetings. They may include the whole team or 2 or more inspectors. They may be held remotely, by telephone or video call, or face to face. These meetings may include:

- an initial team meeting to:
  - brief the inspection team on the schedule for the inspection

- clarify any queries about team members' roles
- receive a brief update from the provider, including, if appropriate, an initial briefing from the provider's managers with relevant responsibilities for key areas to be covered of the inspection [footnote 50]
- a meeting for the nominee to hear the emerging judgements and identify any opportunities to provide additional evidence
- a grading/progress judgement meeting to reach judgements about the provider

160. The lead inspector will hold inspector team meetings during the inspection, to which the nominee will be invited. At these meetings the nominee can hear the emerging judgements, understand how the inspection is progressing and continue the constructive professional dialogue. By taking part in discussions about the evidence collected during the inspection, the nominee can help to ensure that all appropriate evidence is taken into account (although they may not contribute to decisions about inspection judgements). The lead inspector will check whether there are any issues, concerns or points of clarification that the provider wants to raise about the inspection. Any concerns about evidence should be raised with the lead inspector. The nominee will report to the provider's staff on the progress of the inspection. The senior leader may also be invited to the meeting.

- 161. Inspectors may hold additional meetings with the provider's staff.
- 162. The lead inspector will hold a feedback meeting for the provider and invitees to hear the key messages at the end of the inspection. Members of staff from relevant funding and regulatory bodies may attend to hear the feedback.

# Reaching final judgements

- 163. Inspectors will discuss emerging findings with the nominee and, where appropriate, with senior staff.
- 164. The lead inspector will ensure that the inspection team agrees the judgements for full inspections using the descriptors in part 2 of this handbook. [footnote 51] The overall judgements will reflect all of the evidence considered by the inspection team. The evidence base must support the judgements convincingly.

# **Providing feedback**

165. At the end of the inspection the lead inspector will ensure that the provider is clear:

- about the grades awarded for each judgement required [footnote 51]
- that the grades awarded are provisional and, although unlikely, may be subject to change through moderation and quality assurance. [footnote 51] We expect leaders to share the inspection outcome and findings with whoever they deem appropriate. Leaders may also share inspection outcomes, in confidence, with others who are not involved with the provider. This may include leaders' colleagues, family members, medical advisers, and/or their wider support group in confidence. However, the information should not be made public.
- that the points provided in the feedback, subject to any change, will be generally reflected in the report, although the text of the report may differ slightly from the oral feedback
- about the main findings and areas for improvement
- that inspection findings and provisional grades or judgements may be shared with the Further Education Commissioner, ESFA, DfE, Ofqual, Office for Students (OfS) or other relevant regulator/inspectorate before the publication of the report
- about the procedures that will lead to the publication of the report
- that the provider has an opportunity to raise any issues or concerns or to seek clarification about the inspection, and can also contact Ofsted on the working day after the end of the inspection, if necessary
- about the complaints procedure
- where relevant, about the implications of the provider being judged as requires improvement or inadequate overall[footnote 52]
- that, if the overall effectiveness or the leadership and management of a sixth-form college, further education college or designated institution are judged inadequate, this has implications for the college in relation to appointing <u>newly qualified staff</u> in future years

166. Funding authorities funding the provider such as DfE/ ESFA, MCAs, LEPs, or others may attend the final feedback session to hear the findings.

# After the inspection

### Arrangements for publishing the report

- 167. The lead inspector is responsible for writing the inspection report and submitting the evidence to Ofsted shortly after the inspection ends. The text of the report should reflect the evidence. The findings in the report should be consistent with the feedback given to the provider at the end of the inspection.
- 168. Inspection reports will be quality assured before we send a draft copy to the provider. The provider will normally receive the draft report within 18 working days after the end of the inspection. We expect leaders to share the inspection outcome and findings with whoever they deem appropriate. Leaders may also share inspection outcomes, in confidence, with others who are not involved with the provider. This may include colleagues, family members, medical advisers and/or their wider support group in confidence. However, the information should not be made public. The inspection process is not complete until the report is published. We may share the draft findings and/or provisional judgements of the inspection/monitoring visit with the Further Education Commissioner, ESFA, MCAs or the GLA, DfE, Ofqual, OfS or other relevant regulator/inspectorate as necessary (that might entail sharing the draft report in whole or in part).
- 169. The provider will normally have 5 working days to comment on the draft report, inspection process and findings. We will consider all comments. We will respond to them when we share the final report with the provider, normally within 30 working days after the inspection.
- 170. If the provider wishes to make a formal complaint, it has 5 working days after we have shared the final report with it in which to do so. If a complaint is not submitted, we will normally publish the report on our reports website 3 working days later. If a complaint has been submitted, the publication of the report may be delayed.
- 171. A provider judged to be outstanding or good can <u>use specific Ofsted logos</u> to promote its judgement, for example on its website. Providers may only use a logo when it reflects the judgement of the most recent full inspection of that provider. They must remove the logo when the provider's URN changes, or if their overall effectiveness grade changes. More information can be found on <u>our logo terms of use page</u>

# The inspection evidence base

172. The evidence base for the inspection must be retained for the time specified in our guidance. [footnote 53] This is normally 6 years from the publication of the report. We may decide that retaining it for longer is warranted for research purposes.

# Pausing inspections and incomplete inspections

173. There may be exceptional occasions when it is difficult or inappropriate to continue with an inspection and the inspection needs to be paused. There could be a range of possible eventualities that could give rise to this. Each situation will be considered on a case-by-case basis. We will apply our <u>policy on incomplete</u> <u>inspections</u> where that is appropriate.

# **Quality assurance and complaints**

# **Quality assurance of inspection**

174. All inspectors are responsible for the quality of their work. The lead inspector must ensure that inspections are carried out in accordance with the principles of inspection and the code of conduct (set out in the EIF).

175. We monitor the quality of inspections through a range of formal processes. Senior HMI or HMI may visit some providers or monitor remotely to quality assure inspections. We may also evaluate the quality of an inspection evidence base. The lead inspector will be responsible for feeding back to the team inspectors about the quality of their work and their conduct.

176. All providers are invited to take part in a voluntary post-inspection survey in order to contribute to inspection development. The link to this survey is included with the final inspection report to the provider.

# Handling concerns and complaints

177. The great majority of Ofsted's work is carried out smoothly and without incident. If concerns do arise during an inspection visit, they should be raised with the lead inspector as soon as possible in order to resolve issues before the inspection is completed. The lead inspector should seek advice where necessary. Inspectors should note any concerns raised, and actions taken, in the inspection evidence. If an issue remains unresolved, the provider can contact Ofsted on the working day after the end of an inspection. This will be an opportunity for the provider to raise informal concerns about the inspection process or outcomes, ask about next steps, or highlight information they feel was not fully considered during the inspection. This will be directed to an inspector separate to the inspection to discuss and to resolve, where appropriate, at the earliest opportunity. Where a provider has a safeguarding concern about an inspector, they will be advised in the first instance to raise this with the lead inspector. If they are not comfortable to do so, or if the concern is about the lead inspector, they can contact a senior Ofsted leader using the phone number provided at the beginning of the inspection.

178. If it is not possible to resolve concerns during the inspection, shortly after the inspection, or through submitting comments in response to the draft report, the provider may wish to lodge a formal complaint. The lead inspector should ensure that the provider is informed of the procedures for making a formal complaint and that information about how to complain is available on GOV.UK.

# **Short inspections**

179. If a provider is graded good for overall effectiveness at its previous inspection and falls within the categories for short inspection set out in <u>paragraph 29</u>, it will usually receive a short inspection by September 2025 (unless they have had an inspection since April 2021) However, they may receive a full inspection if information suggests that this is the most appropriate way forward. Providers graded outstanding for overall effectiveness at their previous inspection that took place on or after 1 September 2015 and that do not fall within the categories for full inspection set out in paragraphs 20 to 22 will usually receive a short inspection by September 2025 (see <u>paragraphs 19 to 23</u>).

180. A short inspection will determine primarily whether the quality of education/training that learners receive is good (for good providers) or outstanding (for outstanding providers). Inspectors will consider the provider's quality of education and/or training and the effectiveness of leadership and management. They will assess whether safeguarding arrangements are effective and if learners

benefit from good-quality careers advice and guidance. Short inspections may also cover any areas particular to a provider that the lead inspector judges necessary.

- 181. In order to confirm whether the provider remains good/outstanding (as applicable), inspectors will use the criteria set out in part 2 of this handbook. They will not be expected to carry out a full inspection within a reduced timeframe but to arrive at sufficient evidence to demonstrate that the provider remains good/outstanding (as applicable) overall.
- 182. A short inspection report will not give graded judgements for provision types. It will not change the provider's overall effectiveness grade.
- 183. Ofsted reserves the right to carry out a full inspection to any provider at any reasonable time.
- 184. As with all other inspections under the EIF, the model of short inspections is designed to encourage constructive, challenging professional conversation between inspectors, leaders, managers and governors.

# The purpose of a short inspection

185. The main purpose of short inspections is to evaluate sufficiently whether the provider remains good (if currently a good provider) or, outstanding (if currently an outstanding provider) and in particular:

- whether the provider's quality of education/training remains good or outstanding
- whether safeguarding arrangements are effective
- whether careers education and guidance are of a good quality
- whether leaders, managers and governors have the capacity to make continued improvement and manage change well
- 186. A short inspection will also take into account: any other aspect that the lead inspector considers particularly relevant given the findings of the previous inspection report; issues identified at the planning stage; and the particular nature of the provider.
- 187. Inspectors will focus primarily on the quality of education during a short inspection. They will not expect to cover the criteria within the quality of education judgement in part 2 of this handbook to the same extent as on a full inspection. They will focus on a number of key curriculum areas using deep-dive focused curriculum

reviews to provide sufficient evidence that the provider remains good. This will follow the same approach as set out in the sections above: <u>'gathering and recording</u> evidence', <u>'observations of teaching and training'</u>, <u>'work scrutiny'</u> and <u>'use of data'</u>.

188. A short inspection will be carried out by one or more inspectors over 1 or 2 days, depending on the size and type of provider. [footnote 55]

# Outcomes of a short inspection

189. A short inspection has 3 possible outcomes.

- Outcome 1 the provider continues to be good/outstanding (as applicable). In these cases, it will receive a short inspection report following the report publication process.
- Outcome 2 a currently good provider continues to be at least good and there is sufficient evidence of improvement to suggest that it may be judged outstanding. In these cases, the short inspection will be extended to a full inspection.
- Outcome 3 the inspection team has insufficient evidence to satisfy itself that the provider remains good/outstanding (as applicable), or there are concerns arising from evidence gathered that the provider may not remain good/outstanding (as applicable). In these cases, the short inspection will be extended to a full inspection. This will usually be completed within 15 working days of the short inspection (see paragraph 194 below).

190. Inspectors will always report on whether safeguarding is effective. If safeguarding is not effective, inspectors will extend the short inspection to a full inspection.

### Providers that remain good/outstanding (as applicable) (outcome 1)

191. If inspectors judge that a provider remains good/outstanding (as applicable) (outcome 1), they will confirm this judgement in the final feedback at the end of the short inspection.

### Extending short inspections to full inspections (outcomes 2 and 3)

192. The short inspection will be extended to a full inspection if:

• the provider is currently good and there is sufficient evidence of improvement to suggest that it may be judged outstanding (outcome 2); the lead inspector has

- insufficient evidence to confirm that it remains good (outcome 3); or the provider is currently good and there is evidence that the provider may no longer be good (which may include concerns about safeguarding) (outcome 3)
- the provider is currently outstanding and the lead inspector has insufficient evidence to confirm that the provider remains outstanding; or there is evidence that it may no longer be outstanding (which may include concerns about safeguarding) (outcome 3)
- 193. In the cases of outcomes 2 or 3, the lead inspector will inform the provider by the end of the short inspection of the decision to extend the inspection to a full inspection.
- 194. For outcomes 2 and 3, the short inspection will be extended to a full inspection as soon as possible. This will usually be completed within 15 working days of the short inspection. More inspectors may join the lead inspector on site. This may include HMI and/or Ois. The inspection team will gather and evaluate evidence to make a full set of graded judgements against the EIF. The lead inspector from the short inspection will usually continue the full inspection.
- 195. A decision to extend the inspection does not predetermine the outcome of the full inspection. At the end of the full inspection, the provider may receive any grade on the 4-point grading scale.
- 196. The lead inspector of the full inspection will contact the nominee and tell them when the full inspection will start. The lead inspector will share the team composition and deployment. The inspector may request further evidence and information for planning before the on-site stage of the inspection begins.
- 197. Between the end of the short inspection and the beginning of the full inspection following extension, evidence from the short inspection will be shared with the new team. The lead inspector and that team will build on the evidence to complete the full inspection, avoiding repetition or duplication.
- 198. The full inspection may move straight to evidence gathering, without an initial team meeting.
- 199. A short inspection report will not be produced when the short inspection extends to a full inspection. Instead, the provider will receive a full inspection report.

### Inspectors' planning and preparation

200. The lead inspector will share with the inspection team:

- essential information about the provider and the timings for the inspection
- a short summary of any areas to be focused on, based on a brief analysis of the pre-inspection information
- a brief outline of inspection activity, including planned 'deep dive' focused curriculum reviews; this will be finalised on site

#### **Notification and introduction**

201. We will normally notify the provider up to 2 working days before the inspection, unless the inspection is unannounced. Ofsted reserves the right to carry out unannounced inspections or visits. We will notify the provider in the morning of the notification day and will email the notification letter. The same expectations will apply as for a full inspection (see paragraph 114). The lead inspector will then contact the provider as soon as possible.

202. Leaders, managers and governors are not required to prepare documents or other materials for inspection. Inspectors will review documents and other materials that leaders, staff and governors use for normal day-to-day business. Any assessment should be part of the provider's usual evaluation work and not generated solely for inspection purposes. Inspectors will use what the provider has.

203. During the initial planning telephone call to a provider selected for short inspection, the lead inspector will:

- establish contact with the provider's nominee
- confirm the date of the inspection
- explain the purpose of the inspection, including the different possible outcomes of the short inspection
- indicate the likely format and timings of the short inspection
- give the provider an opportunity to raise any issues or concerns or to seek clarification before the inspection and explain how the provider will be able to raise any matters during the inspection itself
- request that the provider alerts learners, employers, parents and carers (where appropriate) and provider staff about the inspection. These groups will be invited to give their views by means of online questionnaires
- make initial arrangements for meeting or interviewing governors, managers, staff, learners, employers and others
- make arrangements to visit employers or subcontractors as necessary

204. Inspectors may also request further information during the initial telephone call,

by subsequent email or at the first opportunity on site. This information may include:

- information about learners, their types of provision, courses/programmes, subject areas and locations
- timetables for lessons/sessions/workshops or other learning activities
- a list of provider staff and/or a diagram of the organisational structure and key staff contact information
- the current self-assessment report or equivalent and any evaluation of the impact of actions taken to date
- recent data on learners' outcomes, performance, progress and destinations (but note <u>paragraph 151</u> above about internal progress and attainment data on GCSE and A-level courses where fixed-time terminal examinations comprise the entire assessment of the course)
- lists of employers and subcontractors and their locations

205. Requests for a deferral will be handled in accordance with <u>Ofsted's deferral policy</u>.

#### Feedback at the end of a short inspection

206. The lead inspector will give oral feedback at the end of the short inspection. The lead inspector is responsible for managing this meeting and agreeing attendance with the nominee.

The lead inspector will state one of the following:

- that the provider continues to be good/outstanding (depending on its current grade). In that case, the feedback requirements will be the same as set out in paragraph 165 for full inspections
- that there is evidence that the provider may no longer be good/outstanding or that there is insufficient evidence to conclude that the provider is still good/outstanding (as applicable); if either is the case, they will explain that the inspection will be extended into a full inspection and the process for this
- that there is sufficient evidence of improved quality in the case of a currently good provider to extend the inspection to a full inspection

207. The lead inspector will also make clear whether the provider's safeguarding arrangements are judged to be effective and provide feedback on other relevant areas covered in the short inspection (as outlined in <u>paragraph 183</u>).

### Reporting on the short inspection

208. If the quality of provision remains good/outstanding (as applicable), the provider will receive a short inspection report setting out the inspection findings. These will include statements that the provider remains good/outstanding, a judgement on whether safeguarding arrangements are effective, and a summary of what the provider does well and what it can do better. If the short inspection is extended to become a full inspection, the provider will receive a full inspection report as outlined above and not a short inspection report.

#### Quality assurance and publication of the short inspection report

209. The short inspection report will be published on our website. Quality assurance and publication processes are the same as those for full inspection reports (see paragraphs 167 to 186).

# Part 2. The evaluation schedule: how further education and skills providers will be judged

### Background to the evaluation schedule

210. Inspectors must use the evaluation schedule alongside the guidance set out in part 1 of this handbook and the EIF.

211. The evaluation schedule is not exhaustive. It does not replace the professional judgement of inspectors. Inspectors must interpret grade descriptors in relation to the type(s) of provision inspected and context of the provider.

212. In line with the EIF, inspectors will make judgements on the following areas:

overall effectiveness

and the 4 key judgements:

- the quality of education
- behaviour and attitudes
- personal development

leadership and management

213. We have created the criteria for each of these judgements using inspection experience, areas of consensus in academic research and our own research. You can find a full note of how the judgement criteria relate to the available research in our commentary (see 'Education inspection framework: overview of research').

214. Inspectors use the following 4-point scale to make all judgements, including, where applicable, on the effectiveness of the different types of provision offered:

grade 1: outstanding

grade 2: good

grade 3: requires improvement

• grade 4: inadequate

# The evaluation schedule and grade descriptors

### **Overall effectiveness**

215. Inspectors must use all their evidence to evaluate what it is like to be a learner at the provider. In making their judgements about a provider's overall effectiveness, inspectors will consider whether the quality of provision is good or whether it exceeds good and is therefore outstanding. If it is not good, inspectors will consider whether it requires improvement or is inadequate.

216. In judging the overall effectiveness, inspectors will take account of:

- the overall effectiveness judgement for each type of provision inspected
- the 4 key judgements

217. Inspectors will first judge the overall effectiveness of each type of provision inspected. To do this, they will apply the grading criteria set out in the EIF. The section <u>'Evaluating types of provision'</u> in this handbook sets out how inspectors will evaluate the overall effectiveness of each type of provision. The inspection report will include a numerical grade for each type of provision inspected. Inspectors will

then grade the 4 key judgements. Where there are differences in grades given for a type of provision, inspectors will consider the following when awarding the grade for overall effectiveness:

- the number of learners in/the funding value of the relevant provision
- the impact of the weaker areas on learners' overall experience and quality of education received

218. Inspectors will always make a written sub-judgement about the effectiveness of the arrangements for safeguarding learners as part of the leadership and management key judgement. In the case of further education colleges, sixth-form colleges and designated institutions, inspectors will also make a written sub-judgement on the college's contribution to meeting skills needs. This will be linked with the quality of education and leadership and management key judgements.

219. Before making the final judgement on overall effectiveness, inspectors must evaluate the extent to which the education and training provided meets the needs of all learners. This includes learners with SEND and those who have high needs.

# Grade descriptors for overall effectiveness

# **Outstanding (1)**

- The quality of education is outstanding.
- All types of provision offered are likely to be outstanding. A type of provision may be good if it is a small proportion of the whole provision.
- All key judgements are likely to be outstanding. In exceptional circumstances, one of the key judgements may be good, as long as there is convincing evidence that the provider is improving this area rapidly and securely towards it being outstanding.
- Safeguarding is effective.

220. To judge whether a provider is good, requires improvement or is inadequate,

inspectors will use a 'best fit' approach, relying on the professional judgement of the inspection team.

# Good (2)

- The quality of education is at least good.
- All types of provision offered are likely to be good or outstanding. A type
  of provision may require improvement if it is a small proportion of the
  whole provision.
- All key judgements are likely to be good or outstanding. In exceptional
  circumstances, one of the key judgement areas may require improvement,
  as long as there is convincing evidence that the provider is improving it
  rapidly and securely towards it being good.
- Safeguarding is effective.

# Requires improvement (3)

- Other than in exceptional circumstances, it is likely that, where the provider requires improvement in any of the key judgements, the provider's overall effectiveness will require improvement.
- Safeguarding is effective.

# Inadequate (4)

 The judgement on the overall effectiveness is likely to be inadequate when any one of the key judgements is inadequate and/or safeguarding is ineffective.

# **Evaluating types of provision**

221. The following section outlines what inspectors will look for to

demonstrate how the grade descriptors for each key judgement apply to each type of provision.

# **Education programmes for young people**

222. To achieve comparability with the way in which we inspect and judge 16 to 19 provision in schools and academies under the EIF, the considerations below align with those in the school inspection handbook.

223. Inspectors will review provision for learners aged 14 to 16 enrolled full time at a college as part of this judgement.

- Inspectors will consider how well leaders and teachers promote high expectations for achievement and progress through the systems they use to monitor and develop the quality of provision for learners, including the most disadvantaged, those with SEND and those with high needs.
- Inspectors will consider how leaders and teachers develop or take on a
  purposeful curriculum that provides progression and stretch, as well as
  mathematics and English for all learners, including those without GCSE
  legacy grades A\* to C (reformed grades 9 to 4) and, where relevant, work
  experience or industry placements and non-qualification activities.
- Inspectors will review how well high-quality impartial careers guidance enables learners to make progress and move on to a higher level of qualification, employment, further training or independent living when they are ready to do so.
- Inspectors will use observations of teaching and training activities, and discussions with learners, teachers, support staff and, where relevant, employers, to consider how well learners develop personal, social and independent learning skills.
- Inspectors will judge how well learners achieve high levels of punctuality and attendance and how well learners' conduct and attitudes, including in non-qualification or enrichment activities and/or work experience/industry placements, prepare them for employment or to progress to higher levels of study and/or independence.
- Inspectors will also consider whether arrangements for safeguarding learners are appropriate and effective.

### Adult learning programmes

224. Adult learning comprises a rich variety of learning and training, such as programmes for those with SEND, vocational training, employability training and community learning.

- Inspectors will judge, where appropriate, how well the curriculum, including
  the wider curriculum, for each strand of a provider's adult learning
  programme has a clearly defined purpose that is relevant to the education
  and training needs and interests of learners, and to local employment
  opportunities, and supports local and national priorities.
- Inspectors will judge how effectively staff work with learners, employers and other partners such as Jobcentre Plus, to ensure that teaching, learning and assessment enable learners to develop personal, social and employability skills that prepare them well for their intended job role, career aims and/or personal goals.
- Where appropriate, inspectors will judge how well providers record and recognise learners' progress and achievements to inform teaching and support programmes to help learners reach their goals.
- Inspectors will consider relevant factors such as the provider's contribution to widening participation and developing stronger communities, in accordance with national and local priorities.
- Inspectors will also consider whether arrangements for safeguarding young people and vulnerable learners are appropriate and effective.

# **Apprenticeships**

225. Inspectors will consider how well leaders and managers ensure that the apprenticeship curriculum meets the principles and requirements of an apprenticeship. [footnote 58]

- Evidence will include the extent to which the provider's staff engage with employers to:
  - complete the apprenticeship training plan
  - plan the initial assessment, training, assessments, review points and milestones throughout
  - agree any additional qualifications to be included
  - monitor and support apprentices, including those with SEND and those

who have high needs, to progress quickly, gain new knowledge, skills and behaviours and achieve to their full potential

- Inspectors will judge how well trainers, assessors, coaches and mentors communicate up-to-date vocational and technical subject knowledge that reflects expected industry practice and meets employers' needs.
- Inspectors will determine whether apprentices acquire that knowledge
  effectively so that they demonstrate the required skills and behaviours that
  enable them to complete their apprenticeships, contribute to their
  workplace and fulfil their career aims by progressing to their intended job
  roles or other sustained employment, promotion or, where appropriate,
  moving to a higher level of apprenticeship or qualification.
- Inspectors will also consider whether arrangements for safeguarding young people and vulnerable learners are appropriate and effective.

# Provision for learners with high needs

226. Part 3 of the Children and Families Act 2014 explains the current arrangements for young people with learning difficulties and/or disabilities. The Act aims to encourage education, health and social care services to work together. Local authorities must describe the provision available to young people in the area by publicising the 'local offer'. A young person has a learning difficulty or disability if he or she has:

- a significantly greater difficulty in learning than most others of the same age
- a disability that prevents or hinders him or her from using the kind of facilities generally provided for others of the same age in general post-16 institutions

227. Inspectors will consider the extent to which leaders, managers and governors use the funding for learners with high needs so that their individual learning programmes challenge learners to: develop their independence; improve their communication skills; make relevant personal choices and decisions; and prepare themselves for adult life.

 Inspectors will judge how successfully learners participate in good-quality and individually tailored learning programmes that lead to paid or voluntary employment where appropriate (including to supported internships, traineeships and apprenticeships) and/or to greater independence in their

- everyday lives.
- Inspectors will evaluate how effectively leaders and managers coordinate all specialist support, including speech and language development, behaviour management, occupational therapy and physiotherapy, so that learners develop the skills they need.
- Where appropriate, inspectors will determine the extent to which the choice of accreditation helps learners progress towards further learning, vocational training, employment and independent living.
- Inspectors will judge whether procedures for recognising and recording learners' progress and achievement are rigorous and purposeful and support the achievement of all learners.
- Inspectors will determine whether staff are suitably qualified and/or have appropriate expertise to support learners or specific groups of learners. They will determine whether learning resources, including assistive technology and online/remote learning resources, are to the required standard and specification and whether they are used effectively to support learners to overcome their barriers to achieving their challenging learning goals.
- Inspectors will judge how successfully learners develop skills to enhance their employment opportunities and independence in their everyday lives in real-life situations, including meaningful work experience, and how well they take an active part in their local communities.
- Inspectors will judge how well learners following academic or vocational qualifications make progress and achieve, compared with all learners on the same programme. They will also judge whether learners progress into appropriate paid or voluntary employment, further learning or other activities, having received appropriate careers information, advice and guidance.
- Inspectors will also consider whether arrangements for safeguarding learners are appropriate and effective.

# The quality of education

228. Inspectors will take a rounded view of the quality of education that a provider delivers to its learners. [footnote 59] They will consider:

• the provider's curriculum, which embodies the decisions the provider has

made about the knowledge, skills and behaviours its learners need to acquire to fulfil their aspirations for learning, employment and independence

- the way that teachers teach and assess to support learners to build their knowledge and to apply that knowledge as skills
- the outcomes that learners achieve as a result of the education they have received

229. Inspectors recognise that providers may have been unable to implement the curriculum in the usual way during the COVID-19 pandemic. They will seek to understand how leaders and managers adapted and prioritised the curriculum at the time and whether this is still having any effect.

230. When we refer to the intent, implementation and impact of the curriculum and quality of education within this handbook, we are including remote education. We consider that education delivered remotely or online should be integrated into the programme of education/training, and be designed to support the wider implementation of the provider's curriculum.

### Intent

- 231. In evaluating the provider's educational intent, inspectors will primarily consider the curriculum leadership provided by senior and subject leaders.
- 232. The evaluation focuses on factors that contribute to learners receiving education and training that enables them to achieve highly. These factors are listed below.
- Leaders and managers have selected and developed a curriculum that develops the knowledge, skills and behaviours that learners need in order to take advantage of the opportunities, responsibilities and experiences that prepare them for their next stage in education, training or employment. In this way, it can powerfully address social disadvantage.
- It is clear what the curriculum is preparing learners for. It is also clear what learners will need to be able to know and do at the end of their learning or training programmes.
- Leaders, managers and teachers have planned and sequenced the curriculum so that learners can build on previous teaching and learning and develop the new knowledge and skills they need.

- The curriculum offers learners the knowledge and skills that reflect the needs of the local, regional and national context.
- The curriculum intent takes into account the needs of learners, employers, and the local, regional and national economy, as necessary. Curriculum planning takes account of delays and gaps that arise as a result of the pandemic.
- The curriculum ensures that all learners benefit from high academic, technical and vocational ambitions. This means that the curriculum should be ambitious for disadvantaged learners or those with SEND, including those who have high needs, and should meet those needs.

#### The curriculum

233. The curriculum sets out the aims of a programme of education and training. It also sets out the structure for those aims to be implemented, including the knowledge, skills and behaviours to be gained at each stage. It enables the evaluation of learners' knowledge and understanding against those expectations.

234. We will judge providers taking radically different approaches to the curriculum fairly. We recognise the importance of providers' autonomy to choose their own curriculum approaches. If leaders are able to show that they have thought carefully, that they have built a curriculum with appropriate coverage, content, structure and sequencing, and that it has been implemented effectively, then inspectors will assess a provider's curriculum favourably.

# Sources of evidence specific to curriculum intent

235. Inspectors will draw evidence about leaders' intent for the curriculum principally from discussion with senior and subject leaders. Inspectors will explore:

 how leaders have ensured that a subject curriculum includes content that has been identified as most useful and that this content is taught in a logical progression, systematically and explicitly for all learners to acquire the intended knowledge, skills and behaviours. This includes understanding how the pandemic may have led to gaps in learners' knowledge, learning delays and new starting points

- how leaders ensure that the curriculum supports learners' progression and provides knowledge and/or skills for the future (including non-qualification activity, where relevant)
- how learners see links between different areas of knowledge and skills and recognise that some knowledge and skills are transferable
- how carefully leaders have thought about the sequence of teaching knowledge and skills to build on what learners already know and can do

236. Inspectors will also consider any documentary evidence that leaders wish to provide in the format that the provider normally uses. Inspectors will not request materials to be produced or provided in any specific format for inspection.

# **Implementation**

237. In evaluating the implementation of the curriculum, inspectors will focus on how the curriculum is taught at subject, class, lecture or workshop level. [footnote 60]

238. We will focus on the following factors.

- Teachers having expert knowledge of the subjects that they teach. If they
  do not, they are supported to address gaps so that learners are not
  disadvantaged by ineffective teaching.
- Teachers enable learners to understand key concepts, presenting information clearly and promoting discussion.
- Teachers check learners' understanding effectively, and identify and correct misunderstandings.
- Teachers ensure that learners embed key concepts in their long-term memory and apply them fluently and consistently.
- Leaders and teachers have designed and they deliver the subject curriculum in a way that allows learners to transfer key knowledge to longterm memory. The curriculum is sequenced so that new knowledge and skills build on what learners know and can do and learners can work

towards defined end points.

- Teachers use assessment to check learners' understanding in order to inform teaching.
- Teachers use assessment to help learners to embed and use knowledge fluently, to develop their understanding, and to gain, extend and improve their skills and not simply memorise disconnected facts.

#### The use of assessment

239. When used effectively, assessment can help learners to embed and use knowledge fluently and to show that they are competent in applying their skills. The results of effective assessment assist teachers to produce clear and achievable next steps for learners. However, assessment is too often carried out in a way that creates unnecessary burdens for staff and learners. It is therefore important that leaders and teachers understand its limitations and avoid misuse and overuse.

240. Inspectors will evaluate how assessment supports the teaching of the curriculum, while not driving teachers towards excessive individualisation, differentiation or interventions that are almost impossible to deliver without lowering expectations of some learners and/or driving up teachers' workload. This will include considering how the provider responds to any learning gaps that have arisen as a result of the pandemic.

# Sources of evidence specific to curriculum implementation

241. The following activities will provide inspectors with evidence about the provider's implementation of its intended curriculum:

- discussions with subject specialists, subject leaders and teachers about:
  - the curriculum that learners follow
  - the intended end points towards which those learners are working
  - their view of how those learners are progressing through the curriculum
- reviews of curriculum plans or other long-term planning (in whatever form teachers and/or subject leaders usually produce and use them)

- visits to classes, lectures, workshops and other activities, including observations of teaching and training
- scrutinising work produced by learners
- interviews with learners
- discussions with teachers about how often they are expected to record, upload and review data
- discussions with subject specialists and leaders about the content and pedagogical content knowledge of teachers, and what is done to support teachers, including with remote teaching
- discussions with staff, including specialist staff, who support learners in developing their knowledge, skills and behaviours
- where relevant, discussions with subject leaders and teachers about how the curriculum has been delivered remotely and reviews of learners' work completed remotely

242. In order to triangulate evidence effectively, inspectors will ensure that they gather a variety of these types of evidence in relation to the same sample of learners. Inspectors will also ensure that the samples of learners they choose are sufficient to allow them to reach a valid and sufficiently reliable judgement on the quality of education offered by the provider overall.

# **Impact**

243. When inspectors evaluate the impact of the education provided by the provider, they will focus on what learners have learned, and the skills they have gained and can apply.

244. Inspectors will focus on the following factors.

- A well-constructed, well-taught curriculum will lead to learners learning more and so achieving good results. There need be no conflict between teaching a broad, rich curriculum and achieving success in examinations and tests or assessments.
- Disadvantaged learners and learners with SEND acquire the knowledge and skills they need to succeed in life.
- End-point assessments and examinations are useful indicators of learners' outcomes, but they only represent a sample of what learners have learned.
   Inspectors will balance this with their first-hand assessment of learners'

work.

- All learning builds towards an end point. Learners are being prepared for their next stage of education, training or employment at each stage of their learning. Inspectors will consider whether learners are ready for their next steps.
- Inspectors will also consider whether learners are ready for the next stage and are going to appropriate, high-quality destinations.

# Sources of evidence specific to curriculum impact

245. Inspectors will gather evidence of the impact of the education offered by the provider from the following sources:

- nationally generated and validated performance information about learners' progress and attainment, where it is available in published national data
- first-hand evidence of the progress learners are making, drawing together the evidence from the interviews, observations, work scrutiny and documentary review, described above (see 'sources of evidence specific to curriculum implementation')
- any information provided by the provider about the destinations to which their learners progress when they leave the provider
- telephone conversations or other similar discussions with a selection of learners about their destinations
- discussions with learners, for instance about what they have remembered about the knowledge and skills they have acquired and how their learning enables them to connect ideas

246. Inspectors will evaluate learners' progress in relation to their starting points, based on their rate of learning, acquisition of knowledge, skills and behaviours and whether they have achieved their individual, challenging learning goals.

247. Inspectors will not look at internal progress and attainment data on GCSE and A-level courses where fixed-time terminal examinations comprise the entire assessment of the course. Similarly, inspectors will not normally look at predicted in-year achievement and attainment data more generally.

248. That does not mean providers cannot use this data if they consider it appropriate. However, inspectors will put more focus on the curriculum and less on providers' generation, analysis and interpretation of performance data. Inspectors will be interested in the conclusions drawn and actions taken from any internal assessment information, but they will not examine or verify that information first hand.

# Balancing intent, implementation and impact to reach a quality of education judgement

249. Inspectors will not grade intent, implementation and impact separately. Instead, inspectors will reach a single graded judgement for the quality of education and training, drawing on all the evidence they have gathered and using their professional judgement.

# Grade descriptors for quality of education

250. For the quality of education provided to be judged outstanding, it must meet the following criteria.

### \$CTA ###Outstanding (1)

- The provider meets all the criteria for a good quality of education securely and consistently.
- The quality of education is exceptional.

In addition, the following apply.

- The provider's curriculum intent is strong. Throughout the provider and its subcontractors, teachers have a firm and common understanding of the intended curriculum and what it means for their practice.
- The provider's implementation of the curriculum is consistently strong.
   Across all parts of the provider, including in subcontracted provision and for learners with SEND and those with high needs, teaching and training are of a high quality. Training activities contribute well to delivering the

curriculum intent.

- The work that learners do over time embodies consistently demanding curriculum goals. It matches the aims of the curriculum in being coherently planned and sequenced towards cumulatively sufficient knowledge and skills for future learning and employment.
- The impact of the taught curriculum is strong. Learners acquire and develop high-quality skills and produce work of a consistently high standard.
- Learners consistently achieve highly, particularly the most disadvantaged. Learners with SEND achieve the best possible outcomes.

251. To judge whether the quality of education is good, requires improvement or is inadequate, inspectors will use a 'best fit' approach, relying on the professional judgement of the inspection team.

# Good (2)

- Leaders adopt or construct a curriculum that is ambitious, appropriately relevant to local, regional and national employment and training priorities and designed to give learners, particularly the most disadvantaged, the knowledge and skills they need to succeed in life.
- The curriculum is coherently planned and sequenced towards cumulatively sufficient knowledge and skills for future learning and employment.
- The provider is ambitious for all its learners, including those with SEND and those who have high needs, and this is reflected in the curriculum. The curriculum remains ambitious and is tailored, where necessary, to meet individual needs.
- Learners study the intended curriculum. Providers ensure this by teaching all components of the full programmes of study.
- Teachers have expert knowledge of the subject(s) and courses they teach. Leaders provide effective support, including for those teaching outside their main areas of expertise. Where relevant, teachers have extensive and up-to-date vocational experience.
- Teachers present information and/or demonstrate skills clearly, promoting appropriate consideration of the subject matter being taught. They check learners' understanding systematically, identify misconceptions and

provide clear, direct feedback. In doing this, they respond and adapt their teaching as necessary, but without having to use unnecessary, time-consuming, individual approaches to presenting subject matter.

- The work that teachers give to learners is demanding and ensures that learners build knowledge and acquire skills, improving on what they already know and can do.
- Teachers encourage learners to use subject-specific, professional and technical vocabulary well.
- Teachers work effectively with support staff to ensure that all learners achieve as they should.
- Over the course of study, teachers design and use activities to help learners remember long term the content they have been taught, to integrate new knowledge into larger concepts and to apply skills fluently and independently.
- Teachers and leaders use assessment well, for example to help learners embed and use knowledge fluently and flexibly, to evaluate the application of skills, to check understanding and inform teaching, or to understand different starting points and gaps as a result of the pandemic. Leaders understand the limitations of assessment and do not use it in a way that creates unnecessary burdens for staff or learners.
- Teachers create an environment that allows the learner to focus on learning. The resources and materials that teachers and trainers select and produce – in a way that does not create unnecessary workload for staff – reflect the provider's ambitious intentions for the course of study and clearly support the intent of a coherently planned curriculum, sequenced towards cumulatively sufficient knowledge and skills for future learning, independent living and employment.
- Any remote education is well integrated into the programme of education/training, and is well designed to support the wider implementation of the provider's curriculum.
- Learners develop detailed knowledge across the curriculum and, as a
  result, achieve well across all areas of their study. Learners make
  substantial and sustained progress from their identified and recorded
  starting points in each of their courses and, where applicable, across the
  curriculum. Where appropriate and available, impact is reflected in results
  from national examinations, which meet government expectations, or in the
  qualifications or apprenticeship standards obtained (see paragraphs 149
  to 151).
- Learners are ready for the next stage of education, employment or

training. They have gained qualifications or have met the standards to go on to destinations that meet their interests and aspirations and the goal of their course of study. Learners with SEND/high needs have greater independence in making decisions about their lives.

# Requires improvement (3)

• The quality of education is not yet good.

# Inadequate (4)

The quality of education is likely to be inadequate if any one of the following applies:

- The curriculum has little or no structure or coherence, and leaders have not appropriately considered sequencing. Learners experience a jumbled, disconnected series of lessons/training that do not build their knowledge, skills or understanding.
- Learners' experiences in lessons or sessions contribute weakly to their learning of the intended curriculum.
- The curriculum does not prepare learners for the opportunities, responsibilities and experiences of life in modern Britain.
- Weak assessment practice results in teaching that fails to meet learners' needs.
- Learners do not develop or improve the English and mathematical skills they need to succeed in their next stage, whether that is in education, training or employment or in greater independence.
- The attainment and progress of learners are consistently low and show little or no improvement over time, indicating that learners are underachieving considerably.
- Learners with SEND do not benefit from a good-quality education. Staff's expectations of them are low. Staff do not identify learners' needs accurately, and are therefore unable to support learners' development effectively.

- Learners have not attained the qualifications, skills or behaviours appropriate for them to progress to their next stage of education, training or employment.
- The curriculum does not meet the needs of learners or employers, the local community or local, regional or national economies.

# Behaviour and attitudes

252. This judgement considers how leaders and staff create a safe, disciplined and positive environment within the provider and the impact this has on the behaviour and attitudes of learners.

253. The judgement focuses on the factors that research and inspection evidence indicate contribute most strongly to learners' positive behaviour and attitudes, thereby giving them the greatest possible opportunity to achieve positive outcomes. These factors are:

- A calm and orderly environment in the provider, classroom, workshop and workplace, as this is essential for learners to be able to learn.
- The setting of clear expectations for behaviour across all aspects of provider life, including at work.
- A strong focus on attendance at and punctuality to learning and work settings to minimise disruption, and so that learners gain valuable employability skills.
- Learner motivation and positive attitudes to learning are important predictors of attainment.
- A positive and respectful provider culture in which staff know and care about learners.
- An environment in which learners feel safe because staff and learners do not accept bullying, harassment or discrimination or peer-on-peer abuse – online or offline. [footnote 61] Staff deal with any issues quickly, consistently and effectively.

# Learners with particular needs

254. The provider may be working with learners with particular needs to improve their

behaviour or their attendance. When this is the case, 'behaviour and conduct that reflect the provider's high expectations and their consistent, fair implementation' are likely to indicate improvement in the attendance, punctuality and conduct of these learners. In the case of some groups of learners, COVID-19 may have affected their transition to further education. This may demonstrate itself in attitudes to learning or work that are not yet in keeping with the provider's high expectations.

255. Some learners, or groups of learners, who have particular needs may have weak attendance or display challenging behaviour. When this is the case, inspectors will evaluate the impact of the provider's high expectations, the consistent, fair implementation of policies, the support given by the provider to the learners, and the impact on the marked and sustained improvement of the attendance and behaviour of these learners.

# Sources of evidence specific to behaviour and attitudes

256. Inspectors will use evidence gathered during the inspection as well as evidence of trends in learners' behaviour and attitudes over time. Inspectors will use first-hand evidence from visits to learning sessions and training workshops, including visits to learners at work or on work placements. Inspectors will also gather evidence from interviews with learners, staff, employers and other partners, for which documents such as attendance registers may also be used.

257. Inspectors' judgements about learners' behaviour and attitudes are concerned with their attitudes to learning and, where appropriate, to work, and the development of the skills relevant to their learning programme. Inspectors' judgements also take account of learners' ability to demonstrate appropriate behaviour for the learning and work environments. Inspectors will consider the main purpose of the type of provision when they prioritise the impact that each of the criteria has on learners' behaviour and attitudes.

258. The learner and staff surveys used in inspection contain questions about safeguarding, how respondents feel about the provider and how well supported and respected they feel in the provider.

259. Inspectors will carry out other evidence-gathering activities that include, but are not limited to:

• observing learners' behaviour in a range of different classes/workshops at

different times of the day

- observing learners' punctuality in arriving at the provider, to classroom and workshop sessions and to work
- observing learners' courtesy, respect for, and good manners towards, each other and adults, and pride in themselves and their provider and/or employer
- reviewing documentary evidence about behaviour, including how the provider tackles challenging behaviour
- gathering the views of learners, employers, parents, staff, those with responsibility for governance and other stakeholders
- gathering evidence about the typical behaviour of learners who are not on site during the inspection
- balancing evidence seen during the inspection and evidence of trends over time

# Grade descriptors for behaviour and attitudes

260. For the behaviour and attitudes of a provider to be judged outstanding, it must meet the following criteria:

# **Outstanding (1)**

- The provider must meet all the criteria for good behaviour and attitudes, securely and consistently.
- Behaviour and attitudes are exceptional.

In addition, the following apply.

- Learners have consistently high levels of respect for others. They play a
  highly positive role in creating an environment that values and nurtures
  difference. Bullying and harassment are never tolerated.
- Learners demonstrate consistently high positive attitudes and commitment to their education and/or training. They are persistent in the face of difficulties. If learners struggle with this, the provider takes intelligent, swift and highly effective action to support them.
- There are many examples of commitment beyond the basics, for example

- high participation in skills competitions or social action projects.
- Learners behave consistently well, demonstrating high levels of selfcontrol and consistently positive attitudes to their education and/or training.
   If learners struggle with this, the provider takes intelligent, fair and highly effective action to support them to succeed in their programme of learning.

261. To judge whether behaviour and attitudes are good, requires improvement or are inadequate, inspectors will use a 'best fit' approach, relying on the professional judgement of the inspection team.

# Good (2)

- Providers have high expectations of learners' behaviour and conduct and these are applied consistently and fairly. This is reflected in learners' behaviours and conduct.
- Learners' attitudes to their education or training are positive. They improve their attitudes over time. They understand their rights and responsibilities as learners. They are committed to their learning, know how to study effectively, are resilient to setbacks and take pride in their achievements.
- Learners have high attendance (bearing in mind the context of the pandemic as necessary) and are punctual. This includes participating in any distance-learning activities, such as online learning and virtual learning environments.
- Relationships among learners and staff reflect a positive and respectful
  culture where the principles of equality and diversity are nurtured. Learners
  feel safe and rarely experience bullying, harassment or discrimination. If
  incidents occur, learners feel safe and confident to report them, knowing
  that staff will take swift and appropriate action.

# Requires improvement (3)

- Behaviour and attitudes are not yet good.
- · Learners feel safe and confident in reporting incidents of bullying,

harassment or discrimination, knowing that staff will take swift and appropriate action.

# Inadequate (4)

Behaviour and attitudes are likely to be inadequate if any one of the following applies.

- Learners' lack of engagement, motivation or enthusiasm inhibits their progress and development.
- A significant minority of learners show a lack of respect and self-discipline.
   Learners ignore or rebut requests to moderate their conduct and are not ready to move on to their next stage or the world of work.
- Learners' attendance is consistently low and shows little sign of sustained improvement.
- Incidents of bullying, harassment or prejudiced and discriminatory behaviour, both direct and indirect, are frequent.
- Learners have little confidence in the provider's ability to tackle bullying, harassment or discrimination successfully.
- Learners are not safe at the provider or at work or subcontractor settings.

# Personal development

262. The curriculum should support learners to develop their knowledge and skills beyond the purely academic, technical or vocational. This judgement evaluates the provider's intent to provide for the personal development of learners, and the quality of the way in which it does this.

263. As the provider is working with learners, those learners are also being influenced by other factors in their home environment, their community and elsewhere. Providers can teach and train learners how to build their confidence and resilience, for example, but they cannot determine how well young people and adult learners draw on this. Similarly, providers cannot make their learners active, engaged

citizens, but they can help them understand how to engage with society and provide them with plentiful opportunities to do so. Providers can take effective action to prepare learners for many aspects of life, but the impact of this work may not be seen until many years later. In this judgement, therefore, inspectors will seek to evaluate the quality and intent of what a provider offers and will look to see what learners know but will not attempt to measure the impact of the provider's work on the lives of individual learners. Where the usual opportunities have been disrupted by the pandemic, the provider has found alternative approaches to providing a rich range of personal development opportunities.

264. When forming judgements about personal development, inspectors will seek to understand what took place before the pandemic, what the provider has in place currently and what its future plans are. Inspectors recognise that many elements of personal development that were in place before the pandemic may have been disrupted. Therefore, they will focus on understanding the steps that leaders have taken to offer or to restore a wide range of personal development opportunities.

265. The judgement focuses on the most significant dimensions of the personal development of learners that our education system has agreed, either by consensus or statute, are the most significant:

- developing responsible, respectful and active citizens who are able to play their part and know how to become involved in public life
- developing and deepening learners' understanding of the fundamental British values of democracy, individual liberty, the rule of law and mutual respect and tolerance
- promoting equality of opportunity so that all learners can thrive together, understanding that difference is a positive, not a negative, and that individual characteristics make people unique
- promoting an inclusive environment that meets the needs of all learners, irrespective of age, disability, gender reassignment, race, religion or belief, sex or sexual orientation, relationship status or pregnancy
- developing learners' character, which we define as the set of positive personal traits, dispositions and virtues that informs their motivation and guides their conduct so that they reflect wisely, learn eagerly, behave with integrity and cooperate consistently well with others. This gives learners the qualities they need to flourish in our society
- developing learners' confidence, resilience and knowledge so that they can keep themselves mentally healthy
- developing learners' understanding of how to keep physically healthy and maintain

an active lifestyle

- developing an age-appropriate understanding of healthy relationships through appropriate relationship and sex education [footnote 62]
- providing an effective careers programme that offers advice, experience and contact with employers to encourage learners to aspire, make good choices and understand what they need to do in order to reach and succeed in their chosen career
- supporting readiness for the next phase of education, training or employment so that learners can make the transition to the next stage successfully

266. These aspects of personal development will be considered in an ageappropriate way within the context of the provider and its learners.

# Sources of evidence specific to personal development

267. To inform this judgement, inspectors will use evidence gathered during the inspection and evidence of trends in learners' personal development over time. Inspectors will use first-hand evidence from visits to learning sessions and training workshops, including visits to learners at work or on work placements. Inspectors will gather evidence from interviews with learners, staff, employers and other partners. Evidence will also include information provided through learner, employer and parent questionnaires. Inspectors will use a range of evidence to evaluate personal development, including:

- the range, quality and take-up of extra-curricular activities offered
- how well leaders promote British values
- how well leaders develop learners' character through the quality of education that they provide
- where appropriate, the quality of debate and discussions that learners have
- learners' understanding of the protected characteristics and how they can promote equality and diversity, and how they celebrate the things we have in common
- the quality of careers information, education, advice and guidance, and how well these benefit learners in choosing and deciding on their next steps
- learners' understanding of what constitutes healthy relationships

# Grade descriptors for personal development

268. For personal development to be judged outstanding, it must meet the following criteria.

# **Outstanding (1)**

- The provider meets all the criteria for good in personal development securely and consistently.
- Personal development is exceptional.

In addition, the following apply.

- The provider consistently and extensively promotes the personal development of learners. The provider goes beyond the expected, so that learners have access to a wide, rich set of experiences that teach them why it is important to contribute actively to society. Opportunities for learners to develop their talents and interests are of exceptional quality.
- The provider ensures that participation in these activities is very high, particularly among those from disadvantaged backgrounds, and all benefit from these opportunities and experiences.

269. To judge whether personal development is good, requires improvement or is inadequate, inspectors will use a 'best fit' approach, relying on the professional judgement of the inspection team.

# Good (2)

- The curriculum extends beyond the academic/technical/vocational and provides for learners' broader development, enabling them to develop and discover their interests and talents.
- The curriculum and the provider's wider work support learners to develop their character – including their resilience, confidence and independence – and, where relevant, help them know how to keep physically and mentally

healthy.

- The provider prepares learners for future success in education, employment or training by providing: unbiased information to all about potential next steps; high-quality, up-to-date and locally relevant careers guidance; and opportunities for encounters with the world of work.
- The provider prepares learners for life in modern Britain by: teaching them how to protect themselves from radicalisation and extremist views; helping to equip them to be responsible, respectful, active citizens who contribute positively to society; developing their understanding of fundamental British values; developing their understanding and appreciation of diversity; celebrating what we have in common; and promoting respect for the different protected characteristics as defined in law.

#### Requires improvement (3)

• Personal development is not yet good.

#### Inadequate (4)

Personal development is likely to be inadequate if any one of the following applies.

- A significant minority of learners do not understand how and why to live healthy, positive lives.
- Leaders and those responsible for governance, through their words, actions or influence, directly and/or indirectly, undermine or fail to promote equality of opportunity.
- Leaders and those responsible for governance do not protect learners from radicalisation and extremist views when learners are vulnerable to these. Policy and practice are poor, which means that learners are at risk.

#### Leadership and management

270. Inspectors will look at the work of principals, chief executives, senior leaders, deans, heads of apprenticeships, subject leaders and others with leadership and management roles when reaching this judgement. [footnote 63]

271. This judgement is about how leaders, managers and those responsible for governance ensure that the education and training delivered by the provider have a positive impact on all learners, including those with SEND and those who have high needs. [footnote 64] It focuses on the areas in which inspection evidence and research show that leaders and managers can have the strongest impact on the quality of education and training provided. Important factors include:

- leaders' high expectations of all learners and the extent to which these are embodied in day-to-day interactions with and support for learners
- the extent to which leaders focus their attention on the education and training they provide, leading to better outcomes for learners and continued and sustainable improvement
- whether continuing professional development for teachers, trainers and other staff
  is aligned with the curriculum, and the extent to which this develops teachers'
  subject expertise and pedagogical knowledge over time, so that they deliver highquality education and training
- the extent to which leaders ensure that learners benefit from effective teaching and high expectations in classrooms, online, in workshops, at work or with subcontractors
- whether leaders engage with learners, parents, their community and employers to plan and support the education and training that learners get and to meet the skills needs of the economy
- the extent to which leaders consider the workload and well-being of their staff,
   while also developing and strengthening the quality of the workforce
- the extent to which leaders' and managers' high ambitions are for all learners, including those who are difficult to engage
- whether leaders and those responsible for governance understand their respective roles and carry these out to enhance the effectiveness of the provider

#### Governance

272. Inspectors will seek evidence of the impact of those responsible for governance. They will determine whether they provide confident, strategic leadership and create strong accountability for, and oversight and assurance of, educational performance to ensure continuous and sustainable improvement.

273. Inspectors should consider whether those responsible for governance:

- know the provider and understand its strengths and weaknesses
- support and strengthen the provider's leadership and contribute to shaping its strategic direction
- ensure that the provider meets its statutory responsibilities with respect to education and training
- provide challenge and hold senior leaders and managers to account for improving the quality of learning and the effectiveness of performance management systems

274. Inspectors will satisfy themselves that those responsible for governance understand their responsibilities and are ensuring that these are carried out appropriately within the provider. They are not expected to review a list of duties with inspectors.

#### College groups and governance arrangements

275. Many providers cooperate as groups, with an overall board and chief executive officer, or similar arrangement. These assume some or all of the responsibilities formerly shouldered by the individual college's/provider's governing body. In these providers, inspectors will seek evidence of the impact of the overall board and its staff as well as the college's/provider's local board, committee or governing body, to which there are relevant delegated responsibilities.

#### Safeguarding

276. All providers should have a culture of safeguarding. [footnote 65] This means they should have effective arrangements to:

• always act in the best interests of children [footnote 66] and learners to protect them online and offline, including when they are receiving remote education

- identify children who may need early help, and who are at risk of harm or have been harmed. This can include, but is not limited to, neglect, abuse (including by their peers), grooming or exploitation, sexual abuse and online harm
- secure the help that children and learners need and, if required, refer concerns in a timely way to those who have the expertise to help
- manage safe recruitment and allegations about adults who may be a risk to children and vulnerable adults
- ensure that all staff maintain an attitude of 'it could happen here' where safeguarding is concerned

277. Inspectors will not provide a separate grade for this aspect of work. However, they will always make a written judgement in the inspection report about whether the arrangements for safeguarding young people and learners are effective.

278. Inspectors should be familiar with relevant, including statutory, guidance on safeguarding:

- 'Keeping children safe in education: statutory guidance for schools and colleges'
- 'Working together to safeguard children'
- 'Positive environments where children can flourish'
- 'Prevent duty' quidance

#### **Evaluating safeguarding culture**

279. Inspectors will evaluate the extent to which there is an effective approach to safeguarding. They will consider how well providers help and protect children and vulnerable adults so that they are kept safe, including when learning remotely.

280. We will assess safeguarding arrangements in an age-appropriate way, taking account of the age and vulnerability of the learners involved.

281. Inspectors will take into account comments about safeguarding from staff, learners, employers and others.

#### Minor safeguarding areas for improvement

282. Inspectors may discover minor areas for improvement that need to be made to safeguarding during the inspection, such as administrative errors in paperwork or out-of-date policies. Inspectors will give providers the opportunity to resolve any minor weaknesses, and they should ideally be resolved before the final team meeting.

283. If the minor safeguarding improvements that need to be made do not impact adversely on safeguarding practice or culture, safeguarding can be judged as effective. These improvements should be easy to rectify and should not leave children or learners being harmed or at risk of harm.

#### Ineffective safeguarding

284. Safeguarding is ineffective where there are serious or widespread failures in the provider's safeguarding arrangements. The following are examples of what ineffective safeguarding might include:

- learners' behaviour towards each other is unsafe, putting learners at risk of harm
- incidents of bullying or prejudiced and discriminatory behaviour, either direct or indirect, are common
- learners have little confidence that the provider will address concerns about their safety, including concerns about the risk of abuse, including sexual abuse
- learners or particular groups of learners do not feel safe in the provider, the workplace, in a subcontractor's premises or online
- leaders and managers do not handle safeguarding allegations about staff members and learners appropriately
- leaders and managers do not have suitable arrangements for learners to raise concerns, for identifying vulnerable adults or for managing safe recruitment
- leaders fail to protect learners from the dangers of radicalisation and extremism in accordance with the 'Prevent' duty guidance

285. If safeguarding is ineffective, this will likely lead to a judgement of inadequate for leadership and management. However, there may be exceptional circumstances when it is appropriate to judge a provider as requires improvement rather than inadequate.

#### Sexual abuse between learners who are children

- 286. As part of assessing safeguarding, inspectors will consider how colleges and providers [footnote 67] that have learners who are children [footnote 68] handle concerns about harmful sexual behaviour towards, or between, learners who are children.
- 287. Providers should have appropriate provider-wide policies and procedures in place that make it clear that sexual abuse is unacceptable.
- 288. Inspectors will look at how providers work to prevent sexual abuse, including sexual harassment and violence between learners who are children, using an approach that includes an effective behaviour policy, pastoral support and a carefully planned curriculum with respect to relationship and sex education.
- 289. We will assess these arrangements in an age-appropriate way, taking account of the age and vulnerability of the learners involved. Inspectors may also, depending on the circumstances, take this evidence into account when considering the behaviour and attitudes and personal development judgements.
- 290. On a very small number of occasions, inspectors may come across evidence or allegations of sexual abuse during an inspection. Inspectors must not attempt to investigate any incident or allegations but will satisfy themselves that concerns are reported to the appropriate authority, where that has not already happened.
- 291. Inspectors will consider how providers handle allegations of sexual abuse, including sexual harassment and sexual violence in relation to children, including that:
- learners are supported to report concerns about harmful sexual behaviour, and barriers that could prevent learners from making a disclosure are identified and addressed
- staff are confident and well trained in handling reports of sexual abuse in line with part 5 of the government's 'Keeping children safe in education' guidance, including incidents between learners, both on and outside college or provider premises
- allegations are taken seriously, comprehensively recorded and dealt with swiftly and appropriately, and learners are confident that this is the case
- 292. Where providers do not have effective policies and processes in place, it is likely that safeguarding will be considered ineffective.

Sources of evidence specific to leadership and management

293. Inspectors will gather a range of evidence from meetings with leaders, managers and governors and first-hand evidence of their work across the provider, including in subcontracted provision.

294. Inspectors will use documentary evidence that the provider supplies to evaluate the impact of the work of leaders, managers and governors, both currently and over time. They will use this in conjunction with first-hand evidence. This includes, but is not limited to:

- meetings with leaders and those responsible for governance, to evaluate how well they fulfil their statutory duties with respect to equality and safeguarding
- documentary evidence that demonstrates the effectiveness of the provision for all learners and its continuous and sustainable improvement
- interviews with staff and learners to evidence how well leaders have created a positive culture
- first-hand evidence gathered during the inspection
- responses to the staff, learner, employer and parent/carer questionnaires. These
  will be particularly useful for judging the culture that leaders and managers have
  established
- any evidence the provider has from surveying the staff and the way in which leaders and managers have responded to concerns raised by staff, parents or employers
- considering the overall aims of bodies giving strategic direction to providers on skills and economic needs, such as mayoral and combined authorities for devolved adult education
- records and analysis of safeguarding concerns, including those relating to sexual harassment and violence and online sexual abuse

## Grade descriptors for leadership and management

295. For the leadership and management of a provider to be judged outstanding, it must meet the following criteria.

#### **Outstanding (1)**

- The provider meets all the criteria for good leadership and management securely and consistently.
- The leadership and management are exceptional.

In addition, the following apply.

- Leaders ensure that teachers receive focused and highly effective professional development. Teachers' subject, vocational, technical, pedagogical and pedagogical content knowledge builds and develops consistently over time and improves the quality of education provided to learners.
- Leaders' engagement with learners, employers, parents and the local community/economy is very effective. They provide clear and direct evidence of the positive impact of how this engagement benefits learners and ensures continuous and sustainable improvement.
- Leaders ensure that regular, frequent and meaningful engagement takes
  place with staff at all levels, so that they can be confident that issues will
  be identified. When issues are identified in particular about workload –
  leaders deal with them consistently, appropriately and quickly.
- Staff consistently report high levels of support for well-being issues.

296. To judge whether leadership and management are good, requires improvement or inadequate, inspectors will use a 'best fit' approach, relying on the professional judgement of the inspection team.

#### Good (2)

- Leaders have a clear and ambitious vision for providing high-quality, inclusive education and training to all. This is realised through strong, shared values, policies and practice.
- Leaders focus on improving teachers' subject and teaching knowledge to enhance the teaching of the curriculum and the appropriate use of assessment. The practice, subject knowledge and up-to-date vocational expertise of staff build and improve over time. This includes their expertise in remote education.
- Leaders ensure that all learners, including those with SEND and high needs, and disadvantaged learners, get the information, advice, guidance

- and support to achieve their next steps and progress to positive destinations. Leaders provide the support for staff to make this possible.
- Leaders engage effectively with their community, including, where relevant, with parents/carers, employers, local services and organisations responsible for local and regional economic planning.
- Leaders engage with their staff and are aware and take account of the main pressures on them. They are realistic and constructive in the way they manage staff, including their workload.
- Those responsible for governance understand their role and carry this out effectively. They ensure that the provider has a clear vision and strategy and that resources are managed well. They hold leaders to account for the quality of education and training and help to ensure continuous and sustainable improvement.
- Those responsible for governance ensure that the provider fulfils its legal duties and responsibilities. These include, for example, those under the Equality Act 2010, and those in relation to the 'Prevent' strategy and safeguarding.
- Leaders protect staff from harassment, bullying and discrimination.
- Safeguarding is effective.

#### Requires improvement (3)

- Leadership and management are not yet good.
- Safeguarding is effective.

#### Inadequate (4)

Leadership and management are likely to be inadequate if any one of the following applies:

- Leaders are not doing enough to tackle a poor quality of education or training. This significantly impairs the progress of learners, including the most disadvantaged, learners with SEND and those who have high needs.
- Leaders are not aware of, or do not take effective action to stem, the

- decline in the quality of provision.
- Leaders do not engage effectively with key stakeholders and so the curriculum fails to meet the needs of learners, employers, the local community or local and regional economies. This is reflected in the low proportion of learners who progress to destinations relevant to their career or learning aims.
- The curriculum does not equip learners with the skills, knowledge or understanding required to prepare them for life in modern Britain or enable them to progress to their next steps.
- Leaders, managers and those responsible for governance, through their words, actions or influence, directly and/or indirectly undermine or fail to promote equality of opportunity. They do not prevent discriminatory behaviour or prejudiced actions and views.
- Safeguarding is ineffective.
- Leaders, managers and governors are not protecting learners from radicalisation and extremist views. Policy and practice are poor, which means that learners are at risk.

# The contribution of colleges and designated institutions to meeting skills needs: sub-judgement and criteria

#### **Background and context**

297. All providers should be contributing effectively to meeting the skills needs of employers and the local, regional and national economy through the range of programmes that they teach, the content and planning of that curriculum and their engagement and collaboration with employers and stakeholders.

298. When evaluating the quality of education in further education and skills providers, inspectors evaluate (among other things) whether the curriculum offers learners the knowledge and skills that reflect the needs of the local, regional and national context, and whether the curriculum intent takes into account the needs of

learners, employers and the local, regional and national economy, as necessary (see <u>paragraph 233</u>).

- 299. When evaluating the impact of leadership and management, inspectors evaluate (among other things) whether leaders engage with their community and with employers to plan and support the education and training that learners get, and to meet the skills needs of the economy.
- 300. From September 2022, inspectors will, in addition, specifically evaluate the extent to which all further education colleges, sixth-form colleges and designated institutions (referred to collectively in relation to this section and sub-judgement as 'colleges') contribute effectively towards meeting the skills needs of employers and other relevant stakeholders and the local, regional and national economy. This evaluation will include a sub-judgement on the college's contribution to meeting skills needs. This sub-judgement is linked to and dependent on the quality of education and leadership and management key judgements. As a sub-judgement, it will feed into the quality of education and leadership and management key judgements.
- 301. This evaluation will take into account not just skills needed immediately for employment, including for those already in employment, but also skills which are necessary to ensure students' progress towards employment, in necessary stages by means of further and higher education, training, work experience and increased personal independence at all levels.
- 302. Providers may contribute to regional and national skills needs, as well as local skills needs. Many providers have specialisms that meet those needs across one or more region, or meet national skills needs. Some are specialist colleges that are mainly focused on regional and national needs. Where colleges teach A-level and other qualifications where the students' next steps are likely to be into higher education, their focus is on meeting the skills needs of those students to progress to that next stage that will often lead, in due course, to employment outside of the locality or region. Consequently, we will refer to skills needs as a whole.
- 303. The sub-judgement will only relate to provision which is within Ofsted's inspection scope as set out in this handbook (see <u>paragraphs 81 and 90</u>).

## Sub-judgement on the college's contribution to meeting skills needs

304. Inspectors will arrive at one of the following judgements about the college's

contribution to meeting skills needs:

The college makes:

- a limited contribution to meeting skills needs
- a reasonable contribution to meeting skills needs
- a strong contribution to meeting skills needs.

#### Sub-judgement criteria

305. The college will be considered to be making a limited contribution to meeting skills needs if inspectors find that one or more of the following criteria applies to it:

- leaders and managers do not engage effectively enough with employers and other relevant stakeholders to understand the skills needs of the local, regional and national economy
- leaders and managers do not involve employers and other relevant stakeholders sufficiently in the design and implementation of the curriculum to prepare learners effectively for future education, employment or work
- leaders and managers are not sufficiently clear how they are contributing to skills needs
- leaders and managers do not ensure that the curriculum is planned and/or taught effectively, so learners and apprentices do not yet learn the skills they need

306. The college will be considered to be making a reasonable contribution to meeting skills needs if it is not meeting any of the limited contribution criteria, but is not yet meeting all of the strong contribution criteria.

307. The college will be considered to be making a strong contribution to meeting skills needs if all of the following criteria apply:

- leaders and managers engage very effectively with employers and other relevant stakeholders to understand the skills needs of the local, regional and national economy, and plan their curriculum accordingly
- leaders and managers involve employers and other relevant stakeholders very effectively in the design and implementation of the curriculum to prepare students for future education, employment or work
- leaders and managers are very clear how they contribute to skills needs

• leaders and managers ensure that the curriculum is planned and taught effectively, so that learners and apprentices learn the skills they need

## Sources of evidence for contributing to meeting skills needs sub-judgement

308. Inspectors will already draw much relevant information and evidence on this from the normal course of the full inspection.

309. Inspectors will draw further, more detailed evidence about colleges' contribution to meeting skills needs principally from:

- discussions and meetings with the college's leaders and managers (including curriculum managers)
- discussions and meetings with stakeholders of the college in respect of skills needs as set out in <u>paragraph 106</u>
- information from the college's own plans, any accountability agreement (AA), local skills improvement plan (LSIP), or similar agreements or plans in place from time to time (whether draft or published)
- information and data about skills needs from published sources (such as LEP plans and Skills Advisory Panel (SAP) plans)

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- Dance and drama colleges are inspected against the principles of the EIF at the request of the DfE. Ofsted only inspects those dance and drama colleges which are currently in receipt of Dance and Drama Awards: <u>Dance and Drama Awards:</u> <u>funding for students</u>. <u>←</u>
- 2. From 1 April 2021, Ofsted is responsible for the inspection of apprenticeships at levels 6 and 7 (degree and non-degree) as well as at levels 2 to 5). <u>←</u>
- 3. Designated institutions have specially designated educational status under section 28 of the Further and Higher Education Act 1992. ←
- 4. From 1 April 2021, Ofsted is responsible for the inspection of apprenticeships at levels 6 and 7 (degree and non-degree) as well as at levels 2 to 5. <u>←</u>
- 5. We will not publish any information that identifies an individual in the report, but may name the principal, the chief executive or equivalent. 

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- 6. Any outstanding dance and drama college that is inspected will receive a full inspection. <u>←</u>
- 7. This inspection interval is normally extended to 'within 7 years from the publication of the previous inspection report' for the next inspection for any good provider as at 19 April 2021. This is due to the suspension of routine inspections during the COVID-19 pandemic from March 2020. <u>←</u>
- 8. Any outstanding dance and drama college that is inspected will receive a full inspection. <u>←</u>
- 9. This inspection interval is normally extended to 'within 42 months from the publication of the report of the previous inspection' for the next inspection of any provider that requires improvement as at 19 April 2021. This is due to the suspension of routine inspections during the COVID-19 pandemic from March 2020. *⊆*
- 10. Providers that were due a requires improvement monitoring visit (RIMV) during the period of COVID-19 inspection suspension will normally have received a progress monitoring visit. When a provider has been graded inadequate for apprenticeship provision and the provider has had its apprenticeship funding terminated/been removed from the register of apprenticeship training providers, that apprenticeship provision will not normally be covered at the RIMV or the subsequent full inspection. ⊆
- 11. This inspection interval is normally extended to 'within 27 months from the publication of the previous inspection report' for the next inspection of any inadequate provider as at 19 April 2021 on account of the impact of COVID-19 and the consequent suspension of inspection from March 2020. ←

- 12. This relates to providers newly and directly funded to deliver apprenticeship provision from or after April 2017 (whether levy or non-levy) and those which are newly funded to deliver education provision from or after August 2017 whether, adult education, 16 to 19 study programme or high needs funded.
- 14. This interval is normally extended to 'within 40 months of the publication of the monitoring visit report' due to the suspension of routine inspections during the COVID-19 pandemic for a new provider that had not yet received its full inspection as at 19 April 2021. If a new provider has been found to be making insufficient progress in one or more theme at a second new provider monitoring visit and continues to be funded, it will receive its next full inspection normally within 28 months from the publication of its first monitoring visit report. We may, in exceptional circumstances, carry out a second new provider monitoring visit where necessary before the first full inspection where learner numbers are very low or circumstances make that appropriate. New further education colleges, and 16 to 19 academies that began to deliver funded provision from August/September 2017 but before August 2019 will normally receive their full inspection within 52 months of beginning to deliver funded provision. They will not normally receive a monitoring visit. However, new further education colleges and 16 to 19 academies starting to deliver directly funded provision from August 2019 will normally receive a new provider monitoring visit within 30 months of starting to deliver funded provision. New further education colleges, designated institutions and 16 to 19 academies starting to deliver directly funded provision from April 2021 onwards will receive a new provider monitoring visit within 18 months of starting to deliver. 🗠
- 15. A provider that has been removed from the APAR for reasons other than being judged inadequate and whose only funding is for apprenticeship provision will not normally receive a monitoring visit or a full inspection while removed from the APAR. If the provider returns to the APAR, they will normally resume being subject to a monitoring visit or full inspection, whichever was due when they were removed from the APAR. That monitoring visit or inspection will take place within 12 months of returning to the APAR (if they have continued to deliver funded provision) or within 12 months of starting to deliver funded provision again (if they have ceased to deliver funded provision). We will normally treat a provider that has ceased delivering all directly funded provision for 2 full individual learner record (ILR) years and that returns to delivering directly funded education or training as a new provider for the purposes of inspection. A provider that has been removed

from the APAR for reasons other than being judged inadequate and that delivers other types of provision as well as apprenticeship training (see <u>paragraph 90</u>) will continue to be subject to a monitoring visit or full inspection with respect to those other types of provision. However, we will not normally inspect the apprenticeship provision while the provider is removed from the APAR. We will inspect the apprenticeship provision as outlined in this footnote if the provider successfully reapplies to the APAR.

16. If the provider's only insufficient progress judgement relates to safeguarding and it then receives a judgement of reasonable or significant progress with respect to safeguarding at the second monitoring visit, the provider will not then have a judgement of insufficient progress. The full inspection will then take place within 24 months of the publication of the first monitoring visit report. From April 2022, a new provider will normally receive its first full inspection within 18 months of the publication of its monitoring visit report. That will apply to providers whose monitoring visit reports were published from 1 April 2022 onwards.

If a provider is due a follow-up safeguarding monitoring visit because it was judged to be making insufficient progress and is then removed from the APAR, the provider will still normally receive the follow-up safeguarding monitoring visit within 4 months of the publication of the first monitoring visit report, provided that they are continuing to deliver funded provision.  $\leq$ 

17. Ofsted grades on a full inspection, or makes progress judgements on a monitoring visit, on the whole of a provider's apprenticeship provision. From April 2021, all apprenticeship provision that a provider delivers will be graded collectively, irrespective of the levels of provision delivered. There is no separate grading for different levels or different subjects or standards or whether they are degree or non-degree apprenticeships.

Ofsted may carry out a full inspection of any provider without first completing a monitoring visit where risk factors or other concerns are identified and this is considered the most appropriate course of action.

- 18. Providers that are currently 'good' but that have substantial L6/7 provision that is subject to inspection from 1 April 2021 will have that substantial additional provision now in scope taken into account when considering whether they should be due a full or a short inspection for their next inspection.
- 19. This includes new providers delivering other types of funded provision as set out in <u>paragraph 90</u> as well as apprenticeship training. <u>←</u>
- 20. This interval is normally extended to 'within 48 months of the merger' where the newly merged college has not yet received its first full inspection by 19 April

- 2021. This is due to the suspension of routine inspections during the COVID-19 pandemic from March 2020. Further education colleges and sixth-form colleges will be inspected at corporation level. Each time a college undergoes a formal college merger, the period within which the full inspection as a newly merged college will take place will start from the date of the latest merger. Colleges that merge after September 2022 may or may not be inspected by September 2025 but will normally be inspected within 3 years of the merger. ⊆
- 21. This refers to mergers between further education colleges, sixth-form colleges and designated institutions. ←
- 22. This may not apply if the merged college has already received a support and challenge visit or the most recent merger took place before January 2018.

- 25. If the provider only delivers one type of provision, for example apprenticeship training, and the learner numbers are very low and inspectors are doubtful that they can gather sufficient evidence to arrive at the necessary judgements for a full inspection, Ofsted may postpone the full inspection or carry out a monitoring visit in place of the full inspection. If the provider only delivers apprenticeship training and the learner numbers are very low, inspectors are doubtful that they can gather sufficient evidence to arrive at the necessary judgements for a full inspection and the provider's last monitoring visit judged the provider to be making insufficient progress in one or more themes (and the provider cannot take on more apprentices), Ofsted will normally carry out a monitoring visit in place of the full inspection. When a monitoring visit is carried out to replace a full inspection, it will normally follow the arrangement as set out in the table later in this handbook covering the 3 set themes. In such a situation, a full inspection would take place within 18 months of the publication of the latest monitoring visit report, if the provider continues to be funded (and on the APAR if they provide apprenticeship training and this is the only type of provision they provide). Please see footnote 16 for an explanation of how we inspect providers that have been removed from the APAR for reasons other than being judged inadequate. <
- 26. Note the references to insufficient progress judgements with respect to ESFA intervention in <u>'Removal from register of apprenticeship training providers'</u>. <u>←</u>
- 27. For a new designated institution, see footnote 15. The themes will depend on the nature of the provider's provision but will reflect the structure set out. See footnote 16 relating to inspection of providers that are removed from the APAR for reasons

- other than being judged inadequate. <
- 28. If an adult learning provider acquires apprenticeship funding it will then enter the category of a new apprenticeships training provider and the adult learning will be covered under a separate theme which will be the adult learning 'quality of education' theme. —
- 29. If an apprenticeship training provider is funded for education programmes for young people (see <u>paragraph 90</u>), the additional quality of education theme to cover this will be: 'How much progress have leaders and managers made to ensure that learners benefit from high-quality education programmes for young people that prepare them well for their intended job role, career aim and/or personal goals?'
- 30. If a provider judged to require improvement has apprenticeship provision graded inadequate and has been removed from the APAR, that apprenticeship provision will not normally be covered as part of the monitoring visit.
- 32. However, if the provider is judged inadequate overall on account of a judgement of ineffective safeguarding and all other grades (except leadership and management) are good or outstanding, then the first reinspection monitoring visit, which will cover only the ineffective safeguarding, may be carried out sooner within this period of 6 months, if the provider continues to be funded. ⊆
- 33. Providers that were due a reinspection monitoring visit (RMV) during the period of COVID-19 inspection suspension will normally have received a progress monitoring visit. If a provider did not waive its RMV(s), it will normally receive its RMV(s) by February 2022. If an inadequate provider did not receive a progress monitoring visit and is still due a RMV, it will normally receive it by February 2022.
- 34. Nine combined authorities have been established so far, besides the GLA, that have a devolved AEB budget. Currently the authorities are: Greater Manchester, Liverpool City Region, South Yorkshire, West Yorkshire, North of Tyne, Tees Valley, West Midlands, West of England, Cambridgeshire/Peterborough, North East. See 'Adult education budget (AEB) devolution'. ←
- 35. We will not normally inspect apprenticeship provision or deem it to be within the scope of inspection when a provider has been removed from APAR (see paragraph 32 and footnotes 11 and 16). 

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- 36. See 'Apprenticeship accountability statement'. Where a provider registered with

the Office for Students (OfS) provides apprenticeship training in one or more apprenticeship standards that includes a mandatory higher education qualification, the OfS may provide Ofsted with provider-specific information to inform the inspection judgement relating to the apprenticeship provision.

- 37. We will not normally inspect apprenticeship provision when a provider has been removed from the APAR (see <u>paragraph 32</u> and footnotes 11 and 16). <u>←</u>
- 38. In the case of monitoring visits, inspectors will use their range of expertise to contribute to the relevant themes. ←
- 40. Inspectors may identify a number of off-site learners and employers to observe and/or interview. The nominee should ensure that they are notified, as well as any other users and partners who inspectors wish to meet. <u>←</u>
- 42. The other large and complex providers affected will be informed of this. Those other providers will be defined using relevant information. <u>←</u>
- 43. For full inspections of a college or designated institution, this planning should be started from 2 working days before the inspection, and will not be triggered by a notification. ←
- 44. Inspectors may ask for specific information relating to 14- and 15-year-olds. <
- 45. When a full inspection is planned, learner numbers are very low and inspectors are doubtful that they can gather sufficient evidence to arrive at the necessary judgements for a full inspection, the lead inspector may decide to postpone the inspection or to replace the full inspection with a monitoring visit. For these circumstances, see footnote 26. <u>←</u>
- 47. See paragraphs 287 to 292. ←
- 48. An Ofsted Inspector is an inspector who is not an HMI but is deployed by Ofsted as a team or lead inspector on further education and skills inspections and monitoring visits. Inspectors in training or other personnel may shadow an inspection for development purposes. A person shadowing an inspection is not part of the inspection team and does not contribute to gathering inspection evidence or making inspection judgements. ⊆
- 49. Ofsted will periodically write to all providers to request that they provide a copy of their self-assessment. Providers can send their latest self-assessment to

#### fes.sar@ofsted.gov.uk at any time. ←

- 50. Not all inspectors will necessarily attend this meeting. €
- 51. For monitoring visits, when grades are referred to, this should be understood to refer to progress judgements.  $\leq \leq \frac{2}{3}$
- 52. Note the references to inadequate judgements on inspections and insufficient progress judgements on monitoring visits with respect to ESFA intervention in the guidance <u>'Removal from register of apprenticeship training providers'</u>. <u>←</u>
- 53. See 'Retention and disposing of information'. Inspectors can access this through the Ofsted engagement hub (internal only). <u>←</u>
- 54. Section 41 of the Technical and Further Education Act 2017 requires that Ofsted 'comment[s]' on careers guidance provided to students in further education colleges, sixth-form colleges and designated institutions. The Act defines students for this purpose as those aged 16 to 18 and those up to the age of 25 who have an education, health and care (EHC) plan. While the statutory duty applies only to the inspection of the above institutions, inspectors will inspect and comment in similar fashion on careers advice on short and full inspections of all further education and skills providers as appropriate. If there are no 16- to 19-year olds or those with EHC plans, the inspection may not cover careers guidance. ⊆
- 56. Non-qualification activities may include tutorials, work to develop study, leadership teamwork, self-management skills and volunteering. <u>←</u>
- 57. For ESFA AEB funding, and in particular priorities for community learning, see the <u>ESFA's funded adult education budget: funding rules 2023 to 2024</u>. Each MCA and GLA has its own published priorities. See, for example, the <u>Adult Education Budget</u> and <u>'About the Adult Education Budget</u>. <u>←</u>
- 58. From 1 April 2021, Ofsted is responsible for inspecting apprenticeship training (as defined in <u>paragraph 90</u>) at levels 6 and 7 apprenticeship provision (both degree and non-degree) as well as at levels 2 to 5.
  - Ofsted inspects apprenticeship training, not degrees or qualifications or end-point assessment (EPA). For example, with respect to degree apprenticeships, we will not inspect the academic standard of the degree (that is, whether it meets the sector recognised standard for higher education).
- 59. This covers the quality of education and training. Where teachers and teaching are referred to, this should be understood to cover lecturers, trainers and training too. <u>←</u>

- 60. References to classes should be taken to include the range of possible teaching, training and learning sessions that may occur across the range of contexts covered. €
- 61. See paragraphs 287 onwards. ←
- 62. See <u>'Relationships and sex education (RSE) and health education'</u>, Department for Education, June 2019. While the statutory requirements in this guidance do not apply to sixth-form colleges, 16 to 19 academies or further education colleges, however, they are encouraged to support students by offering this (see especially page 4). <u>←</u>
- 63. Research suggests that leadership and management can be highly effective when it is shared by different individuals and distributed across different levels across a provider. <u>←</u>
- 64. Inspectors will seek to understand how leaders have adapted their development plans as a result of the COVID-19 pandemic, including the rationale for any new or modified improvement priorities.
- 65. See Ofsted's safeguarding policy. ←
- 66. In England, a child is defined as anyone who has not yet reached their 18th birthday. <u>←</u>
- 68. In England, a child is defined as anyone who has not yet reached their 18th birthday. <u>←</u>

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