

December 2004/46

Special initiative

Allocation of funds

This report is for information

This document lists the funding allocated through the second round of the Higher Education Innovation Fund, over the two years 2004-05 to 2005-06. It also provides details of the assessment and award process.

Higher Education Innovation Fund round 2

Funding allocations



Office of Science and Technology

Higher Education Innovation Fund round 2

Funding allocations

To	Heads of HEFCE-funded higher education institutions
Of interest to those responsible for	Relations between HE and business and the wider community, Contract and collaborative research, Continuing vocational education, Strategic planning
Reference	2004/46
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Executive summary

Purpose

1. This document lists the funding allocated through the second round of the Higher Education Innovation Fund over the two years 2004-05 to 2005-06. It also provides details of the assessment and award process.

Key points

2. The fund supports activities in higher education institutions to increase their capability to respond to the needs of business and the wider community, where this will lead to identifiable economic benefits. The initiative is a partnership between the Department of Trade and Industry/Office of Science and Technology, the Department for Education and Skills and HEFCE.

3. The invitation to apply for funding was issued in HEFCE 2003/58.

Action required

4. No action is required.

Background

5. Funding for the second round of the Higher Education Innovation Fund (HEIF 2) was announced following the Government's 2003 White Paper, 'The future of higher education'. It will continue and develop the work of the first round of HEIF, which itself built upon the Higher Education Reach-out to Business and the Community initiative (HEROBC). HEIF 2 will incorporate activities based on current Science Enterprise Challenge and University Challenge initiatives in England; and creates a network of 22 centres for knowledge exchange activity as exemplars of good practice. The Higher Education Active Community Fund is not incorporated into HEIF 2 but is continued in parallel.

6. We invited higher education institutions (HEIs) in England to apply for HEIF 2 funding to support activities to increase their capability to respond to the needs of business (including companies of all sizes and sectors, and other bodies in the wider community), where this will lead to identifiable economic benefits.

7. A total of up to £187 million has been made available over the two years 2004-05 and 2005-06.

8. We consulted English HEIs, and other interested parties, on the fund in HEFCE 2003/34. HEIs stated their broad support for the proposals in the consultation document. A summary of responses is in HEFCE 2003/58 Annex C.

Funding

9. HEIF 2 is a joint initiative by the Department of Trade and Industry/Office of Science and Technology (DTI/OST), the Department for Education and Skills (DfES) and HEFCE, with £131 million provided by OST and £56 million contributed by HEFCE.

10. We received 183 applications, including 69 proposals from consortia of HEIs. The value of applications received totalled approximately £307.3 million, far more than the £187 million available under HEIF 2. Funding of £186 million was awarded in support of 124 proposals, 46 of which were collaborative projects involving more than 100 HEIs. Around £16 million of the total funding will support a network of 22 new centres for knowledge exchange activity.

11. The awards ranged from £250,000 for a single institution to £12.9 million for a large scale strategic collaboration where the funding was shared among four partner HEIs. The maximum award to any HEI for a single non-collaborative bid was £2.4 million. HEIs who participated in collaborative bids and/or centres of knowledge exchange activity were eligible to receive a total maximum award of £3.25 million. This included any single HEI award and their indicative share of any collaborative awards.

12. The activities and outcomes resulting from all awards will be monitored through HEIs' annual monitoring statement to HEFCE, or a similar statement for collaborative projects. The Regional Advisory Groups (RAGs) may also be asked to extend their current role in monitoring collaborative HEI activities to include a yearly review of individual HEI activities in their region. This is in order to provide evidence of the regional impact of HEIF-funded activities, and to inform and support future government policy decisions regarding third stream activity.

Assessment and award process

13. Each application for HEIF 2 funding was assessed by four separate groups:

- consultants appointed by OST to give an independent perspective and consistent assessment across all proposals
- RAGs, comprising at least HEFCE regional consultants and representatives from the relevant Government Office and Regional Development Agencies (RDAs), to give a regional perspective
- a HEFCE-nominated pool of heads of HEIs and business, including public sector leaders, to give an external and business oriented perspective
- a team of DTI officials to make use of their broad experience of interaction between HEIs and business.

14. The groups of assessors provided differing but complementary areas of expertise to ensure that the selection procedure considered the strengths of each proposal from a broad perspective. The assessors all worked to the same basic criteria. The primary criterion against which applications were assessed was whether the proposal was likely to have a significant impact on achieving the objectives for HEIF 2. The assessments were then considered by a national advisory board, to recommend which projects to fund, and at what level. (Membership of the advisory board is at Annex A.)

15. The advisory board, chaired by Sir Keith O’Nions, Director General of Research Councils, reviewed all proposals in the light of the assessors’ scores and comments, and its own assessment. It then made recommendations to the HEFCE Board and the Minister for Science and Innovation on full, reduced or nil awards. These recommendations were accepted in full, and are listed in Annex B.

Awards allocated under the Higher Education Innovation Fund round 2

Institution	Centres for knowledge exchange activity	Award
Aston University		£1,616,392
Bath Spa University College		£400,000
Birkbeck College		£900,000
University of Birmingham		£1,358,000
University of Birmingham - lead HEI in collaboration with:		£4,000,000
Aston University		
University of Central England		
Coventry University		
De Montfort University		
Keele University		
University of Leicester		
Loughborough University		
University College Northampton		
University of Nottingham		
Nottingham Trent University		
Staffordshire University		
University of Warwick		
University of Wolverhampton		
University College Worcester		
University of Bradford		£2,399,304
University of Brighton		£2,400,000
University of Brighton - lead HEI in collaboration with:		£1,025,000
University of Greenwich		
University of Kent		
Oxford Brookes University		
University of Portsmouth		
University of Brighton - lead HEI in collaboration with:	✓	£370,000
University of Sussex		
University of Bristol - lead HEI in collaboration with:		£12,893,000
University of Bath		
University of Surrey		
University of Southampton		
Brunel University		£660,000
Brunel University - lead HEI in collaboration with:	✓	£10,575,000
Kingston University		
Royal Holloway, University of London		
St George's Hospital Medical School		
University of Surrey Roehampton		
Thames Valley University		
University of Westminster		

Institution	Centres for knowledge exchange activity	Award
University of Cambridge		£2,300,000
University of Cambridge - lead HEI in collaboration with:	✓	£3,045,000
Anglia Polytechnic University		
Cranfield University		
University of East Anglia		
University of Essex		
University of Hertfordshire		
University of Luton		
Norwich School of Art		
Open University		
Writtle College		
Institute of Cancer Research		£500,000
Canterbury Christ Church University College		£1,200,000
University of Central England		£1,999,011
University of Central England - lead HEI in collaboration with:	✓	£997,706
Aston University		
University of Birmingham		
Birmingham College of Food, Tourism and Creative Studies		
Coventry University		
Harper Adams University College		
Keele University		
Staffordshire University		
University of Warwick		
University of Wolverhampton		
University College Worcester		
University of Central Lancashire - lead HEI in collaboration with:	✓	£300,000
University of Portsmouth		
University of Salford		
City University, London		£1,931,750
City University, London - lead HEI in collaboration with:		£730,153
King's College London		
Queen Mary, University of London		
Royal Veterinary College		
Coventry University		£1,600,000
Cranfield University		£1,775,724
Cumbria Institute of the Arts		£300,000
Dartington College of Arts		£395,640
De Montfort University		£1,928,300
De Montfort University - lead HEI in collaboration with:	✓	£1,000,000
University of Derby		
University of Lincoln		
Nottingham Trent University		

Institution	Centres for knowledge exchange activity	Award
De Montfort University - lead HEI in collaboration with:		£2,035,181
University of Derby		
University of Leicester		
University of Lincoln		
Loughborough University		
University College Northampton		
Nottingham Trent University		
University of Derby		£2,000,000
University of Durham		£2,091,600
University of East Anglia		£1,557,700
University of East London		£2,400,000
University of East London - lead HEI in collaboration with:	✓	£1,000,000
Goldsmiths College, University of London		
University of Greenwich		
London Metropolitan University		
Queen Mary, University of London		
Ravensbourne College of Design and Communication		
Trinity College of Music		
Institute of Education		£400,000
University of Essex		£2,072,113
University of Exeter		£2,000,000
Falmouth College of Arts - lead HEI in collaboration with:		£1,200,000
University of Exeter		
University of Plymouth		
University of Greenwich		£1,750,000
Harper Adams University College		£1,165,000
Harper Adams University College - lead HEI in collaboration with:	✓	£1,000,000
University of Birmingham		
Bournemouth University		
University of Central Lancashire		
University of Gloucestershire		
University of Hull		
Keele University		
University of Newcastle upon Tyne		
University of Reading		
Royal Agricultural College		
University of Warwick		
University of the West of England		
University College Worcester		
Writtle College		
University of Hertfordshire		£1,925,000

Institution	Centres for knowledge exchange activity	Award
University of Hertfordshire - lead HEI in collaboration with:	✓	£1,000,000
Anglia Polytechnic University		
University of East Anglia		
Norwich School of Art		
University of Huddersfield		£1,200,000
University of Huddersfield - lead HEI in collaboration with:	✓	£425,000
University of Bradford		
University of Leeds		
Leeds Metropolitan University		
University of Hull	✓	£1,000,000
Imperial College		£2,400,000
Imperial College - lead HEI in collaboration with:		£1,850,000
University of Cambridge		
University of Oxford		
University College London		
Imperial College - lead HEI in collaboration with:		£210,000
University of the Arts London (formerly London Institute)		
University College London		
Keele University		£1,530,000
University of Kent		£1,500,000
Kent Institute of Art & Design		£300,000
King's College London		£1,500,000
King's College London - lead HEI in collaboration with:		£1,450,000
Birkbeck College		
Courtauld Institute of Art		
City University, London		
Goldsmiths College, University of London		
Queen Mary, University of London		
Royal Holloway, University of London		
Kingston University		£440,000
Lancaster University		£2,400,000
University of Leeds		£1,500,000
Leeds Metropolitan University		£1,200,000
Leeds Metropolitan University - lead HEI in collaboration with:		£919,000
University of Bradford		
University of Huddersfield		
University of Hull		
University of Leeds		
Sheffield Hallam University		
Trinity and All Saints		
University of Lincoln		£800,000
University of Liverpool		£2,400,000
Liverpool John Moores University		£1,500,000

Institution	Centres for knowledge exchange activity	Award
Liverpool John Moores University - lead HEI in collaboration with: University of Liverpool Liverpool Hope University College	✓	£500,000
London Business School - lead HEI in collaboration with: University College London		£600,000
London Business School - lead HEI in collaboration with: University of the Arts London (formerly London Institute)		£1,380,000
London School of Economics		£1,200,000
University of the Arts London (formerly London Institute)		£2,290,000
University of the Arts London (formerly London Institute) - lead HEI in collaboration with: Goldsmiths College, University of London London Metropolitan University Royal College of Art	✓	£850,000
London Metropolitan University		£2,000,000
London South Bank University		£2,258,976
Loughborough University		£1,200,000
Loughborough University - lead HEI in collaboration with: De Montfort University University of Leicester University of Nottingham Nottingham Trent University		£650,000
University of Luton	✓	£1,800,000
University of Luton - lead HEI in collaboration with: Anglia Polytechnic University Cranfield University University of Hertfordshire Norwich School of Art Open University Writtle College		£1,500,000
University of Manchester		£2,400,000
Manchester Metropolitan University - lead HEI in collaboration with: University of Manchester University of Salford		£500,000
Middlesex University		£1,200,000
University of Newcastle upon Tyne		£2,000,000
University of Newcastle upon Tyne - lead HEI in collaboration with: University of Durham Northumbria University University of Sunderland University of Teesside		£1,426,881
Newman College of Higher Education		£250,000

Institution	Centres for knowledge exchange activity	Award
Norwich School of Art & Design		£375,000
University of Nottingham - lead HEI in collaboration with:		£1,300,000
Bishop Grosseteste College, Lincoln		
De Montfort University		
University of Derby		
University of Leicester		
University of Lincoln		
Loughborough University		
University College Northampton		
Nottingham Trent University		
University of Nottingham - lead HEI in collaboration with:		£760,000
De Montfort University		
University of Derby		
University of Leicester		
University of Lincoln		
Loughborough University		
University College Northampton		
Nottingham Trent University		
Nottingham Trent University		£500,000
Nottingham Trent University - lead HEI in collaboration with:	✓	£650,000
University of Leicester		
University of Nottingham		
School of Oriental and African Studies		£353,000
University of Oxford		£2,365,900
Oxford Brookes University		£2,400,000
Oxford Brookes University - lead HEI in collaboration with:		£485,380
University of Oxford		
Oxford Brookes University - lead HEI in collaboration with:	✓	£367,179
Brunel University		
Cranfield University		
University of Hertfordshire		
School of Pharmacy		£550,000
University of Plymouth		£2,400,000
University of Portsmouth		£1,600,000
University of Portsmouth - lead HEI in collaboration with:	✓	£1,000,000
University of Brighton		
Buckinghamshire Chilterns University College		
Queen Mary, University of London		£2,265,000

Institution	Centres for knowledge exchange activity	Award
Queen Mary, University of London - lead HEI in collaboration with:		£2,000,000
Birkbeck College		
University of Kent		
King's College London		
School of Pharmacy		
Royal Veterinary College		
St George's Hospital Medical School		
Ravensbourne College		£600,000
University of Reading		£2,392,000
Royal College of Art - lead HEI in collaboration with:		£1,100,000
Imperial College of Science, Technology and Medicine		
Royal College of Music		£444,415
Royal Holloway, University of London		£700,000
Royal Veterinary College		£804,800
St George's Hospital Medical School		£400,000
St Martin's College		£700,000
University of Salford - lead HEI in collaboration with:	✓	£1,000,000
University of Central Lancashire		
Leeds Metropolitan University		
London South Bank University		
University of the West of England		
University of Wolverhampton		
University of Sheffield		£1,902,000
University of Sheffield - lead HEI in collaboration with:		£2,535,000
University of Leeds		
University of York		
Sheffield Hallam University		£1,800,000
Sheffield Hallam University - lead HEI in collaboration with:	✓	£500,000
University of Sheffield		
Sheffield Hallam University - lead HEI in collaboration with:		£600,000
University of Bradford		
University of Hull		
University of Sunderland		£1,500,000
University of Sunderland - lead HEI in collaboration with:	✓	£324,563
University of Durham		
University of Teesside		
The Surrey Institute of Art & Design, University College		£500,000
University of Sussex		£1,638,150
University of Teesside		£2,345,510
University of Teesside - lead HEI in collaboration with:	✓	£396,500
University of Sunderland		
Trinity College of Music		£450,000

Institution	Centres for knowledge exchange activity	Award
University of Warwick - lead HEI in collaboration with:		£935,257
Aston University		
University of Birmingham		
University of Central England		
Coventry University		
Harper Adams University College		
Keele University		
Staffordshire University		
University of Wolverhampton		
University College Worcester		
University of Warwick		£1,555,000
University of Warwick - lead HEI in collaboration with:		£4,548,000
Aston University		
University of Birmingham		
University of Central England		
Coventry University		
Harper Adams University College		
Keele University		
Staffordshire University		
University of Wolverhampton		
University of the West of England - lead HEI in collaboration with:	✓	£1,000,000
University of Bath		
Bath Spa University College		
University of Bristol		
University of Gloucestershire		
Royal Agricultural College		
University of the West of England - lead HEI in collaboration with:		£1,800,000
Bath Spa University College		
Bournemouth University		
Falmouth College of Arts		
University of Salford		
Harper Adams University College		
University of Westminster		£335,000
University of Wolverhampton		£500,000
University of York		£2,400,000
TOTAL		£185,804,085

List of abbreviations

DfES	Department for Education and Skills
DTI	Department of Trade and Industry
HEFCE	Higher Education Funding Council for England
HEI	Higher education institution
HEIF 2	Higher Education Innovation Fund: round 2
HEROBC	Higher Education Reach-out to Business and the Community
OST	Office of Science and Technology
RAG	Regional Advisory Group
RDA	Regional Development Agency